P.S.C KY. NO. 12 CANCELLING P.S.C. KY. NO. 11

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KENTUCKY POWER COMPANY 1645 WINCHESTER AVENUE ASHLAND, KY 41101

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# RATES-CHARGES-RULES-REGULATIONS FOR FURNISHING ELECTRIC SERVICE

# IN THE KENTUCKY TERRITORY SERVED BY KENTUCKY POWER COMPANY AS STATED ON SHEET NO. 1

# OF KENTUCKY

DATE OF ISSUE: April 9,2021

DATE EFFECTIVE: January 14, 2021 ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

**KENTUCKY**PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

EFFECTIVE

1/14/2021

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THE ABOVE TARIFFS ARE APPLICABLE TO THE ENTIRE TERRITORY SERVED BY KENTUCKY POWER COMPANY IN BOYD, BREATHITT, CARTER, CLAY, ELLIOTT, FLOYD, GREENUP, JOHNSON, KNOTT, LAWRENCE, LESLIE, LETCHER, LEWIS, MAGOFFIN, MARTIN, MORGAN, OWSLEY, PERRY, PIKE AND **ROWAN COUNTIES.** 

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#### TERMS AND CONDITIONS OF SERVICE

#### 1. APPLICATION

Applications may be made in writing, on-line, or via telephone for customers who wish to have the Company provide electric service. Requests for service are to be made in the Customer's legal name by telephone or on line at: www.kentuckypower.com. The Company has the right to reject any request for service based on 807 KAR 5:006 Section 15 and associated tariffs.

The Company may require verification of ownership of property, lease, applicant's identity or other requested information.

A copy of the tariffs and standard terms and conditions under which service is to be rendered to the Customer will be furnished upon request and the Customer shall elect upon which tariff applicable to his service his application shall be based. A copy of the tariff is also available on-line at www.kentuckypower.com.

If the Company requires a written agreement from a Customer before service will be commenced, a copy of the agreement will be furnished to the Customer upon request.

When the Customer desires delivery of energy at more than one point, a separate agreement may be required for each separate point of delivery. Service delivered at each point of delivery will be billed separately under the applicable tariff.

#### 2. INSPECTION

The Customer is responsible for the proper installation and maintenance of the customer's wiring and electrical equipment and the customer shall at all times be responsible for the character and condition thereof. The Company has no obligation to undertake inspection thereof and in no event shall be responsible therefore. However, the Company may disconnect or refuse to connect service if the customer's wiring is deemed unsafe by the Company.

Company may also require a new state electrical inspection should tampering, illegal use or theft of service be the basis for disconnection service.

Where a Customer's premises are located in a municipality or other governmental subdivision where inspection laws or ordinances are in effect, the Company may withhold furnishing service to new installations until the Company has received evidence that the inspection laws or ordinances have been complied with.

Where a Customer's premises are located outside of an area where inspection service is in effect, the Company may require the delivery by the Customer to the Company of an agreement duly signed by the owner and/or tenant of the premises authorizing the connection to the wiring system of the Customer and assuming responsibility therefore. No responsibility shall attach to the Company because of any waiver of this requirement.

(Cont'd on Sheet No. 2-2)

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#### 3. SERVICE CONNECTIONS.

Service connections will be provided in accordance with 807 KAR-5:041, Section 10.

The Customer should in all cases consult the Company before the Customer's premises are wired to determine the location of Company's point of service connection.

The Company will, when requested to furnish service, designate the location of its service connection. The Customer's wiring must, except for those cases listed below, be brought outside the building wall nearest the Company's service wires so as to be readily accessible thereto. When service is from an overhead system, the Customer's wiring must extend at least 18 inches beyond the building. Where Customers install service entrance facilities which have capacity and layout specified by the Company and/or install and use certain equipment specified by the Company, the Company may supply or offer to own certain facilities on the Customer's side of the point where the service wires attach to the building.

All inside wiring must be grounded in accordance with the requirements of the National Electrical Code or the requirements of any local inspection service authorized by a state or local authority.

When a Customer desires that energy be delivered at a point or in a manner other than that designated by the Company, the Customer shall pay the additional cost of same.

#### 4. DEPOSITS.

Prior to providing service or at any time thereafter, the Company may require a cash deposit or other guaranty acceptable to the Company to secure payment of bills except for customers qualifying for service reconnection pursuant to 807 KAR 5:006, Section 16, Winter Hardship Reconnection. Service may be refused or discontinued for failure to pay the requested deposit. Upon request from a residential customer the deposit will be returned after 18 months if the customer has established a satisfactory payment record; but commercial deposits will be retained by the Company during the entire time that the account remains active.

#### A. Interest

Interest will be paid on all sums held on deposit at the rate indicated in KRS 278.460. The interest will be applied by the Company as a credit to the Customer's bill or will be paid to the Customer on an annual basis. If the deposit is refunded or credited to the Customer's bill prior to the deposit anniversary date, interest will be paid or credited to the Customer's bill on a pro-rated basis.

The Company will not pay interest on deposits after discontinuance of service to the Customer. Retention of any deposit or guaranty by the Company prior to final settlement is not a payment or partial payment of any bill for service. The Company shall have a reasonable time in which to obtain a final reading and to ascertain that the obligations of the Customer have been fully performed before being required to return any deposits.

(Cont'd on Sheet 2-3)

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#### TERMS AND CONDITIONS OF SERVICE (Cont'd)

#### 4. DEPOSITS. (Cont'd)

## B. Criteria for Waiver of Deposit Requirement

The company may waive any deposit requirement based upon the following criteria, which may be considered by the Company cumulatively:

- Satisfactory payment history with the Company, which may be established by paying all bills by due date, having no
  disconnections for nonpayment, having no late notices, having no defaulted credit arrangements, having no returned payments
  and having no energy diversion or theft of service;
- 2. Satisfactory payment history with another utility acceptable to the Company;
- 3. Another customer with satisfactory payment history is willing to sign as a guarantor for an amount equal to the required deposit; or
- 4. Providing evidence of other collateral acceptable to Company.

#### C. Method of Determination

#### 1. Calculated Deposits

- a. Deposit amounts paid by residential customers shall not exceed a calculated amount based upon actual usage data of the Customer at the same or similar premises for the most recent 12-month period, if such information is available. If the actual usage data is not available, the deposit amount shall be based on the average bills of similar customers and premises in the customer class. The deposit shall not exceed 2/12 of the Customer's actual or estimated annual bill.
- b. Deposit amounts paid by commercial and industrial customers shall not exceed a calculated amount based upon actual usage data of the customer at the same or similar premises for the most recent 12-month period, if such information is available. If the actual usage data is not available, the deposit amount shall be based on the typical bills of similar customers and premises in the customer class. The deposit shall not exceed 2/12 of the customer's actual or estimated annual bill.

#### D. Additional or Supplemental Deposit Requirement

An additional or supplemental deposit may be required if the Customer does not maintain a satisfactory credit criteria or payment history. If a change in usage or classification of service has occurred, the customer may be required to pay an additional deposit up to 2/12 of the annual usage. The Customer will receive a message on the bill informing the Customer that if the account is not current by the specified date listed an additional or supplement deposit will be charged to the account the next time the account is billed.

- 1. Satisfactory payment history is defined as paying all bills by due date, having no disconnections for nonpayment, having no defaulted credit arrangements, having no returned payments and having no meter diversion or theft of service.
- 2. A nonresidential customer does not maintain satisfactory credit criteria when its credit score at any national independent credit rating service falls to a level that is deemed to present a risk of nonpayment, including but not limited to: below a "BB+" level at Standard and Poor's or below "Ba1" at Moody's. If a nonresidential customer is not rated by a national independent credit rating service, its credit may be evaluated by using credit scoring services, public record financial information, or financial scoring and modeling services, and if it is deemed that the customer presents a risk of nonpayment, a deposit may be required.

(Cont'd on Sheet No. 2-4)

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PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

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#### CANCELLING P.S.C. KY. NO. 11 ORIGINAL SHEET NO. 2-4 T

# TERMS AND CONDITIONS OF SERVICE (Cont'd)

#### 4. DEPOSITS. (Cont'd)

#### E. Recalculation of Customer Deposit

When a deposit is held longer than 18 months, the Customer may request that the deposit be recalculated based on the Customer's actual usage. If the amount of deposit on the account differs from the recalculated amount by more than \$10.00 for a residential Customer or 10 percent for a non-residential Customer, the Company may collect any underpayment and shall refund any overpayment. No refund will be made if the Customer's bill is delinquent at the time of the recalculation.

#### 5. PAYMENTS.

Bills will be rendered by the Company to the Customer monthly or in accordance with the tariff selected applicable to the Customer's service.

#### A. Equal Payment Plan (Budget)

Nonresidential customers with accounts that are current and that maintain satisfactory credit criteria per paragraph 4(D) above and all residential customers have the option of paying a fixed amount each month under the Company's Equal Payment Plan. The monthly payment amount will be based on one-twelfth of the Customer's estimated annual usage. The payment amount is subject to periodic review and adjustment during the budget year to more accurately reflect actual usage. The normal plan period is 12 months, which may commence April through December.

In the last month of the plan (the "settle-up month") if the actual usage during the plan period exceeds the amount billed, the Customer will be billed for the balance due. If an overpayment exists, the amount of overpayment will either be refunded to the Customer or credited to the last bill of the period. If a Customer discontinues service with the Company under the Equal Payment Plan, any amounts not yet paid shall become payable immediately.

If a Customer fails to pay bills as rendered under the Equal Payment Plan, the Company reserves the right to revoke the plan, restore the Customer to regular billing, require immediate payment of any deficiency, and require a cash deposit or other guaranty to secure payment of bills.

Customers currently enrolled in the Equal Payment Plan whose settle-up month falls within the period December through February may elect to change their settle-up month to November or March if their Equal Payment Plan account is current.

#### B. Average Monthly Payment Plan (AMP)

The Average Monthly Payment Plan (AMP Plan) is available to all residential customers with accounts that are current. The AMP Plan is also available to nonresidential customers with accounts that are current and that maintain satisfactory credit criteria per paragraph 4(D) above.

The AMP Plan is designed to allow the Customer to pay an average amount each month based upon the actual billed amounts during the past twelve (12) months. The average payment amount is based upon the current month's total bill plus the eleven (11) preceding months. That result is divided by the total billing days associated with the billings to determine a per day average. The daily average amount is multiplied by thirty (30) to determine the current month's payment under the AMP Plan. At the next billing period, the oldest month's billing history is removed, the current month's billing is added and the total is again divided by the total billing days associated with the billings to determine a per day average. Again the daily average amount is multiplied by thirty (30) to find the new average payment amount. The average monthly payment amount is calculated each and every month in this manner.

(Cont'd on Sheet 2-5)

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#### 5. PAYMENTS (Cont'd)

The difference between the actual billings and the AMP Plan billings will be carried in a deferred balance. Both the debit and credit differences will accumulate in the deferred balance for the duration of the AMP Plan year, which is twelve (12) consecutive billing T months. At the end of the AMP Plan year (anniversary month), the current month's billing plus the eleven (11) preceding month's billing is summed and divided by the total billing days associated with the billings to determine a per day average. That result is multiplied by thirty (30) to calculate the AMP Plan's monthly payment amount. In addition, the net accumulated deferred balance is T divided by 12. This result is added or subtracted to the calculated average payment amount starting with the next billing of the new AMP plan year and will be used in the average payment amount calculation for the remaining AMP plan year. Settlement occurs only when participation in the AMP Plan is terminated. This happens if any account is final billed, if the customer requests termination, or at the Company's discretion when the customer fails to make two or more consecutive monthly payments on an account by the due date. The deferred balance (debit or credit) is then applied to the billing now due.

In such instances where sufficient billing history is not available, an AMP Plan may be established by using the actual billing history available throughout the first AMP Plan year.

#### C. All Payments.

All bills are payable within the time limits specified in the tariff. Failure to receive a bill will not entitle a Customer to any discount or to the remission of any charges for non-payment within the time specified. The word "month" as used herein and in the tariffs is hereby defined to be the elapsed time between 2 successive meter readings approximately 30 days apart.

In the event of the stoppage of or the failure of any meter to register the full amount of energy consumed, the Customer will be billed for the period based on an estimated consumption of energy in a similar period of like use.

The tariffs of the Company are met if the account of the Customer is paid within the time limit specified in the tariff applicable to the Customer's service. To discourage delinquency and encourage prompt payment within the specified time limit, certain tariffs contain a delayed payment charge, which may be added in accordance with the tariff under which service is provided. Any one delayed payment charge billed against the Customer for non-payment of bill or any one forfeited discount applied against the Customer for non-payment of bill may be remitted, provided the Customer's previous accounts are paid in full and provided no delayed payment charge or forfeited discount has been remitted under this clause during the preceding six months.

## 6. Payment Arrangements

In accordance with 807 KAR 5:006 Section 14(2), Kentucky Power shall negotiate and accept reasonable payment arrangements at the request of a residential customer who has received a termination notice for failure to pay. Payment arrangements will include the following reasonable provisions:

- 1) Partial Payment Plans are available up to the day prior to the termination date printed on a customer's termination notice.
- 2) Partial Payment Plans are available only for current balances and balances up to 30 days in arrears.
- 3) Any balance more than 30 days in arrears must be paid in full at least one business day prior to the date the Partial Payment Plan is established.
- 4) Customers with delinquent or otherwise unsatisfied Partial Payment Plans may not be eligible for a Partial Payment Plan.
- 5) Unpaid deposit amounts are not eligible for inclusion in a Partial Payment Plan.
- 6) Company reserves the right to refuse unverifiable third party pledges toward a customer's obligations under a Partial Payment Plan.
- 7) Customer shall be advised, in writing or by telephone, the date and the amount of payment(s) due. Service may be terminated without additional notice if the Customer fails to meet the obligations of the agreed plan.
- 8) It is the responsibility of the customer presenting the Medical Certificate to contact the Company to negotiate a payment arrangement based upon the customer's ability to pay. The payment arrangement shall require that the account become current no later than October 15.
- 9) Customers presenting Certification from the Cabinet for Health and Family Services must do so during the initial 10 day termination notice period. As a condition of the 30 day extension, the customer shall exhibit good faith by entering into a payment arrangement.

(Cont'd on Sheet No. 2-6)

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PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

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#### 7. UNDERGROUND SERVICE

When a real estate developer desires an underground distribution system within the property which he is developing or when a Customer desires an underground service, the real estate developer or the Customer as the case may be, shall pay the Company the difference between the anticipated cost of the underground facilities so requested and the cost of the overhead facilities which would ordinarily be installed in accordance with 807 KAR 5:041, Section 21, and the Company's underground service plan as filed with the Public Service Commission. Upon receipt of payment, the Company will install the underground facilities and will own, operate and maintain the same.

Please see Tariff Sheet No. 40-1 for the underground differential cost schedule.

#### 8. COMPANY'S LIABILITY

The Company will use reasonable diligence in furnishing a regular and uninterrupted supply of energy, but does not guarantee uninterrupted service. The Company shall not be liable for damages in case such supply should be interrupted or fail by reason of an event of Force Majeure. Force Majeure consists of an event or circumstance which prevents Company from providing service, which event or circumstance was not anticipated, which is not in the reasonable control of, or the result of negligence of, the Company, and which, by the exercise of due diligence, Company is unable to overcome or avoid or cause to be avoided. Force Majeure events includes acts of God, the T public enemy, accidents, labor disputes, orders or acts of civil or military authority, breakdowns or injury to the machinery, transmission lines, distribution lines or other facilities of the Company, or extraordinary repairs.

Unless otherwise provided in a contract between the Company and Customer, the point at which service is delivered by Company to Customer, to be known as "delivery point," shall be the point at which the Customer's facilities are connected to the Company's facilities. The metering device is the property of the Company. The meter base, connection, grounds and all associated internal parts inside the meter base are customer owned and are the responsibility of the customer to install and maintain. The Company shall not be liable for any loss, injury, or damage resulting from the Customer's use of their equipment or occasioned by the energy furnished by the Company beyond the delivery point.

Any new installation, upgrade or other modification of an existing meter installation shall be made using only Company-supplied or Company-approved meter bases. A list of Company-approved meter bases and specifications can be found on the Company's website at: www.kentuckypower.com.

The Customer shall provide and maintain suitable protective devices on their equipment to prevent any loss, injury or damage that might result from single phasing conditions or any other fluctuation or irregularity in the supply of energy. The Company shall not be liable for any loss, injury or damage resulting from a single phasing condition or any other fluctuation or irregularity in the supply of energy which could have been prevented by the use of such protective devices. The Company shall not be liable for any damages, whether direct, incidental or consequential, including, without limitation, loss of profits, loss of revenue, or loss of production capacity occasioned by interruptions, fluctuations, or irregularity in the supply of energy.

The Company is not responsible for loss or damage caused by the disconnection or reconnection of its facilities. The Company is not responsible for loss or damages caused by the theft or destruction of Company facilities by a third party.

The Company will provide and maintain the necessary line or service connections, transformers (when same are required by conditions of contract between the parties thereto), meters and other apparatus, which may be required for the proper measurement of and protection to its service. All such apparatus shall be and remain the property of the Company.

(Cont'd on Sheet 2-7)

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## TERMS AND CONDITIONS OF SERVICE (Cont'd)

9. CUSTOMER'S LIABILITY.

In the event of loss or injury to the property of the Company through misuse by, or the negligence of, the Customer or the employees of the same, the cost of the necessary repairs or replacement thereof shall be paid to the Company by the Customer.

Customers will be responsible for tampering with, interfering with, or breaking the seals of meters, or other equipment of the Company Tinstalled on the Customer's premises. The Customer hereby agrees that no one except the employees of the Company shall be allowed to make internal or external adjustments to any meter or any other piece of apparatus, which shall be the property of the Company.

The Company shall have the right at all reasonable hours to enter the premises of the Customer for the purpose of installing, reading, removing, testing, replacing or otherwise disposing of its apparatus and property, and the right of entire removal of the Company's property in the event of the termination of the contract for any cause. The Company may assess charges based on electric usage and damages to all Company equipment.

#### 10. EXTENSION OF SERVICE

The electric facilities of the Company shall be extended or expanded to supply electric service to all residential Customers and small commercial Customers which require single phase line where the installed transformer capacity does not exceed 25 KVA in accordance with 807 KAR 5:041, Section 11.

The electric facilities of the Company shall be extended or expanded to supply electric service to Customers other than those named in the above paragraph when the estimated revenue is sufficient to justify the estimated cost of making such extensions or expansions as set forth below.

For services to be delivered to Commercial, Industrial, Mining and multiple housing project Customers up to and including estimated demands of 500 KW requiring new facilities, the Company will: (a) where the estimated revenue for one year exceeds the estimated installed cost of new local facilities required, provide such new facilities at no cost to the Customer; (b) where the estimated revenue for one year is less than the installed cost of new local facilities required, the Customer will be required to pay a contribution in aid of construction equal to the difference between the installed cost of the new facilities required to service the load and the estimated revenue for one year; (c) if the Company has reason to question the financial stability of the Customer and/or the life of the operation is uncertain or temporary in nature, such as construction projects, oil and gas well drilling, sawmills and mining operations, the Customer shall pay a contribution in aid of construction, consisting of the estimated labor cost to install and remove the facilities required plus the cost of unsalvageable material, before the facilities are installed.

For service to be delivered to Customers with demand levels higher than those specified above, the annual cost to serve the Customer's requirements shall be compared with the estimated revenue for one year to determine if a contribution in aid of construction, and/or a special minimum and/or other arrangement may be necessary. The annual cost to serve shall be the sum of the following components:

- The annual fixed costs of the generation, transmission and distribution facilities related to the Customer's requirements. These fixed
  costs will be calculated at 21.95% of the value to be based on the year-end embedded investment depreciated in all similar facilities
  of the Company.
- 2. The annual energy cost based on the latest available production costs related to the Customer's estimated annual energy use requirements.
- 3. The annual fixed costs of the new local facilities necessary to provide the service requested calculated at 21.95% of the installed cost of such facilities.

(Cont'd on Sheet No. 2-8)

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#### 10. EXTENSION OF SERVICE (Cont'd)

If the estimated revenue for one year is greater than the cost to serve as describe herein, the Company may provide any new local facilities required at no cost to the Customer. If the estimated revenue for one year is less than the cost to serve as described herein, the Company will require the Customer to pay a contribution in aid of construction equal to the difference between the annual cost to serve as calculated and the estimated revenue for one year divided by 21.95%, but in no case to exceed the installed cost of the new facilities required. If, however, the annual cost to serve excluding the cost of new facilities paid for by the Customer exceeds the estimated revenue for one year, the Company, will, in addition to a contribution in aid of construction, require a special minimum or other arrangement to compensate the Company for such deficiency in venue.

Except where service is rendered in accordance with 807 KAR 5:041, Section 11, as described herein, the Company may require the T Customer to execute an Advance and Refund Agreement where the Company reasonably questions the longevity of the service or the estimated energy use and demand requirements provided by the Customer. Under the Advance and Refund Agreement, the Customer T shall pay the company the estimated total installed cost of the required new facilities which advance could be refunded over a five year period under certain circumstances. Over the five year period the Customer' electric bill would be credited each month up to the amount of 1/60<sup>th</sup> of the total amount advanced.

#### 11. EXTENSION OF SERVICE TO MOBILE HOME.

The electrical facilities of the Company will be extended or expanded to supply electric service to mobile homes in accordance with 807 KAR 5:041, Section 12.

#### 12. LOCATION AND MAINTENANCE OF COMPANY EQUIPMENT.

The Company shall have the right to construct its poles, lines and circuits on the property, and to place its transformers and other apparatus on the property or within the building of the Customer, at a point or points convenient for such purposes, as required to serve such Customer, and the Customer shall provide suitable space for the installation of necessary measuring instruments so that the latter may be protected from injury by the elements or through the negligence or deliberate acts of the Customer or of any employee of the same.

#### 13. BILLING FORM.

Pursuant to 807 KAR 5:006, Section 7, copies of the billing forms used by the Company are shown on Sheet Nos. 2-17 thru 2-22.

#### 14. RATE SCHEDULE SELECTION

The Company will explain to the Customer, at the beginning of service or upon request, the Company's rates available to the Customer. T Company will assist Customer in the selection of the rate schedule best adapted to Customer's service requirements, provided, however, that Company does not assume responsibility for the selection or that Customer will at all times be served under the most favorable rate schedule.

Customer may change their initial rate schedule selection to another applicable rate schedule at any time by either written notice to Company and/or by executing a new contract for the rate schedule selected, provided that the application of such subsequent selection shall continue for 12 months before any other selection may be made. In no case will the Company refund any monetary difference between the rate schedule under which service was billed in prior periods and the newly selected rate schedules.

(Cont'd on Sheet No. 2-9)

DATE OF ISSUE: April 9, 2021

DATE EFFECTIVE: Service Rendered On And After January 14, 2021

ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

By Authority of Orders of the Public Service Commission

In Case No. 2020-00174 dated January 13, 2021; January 15, 2021; February 22, 2021, and March 17, 2021

**KENTUCKY** PUBLIC SERVICE COMMISSION

> Linda C. Bridwell **Executive Director**

PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

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#### 15. MONITORING USAGE.

At least once quarterly the Company will monitor the usage of each customer according to the following procedure:

- 1. The Customer's monthly usage will be compared with the usage of the corresponding period of the previous year.
- 2. If the monthly usage for the two periods is substantially the same or if any difference is known to be attributed to unique T circumstances, such as unusual weather conditions, common to all customers, no further review will be made.
- 3. If the monthly usage is not substantially the same and cannot be attributed to a readily identified common cause, the Company will compare the Customer's monthly usage records for the 12-month period with the monthly usage for the same months of the preceding year.
- If the cause for the usage deviation cannot be determined from analysis of the Customer's meter reading and billing records, the company will contact the Customer to determine whether there have been changes that explain the increased or decreased usage.
- 5. Where the deviation is not otherwise explained, the Company will test the Customer's meter to determine whether it shows an average error greater than 2 percent fast or slow.
- 6. The Company will notify the Customer of the investigation, its findings, and any refunds or back billing in accordance with 807 KAR T 5:006, Section 11(4) and (5).

In addition to the quarterly monitoring, the Company will immediately investigate usage deviations brought to its attention as a result of its on-going meter reading, billing processes, or customer inquiry.

#### 16. USE OF ENERGY BY CUSTOMER

The tariffs for electric energy given herein are classified by the character of use of such energy and are not available for service except as provided herein.

Upon the expiration of an electric service contract, if required by the terms of the tariff, the Customer may elect to renew the contract upon the same or another tariff published by the Company available to the Customer and applicable to the Customer's requirements, except that in no case shall the Company be required to maintain transmission, switching or transformation equipment different from or in addition to that generally furnished to other Customers receiving electrical supply under the terms of the tariff elected by the Customer.

The service connections, transformers, meters and appliances supplied by the Company for each Customer have a definite capacity and no additions to the equipment, or load connected thereto, will be allowed except by consent of the Company.

The Customer shall install only motors, apparatus or appliances which are suitable for operation with the character of the service supplied by the Company, and which shall not be detrimental to same, and the electric energy must not be used in such a manner as to cause unprovided-for voltage fluctuations or disturbances in the Company's transmission or distribution system. The Company shall be the sole T judge as to the suitability of apparatus or appliances, and also as to whether the operation of such apparatus or appliances is or will be detrimental to its general service.

(Cont'd on Sheet No. 2-10)

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> Linda C. Bridwell **Executive Director**

#### 16. USE OF ENERGY BY CUSTOMER. (Cont'd)

No attachment of any kind whatsoever may be made to the Company's lines, poles, cross arms, structures or other facilities without the express written consent of the Company.

All apparatus used by the Customer shall be of such type as to secure the highest practicable commercial efficiency, power factor and the proper balancing of phases. Motors which are frequently started or motors arranged for automatic control must be of a type to give maximum starting torque with minimum current flow, and must be of a type, and equipped with controlling devices, approved by the Company. The Customer agrees to notify the Company of any increase or decrease in his connected load

The Company will not supply service to Customers who have other sources of electrical energy supply except under tariffs that specifically provide for same.

The Customer shall not be permitted to operate generating equipment in parallel with the Company's service except with express written consent of the Company.

Resale of energy will be permitted only with express written consent by the Company.

#### 17. RESIDENTIAL SERVICE

Except as otherwise provided in these tariffs, individual residences shall be served individually with single-phase secondary service under the applicable residential service tariff. Customer may not take service for 2 or more separate residences through a single point of delivery under any tariff. Exclusions may be allowed pursuant to 807 KAR 5:046 (Prohibition of master metering).

The residential service tariff shall cease to apply to that portion of a residence which becomes regularly used for business, professional, institutional or gainful purposes, which requires three phase service or primary service or which requires service to motors in excess of 10 THP each. Under these circumstances, Customer shall have the choice of: (1) separating the wiring so that the residential portion of the premises is served through a separate meter under the residential service tariff, and the other uses as enumerated above are served T through a separate meter or meters under the applicable general service tariff; or (2) taking the entire service under the applicable general service tariff.

Detached building or buildings, actually appurtenant to the residence, such as a garage, stable or barn, may be served by an extension of the Customer's residence wiring through the residence meter and under the applicable residential service tariff.

# 18. DENIAL OR DISCONTINUANCE OF SERVICE.

The Company reserves the right to refuse or discontinue service to any customer if the customer is indebted to the Company for any service theretofore rendered at any location. Service will not be supplied or continued to any premises if at the time of application for service the Applicant is merely acting as an agent or person or former customer who is indebted to the Company for service previously supplied at the same, or other premises, until payment of such indebtedness shall have been made.

Unpaid balances of previously rendered Final Bills may be transferred to any account for which Customer has responsibility and may be included on initial or subsequent bills for the account to which the transfer was made. Such transferred Final Bills, if unpaid, will be a part of the past due balance of the account to which they are transferred. When there is no lapse in service, such transferred Final Bills will be subject to Company's collections and disconnect procedures in accordance with 807 KAR 5:006, Section 15(1)(f). Final Bills transferred following a lapse in service will not be subject to disconnection unless: (1) such service was provided pursuant to a fraudulent application submitted by Customer; (2) Customer and Company have entered into a contractual agreement which allows for such a disconnection;

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(Cont'd on Sheet 2-11)

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2021, and Warch 17, 2021

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# 18. DENIAL OR DISCONTINUANCE OF SERVICE. (Cont'd)

or (3) the current account is subsequently disconnected for service supplied at that point of delivery, at which time, all unpaid and past due balances must be paid prior to reconnect.

#### 19. SPECIAL CHARGES.

#### A. Reconnection and Disconnect Charges

In cases where the Company has discontinued service as herein provided for, the Company reserves the right to assess a reconnection charge pursuant to 807 KAR 5:006, Section 9 (3)(b), payable in advance, in accordance with the following schedule. However, those Customers qualifying for Winter Hardship Reconnection under 807 KAR 5:006 Section 16 shall be exempt from the reconnect charges.

1.	Reconnect for nonpayment during regular hours	\$ 4.70	R
2	Reconnect at the end of the day (No "Call Out" required)	\$ 30.00	
3.	Reconnect for nonpayment when a "Call Out" is required prior to 8:00 PM		Т
	(A "Call Out" is when an employee must be called in to work on overtime		
	basis to make the reconnect trip. Reconnection for nonpayment will not be made		
	when a "Call Out" after 8:00 p.m. is required)	\$ 95.00	Т
4.	Reconnect for nonpayment when double time is required		
	(Sunday and Holiday)	\$ 124.00	
5.	Termination or field trip	\$ 4.70	R

The reconnection charge for all Customers where service has been disconnected for fraudulent use of electricity will be the actual cost of the reconnection.

#### B. Meter Reading Check

Pursuant to 807 KAR 5:006, Section 9(3)(d) in cases where a customer requests a meter be reread, and the second reading shows the original reading was correct, the Customer will be charged a fee of \$21.00 to cover the handling cost.

#### C. Returned Check Charge

In cases where a customer pays by check, which is later returned as unpaid by the bank for any reason, the Customer will be charged a fee of \$14.65 to cover the handling costs.

D. <u>Meter Test Charge</u>

Where test of a meter is made upon written request by the Customer pursuant to 807 KAR 5:006, Section 19, the Customer will be charged \$48.00 if such test shows that the meter was not more than two percent (2%) fast.

(Cont'd on Sheet No. 2-12)

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PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

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# 19. SPECIAL CHARGES. (Cont'd)

#### E. Work performed on Company's Facilities at Customer's Request

Whenever, at the request and for the benefit of the Customer, work is performed on the Company's facilities, including the relocation, or replacement of the Company's facilities, the Customer shall pay to the Company in advance of the Company undertaking the work the estimated total cost of such work. This cost shall be itemized by major categories and shall include the Company's overheads and shall be credited with the net value of any salvageable material. The actual cost for the work performed shall be calculated at the completion of the work and the appropriate charge or refund will be made to the Customer.

Reasonable notice of not less than three working days shall be given to the Company for all requested work except for the covering of the Company's lines. Notice of any request for the Company to cover its lines shall be given at least two days in advance. The Company will endeavor to comply with all timely requests, but work may be delayed because of demands on the Company's personnel and equipment.

If the cost, as calculated above, is \$500 or less for covering the Company's distribution facilities no charge will be imposed. All costs in excess of \$500 for covering the Company's distribution facilities shall be paid by the Customer, in advance of the Company undertaking the work. The actual cost for the work performed shall be calculated at the completion of the work and the appropriate charge or refund will be made to the customer.

#### 20. REFUNDS TO RESIDENTIAL CUSTOMERS.

The Company may make a refund to residential customers by one of the following means: a credit to the Customer's bill, a prepaid card, or a check or electronic funds transfer (EFT).

The Company acting through its customer service representative shall fully address and resolve any customer complaints or disputes related to: (a) the accuracy of the names and last known addresses of the customer to receive prepaid cards; (b) the effective delivery and receipt of the prepaid cards; and (c) the amount of any refunds.

(Cont'd on Sheet No. 2-13)

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PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

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# CANCELLING P.S.C. KY. NO. 11 ORIGINAL SHEET NO. 2-13 T

#### TERMS AND CONDITIONS OF SERVICE (Cont'd)

#### 21. ALERTS AND SUBSCRIPTIONS.

Kentucky Power offers an optional Mobile Alert Service for customers through which participating customers can elect to receive notifications from the Company via e-mail or text message. The Company provides billing and payment alerts and alerts relating to outages. These alerts are supplemental to standard communications from the Company and to the extent any discrepancies exist between the information contained in the mobile alerts and the information contained in standard communications from the Company, the information in the standard communications from the Company shall prevail.

Customers interested in receiving mobile alerts from Kentucky Power may sign up for the service through the Company's website at <a href="www.kentuckypower.com">www.kentuckypower.com</a>. The full terms and conditions of participating in the Kentucky Power Mobile Alert Service are included on the Company's website. Customers wishing to participate in Kentucky Power's Mobile Alert Service and to receive alerts via e-mail should add <a href="communications@kentuckypower-mail.com">communications@kentuckypower-mail.com</a> to the customer's email address book or spam filter to avoid alert communications from Kentucky Power being directed to spam. Customers are advised to contact their e-mail service provider for instructions on how to add addresses to an address book or spam filter if needed.

E-mail addresses from which alerts are sent through the Mobile Alert Service are used for sending e-mails only. Any e-mails sent to those addresses will not be received by the Company and the Company will not respond. Any electronic communication to the Company should be sent to <a href="mailto:Communications@kentuckypower-mail.com">Communications@kentuckypower-mail.com</a>.

There is no charge from the Company for the Mobile Alert Service; however, message and data rates may apply. Customers are advised to verify message and data rates with their cellular and internet service providers.

Information regarding the types of alerts and the Mobile Alert Service in general are provided below.

#### **Billing and Payment Alerts**

Billing and payment alerts provided through Kentucky Power's Mobile Alert Service are in addition to regular billing statements, payment notifications, disconnect notices, or other standard communications sent by Kentucky Power or its third party partners as required by law, regulation, or tariff filed by Kentucky Power or its subsidiaries. These alerts are not a replacement for any regular billing statement, payment notifications, disconnect notices, or other standard communications. In the event of a discrepancy between the information provided in a billing or payment alert provided through the Mobile Alert Service and the information provided in the Company's standard communication, the information in the standard communication shall prevail.

Kentucky Power shall not have any liability for any delay or failure to deliver a billing or payment alert or for any mistakes or errors in any billing or payment alert provided through the Mobile Alerts Service.

#### **Outage Alerts**

Kentucky Power provides alerts relating to system outages through its Mobile Alert Service. Outage alerts will be sent when the Company has evidence of an outage at a subscribed address. Due to variations in equipment from one area to another, it is possible that the accuracy of outage alerts will vary from one area to another. Recipients shall consider any outage related information as guidance and not as an absolute guarantee. Kentucky Power will send outage related notifications based upon available information and does not guarantee that the notifications will be without error.

Planned outages and short-duration outages will normally not generate an outage-related notification. During large-scale outage events, the frequency and timeliness of outage updates may be impacted.

Kentucky Power shall not have any liability for any delay or failure to deliver an outage-related notification.

(Cont'd on Sheet No. 2

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Linda C. Bridwell
Executive Director

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1/14/2021

#### 21. ALERTS AND SUBSCRIPTIONS (Cont'd).

#### General

Kentucky Power does not warrant or guarantee that alerts will be sent or received, and Kentucky Power shall not be responsible for any lost or misdirected messages.

Customers electing to participate in Kentucky Power's Mobile Alert Service authorize the Company to contact them via their elected T communication method with transactional messages pertaining to the service. Participation in the Mobile Alert Service shall be considered as affirmative consent to receive the related messages should these messages ever be classified as commercial in nature.

Kentucky Power shall not have any liability under any theory of recovery, whether in contract or tort, for any loss or damages due to delay or failure to deliver an alert through the Mobile Alert Service. Without limiting the previous sentence, Kentucky Power disclaims any liability, expressed or implied, for indirect or consequential damages arising from a customer's subscription to Kentucky Power's Mobile Alert Service.

Customer agrees not to publish, copy, communicate to the public, edit, retransmit, or amend any data received as part of Kentucky Power's Mobile Alert Service. The data communicated via the Mobile Alert Service is provided for the participating customer's personal non-commercial use only and may not be used for any other purpose.

Personal information and data ("Personal Data") provided by customers when using Kentucky Power's Mobile Alert Service will only be used by Kentucky Power and its suppliers and contractors for Mobile Alert Service-related purposes. Data other than Personal Data may be aggregated and used by the Company for the purpose of undertaking market research or in facilitating reviews, developments and improvements to Kentucky Power's Mobile Alert Service.

Customers participating in the Mobile Alert Service may discontinue a portion of or all alerts at any time by modifying their alert T subscription or by unsubscribing entirely. Customers wishing to modify or unsubscribe from the Mobile Alert Service may do so at the Company's website: www.kentuckypower.com or by contacting Kentucky Power's Customer Operations Centers at 1-800-572-1113. Kentucky Power will process a request to unsubscribe from the Mobile Alert Service within ten days of receiving the request. Kentucky Power is authorized to send a communication to a customer requesting to unsubscribe from the Mobile Alert Service to confirm the

The terms and conditions the Company's Mobile Alert Service shall be governed by applicable state law.

Customers electing to participate in the Company's Mobile Alert Service agree to the terms and conditions of the service and further agree that the terms and conditions may be updated from time to time. The Company will provide customers participating in the Mobile Alert Service with updated terms and conditions as they become effective. Customers participating in the Mobile Alert Service must take affirmative action to withdraw from the service if the customer does not agree with any new or updated term or condition of service. Failure to withdraw after an updated term and condition is provided by the Company means that the customer accepts the new or updated terms and conditions.

(Cont'd on Sheet No. 2-15)

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#### 21. ALERTS AND SUBSCRIPTIONS (Cont'd).

#### Additional Terms and Conditions for E-mail Alerts

If a customer sends an email to Kentucky Power with questions or comments, Kentucky Power may use the customer's e-mail address and other personal information included in the correspondence in order to respond. If a customer provides the Company with an e-mail address in order to receive alerts, Kentucky Power may use that e-mail address to send the customer other types of information.

A customer may unsubscribe from receiving e-mail alerts by clicking the "Unsubscribe" link near the bottom of an e-mail alert.

#### Additional Terms and Conditions for Text Message Alerts

Customers may elect to receive text alerts through Kentucky Power's Mobile Alert Service. For text alerts, message and data rates may apply consistent with the customer's mobile phone service agreement. Kentucky Power assumes no responsibility for any service charges received from customer's mobile phone service providers for text alerts received through the Mobile Alert Service. Kentucky Power is not responsible for and will not be liable for any breach of the terms of an agreement between a customer electing to receive text alerts through the Mobile Alert System and that customer's mobile phone service provider or for any mistake that may arise in the billing process.

To receive text alerts from the Company through the Mobile Alert Service, the customer must be the owner or legitimate user of the mobile phone registered or have the express consent of the owner or legitimate user. Customers electing to receive text alerts from the Company through the Mobile Alert Service are responsible for providing and maintaining a mobile phone and ensuring connection to a mobile network capable of receiving the text alerts.

Customers electing to receive text alerts through the Mobile Alert Service acknowledge that the text alerts may, at any time, be adversely affected by problems with the mobile phone network including, without limitation, interference to the network coverage. Kentucky Power shall not be responsible or liable for any loss, damage, or expense incurred directly or indirectly by customers electing to receive text alerts through the Mobile Alert Service as a result of any difficulties experienced by any cellular phone service provider.

(Cont'd on Sheet No. 2-16)

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Linda C. Bridwell Executive Director

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PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

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CANCELLING P.S.C. KY. NO. 11 ORIGINAL SHEET NO. 2-16

#### TERMS AND CONDITIONS OF SERVICE (Cont'd)

#### 21. ALERTS AND SUBSCRIPTIONS (Cont'd).

In the event a customer electing to receive text alerts through the Mobile Alert Service changes mobile phone service providers or telephone number, that customer is required to subscribe again to receive text alerts. If no alerts are sent or received for eighteen months, a customer's opt-in to that offering will expire. A customer must opt-in again to the program in order to receive alerts.

Kentucky Power may discontinue text alerts at any time. Customers electing to receive text alerts through the Mobile Alert Service will Treceive text alerts from 23711. Customers may unsubscribe from text alerts by texting STOP to 23711 and may obtain assistance via text by texting HELP to 23711.

(Cont'd on Sheet No. 2-17)

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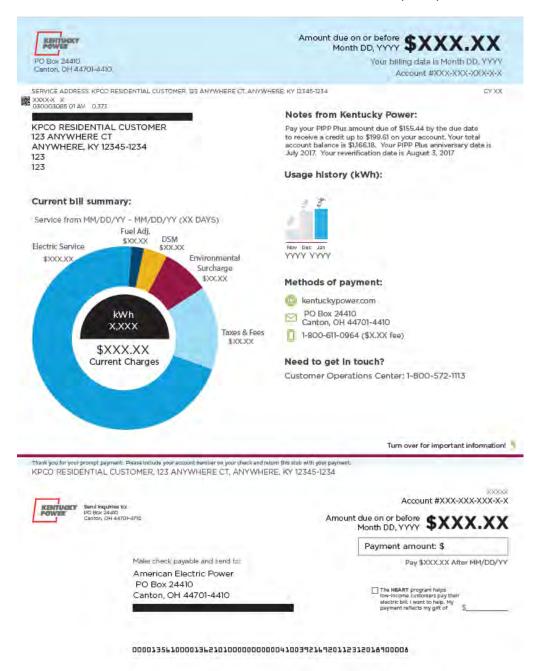
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Linda C. Bridwell Executive Director

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(Cont'd on Sheet No. 2-18)

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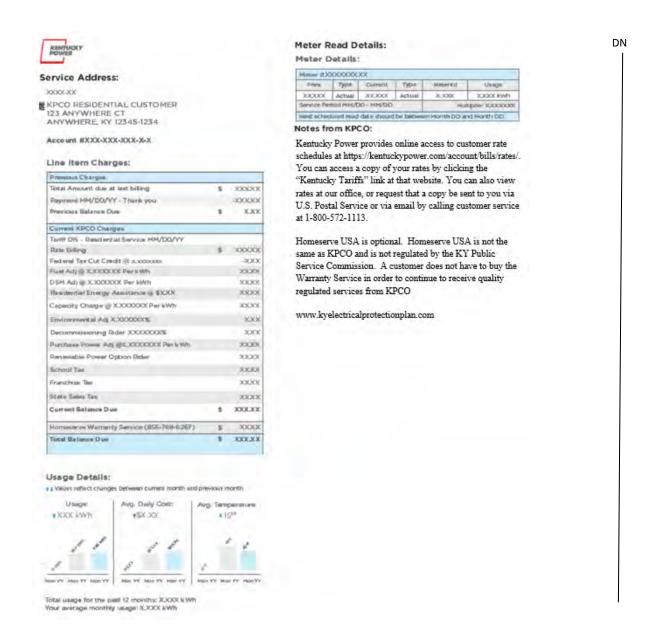
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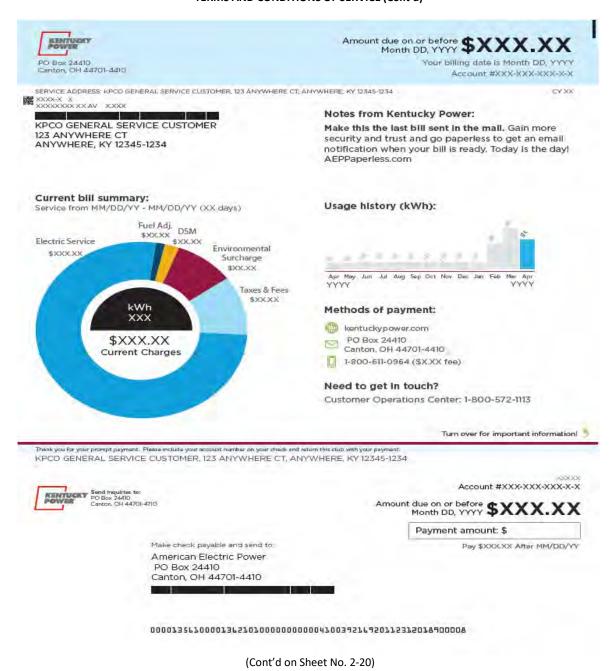
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Linda C. Bridwell Executive Director

2, 2021, and March 17, 2021 EFFECTIVE

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#### Meter Details:

Previous	Type	Current	Турш	Hatured	Usage
300000	Actival	XXXXXX	Actual	XXXX	XXXXX NWh
Sarvice Per	nod MM/D	0 - 444/00		Hul	10000000 Halland

#### Notes from KPCO:

Kentucky Power provides online access to customer rate schedules at https://kentuckypower.com/account/bills/rates/. You can access a copy of your rates by clicking the "Kentucky Tariffs" link at that website. You can also view rates at our office, or request that a copy be sent to you via U.S. Postal Service or via email by calling customer service at 1-800-572-1113.

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Total usage for the past 12 months: X,XXX kWh Your average monthly usage: XXX kWh

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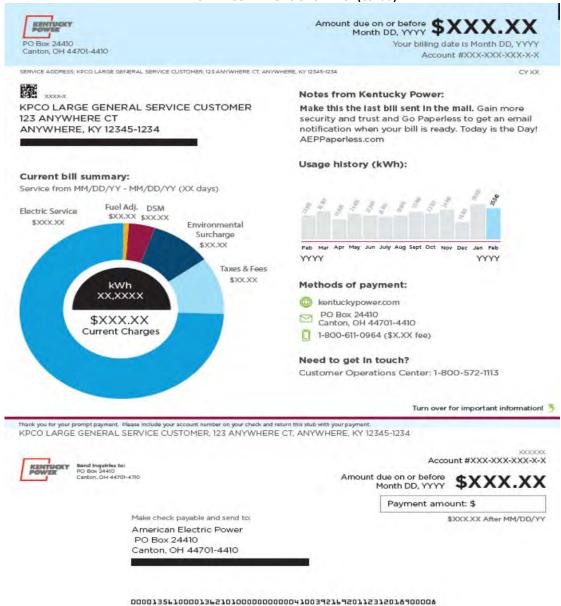
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**Executive Director** 

1/14/2021

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(Cont'd on Sheet No. 2-22)

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#### Service Address:

LARGE GENERAL SERVICE 170 LARGE WAY DRIVE LARGERSVILLE, KY 41465

#### Account #XXX-XXX-XXX-X-X

#### Line Item Charges:

Previous Charges		
Total Amount due at last billing	- 5	XXXXX
Payment MM/DD/YY - Thank You		N/OCIO
Previous Balance Due	\$	X.XX
Current KPCO Charges		
Tariff 240 - Large General Service MM/DD/YY		
Rate Billing	5	XXXXXX
Federal Tax Cull Credit (ii) X.XXXXXXXII		-X,XO
Economic Development Rider - IBDD		300,30
Economic Development Rider - SBDD		XXXX
Fuel Adj = X.XXXXXX Per kWh		XXXX
Kentucky Economic Development Surcharge   X.XX		XXXXX
DSH Adj. ⊕ X.XXXXXX Per kWh		XXXX
Capacity Charge ⊕ X.XXXXXX PerkWh		XX.XX
Environmental Adj XXXXXXX		XXX
Decommissioning Rider XXXXXXX		300,30
Purchased Power Adj @ XXXXXX Per kWh		(00.00
Renewable Power Option Rider		XXXX
School Tax		XXXX
Franchise Tax		XX.XX
State Sales Tvs		X.X3
Current Balance Due	- 5	XXXXX
Total Balance Due		XXXXX

#### Usage Details:

\*\* Values reflect changes between current month and previous month.



Total usage-for the past 12 months: XXX, XXX kWh.

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(Cont'd on Sheet No. 2-23)

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#### Meter Details:

Presc	Type	Correct	Type	Mellered	Osage
XXXXXX	Астий	300,000	Actual	300,3000	XX,XXX A WE
	Actual		Actual	300EXXX	3000LX30X kW
XXXXX	Actual	XXXXX	Actual	XXXXX	XXXXXX boost
ennce Pari	od NH/D	D+HH/DD		Hubin	For EXXXXXXX

#### Notes from KPCO:

Kentucky Power provides online access to customer rate schedules at https://kentuckypower.com/account/bills/rates/. You can access a copy of your rates by clicking the "Kentucky Tariffs" link at that website. You can also view rates at our office, or request that a copy be sent to you via U.S. Postal Service or via email by calling customer service at 1-800-572-1113.

#### CAPACITY AND ENERGY CONTROL PROGRAM

#### INTRODUCTION

Kentucky Power Company's Capacity and Energy Control Program outlines the procedures the Company will follow in the event of an emergency that threatens the continued reliable operation of bulk power supply system. Notwithstanding any provisions of this Capacity and Energy Control Program, the Company shall have the right to take whatever steps, with or without notice and without liability on Company's part, that Company believes necessary, in whatever order consistent with good utility practices and not on an unduly discriminatory basis, to preserve system integrity and to prevent the collapse of Company's electric system or interconnected electric network or to restore service following an outage. Such actions will be taken giving priority to maintaining service to Company's retail and full requirements customers relative to other sales whenever feasible and as allowed by law. The Company's Capacity and Energy Control T Program consists of three sets of procedures:

- I. **Procedures During Abnormal System Frequency**
- II. Capacity Deficiency Program
- III. **Energy Emergency Control Program**

Specific details regarding the Company's Capacity and Energy Control Program are included in the Company's Emergency Operating Plan ("EOP"). A copy of the Company's current EOP is on file with the Kentucky Public Service Commission in Administrative Case No. 345. Where this tariff diverts from the Company's EOP, the EOP Plan shall govern.

#### I. AEP/PJM PROCEDURES DURING ABNORMAL SYSTEM FREQUENCY (EOP Section IV)

#### **PURPOSE**

Precautionary procedures are required to meet emergency conditions such as system separation and operation at subnormal frequency. In addition, the coordination of these emergency procedures with neighboring companies is essential. The AEP/PJM program described below provides procedures for reducing the consumption of electric energy on the Company's system in the T event of a period of abnormal system frequency.

## AEP/PJM PROCEDURES

From 59.8 - 60.2 Hz, to the extent practicable, the Company will utilize all operating and emergency reserves. The manner of utilization of these reserves depends on the behavior of the System during the emergency.

For rapid frequency decline, the Company will utilize capacity that is on-line and automatically responsive to frequency (spinning reserve) and such measures as interconnection assistance and automatic load reductions to arrest the decline in frequency.

If the frequency decline is gradual, the Generation/Production Optimization Group, particularly in the deficient area, will invoke non-automatic procedures involving operating and emergency reserves. These efforts will continue until the frequency decline is arrested or until automatic load-shedding devices operate at subnormal frequencies. A deficient Balancing Authority shall only use the assistance provided by the Interconnection's frequency bias for the time needed to implement corrective actions. The Balancing Authority shall not unilaterally adjust generation in an attempt to return Interconnection frequency to normal beyond that supplied through frequency bias action and Interchange Schedule changes. Such unilateral adjustment may overload transmission facilities. At 59.75 Hz, the Company will suspend Automatic Generation Control (AGC) and notify Interruptible Customers to drop load.

(Cont'd on Sheet 3-2)

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If at any time the decline in area frequency is arrested below 59.5 Hz, the Company will evaluate whether the area should manually shed an additional 5% of its initial load. If, after five minutes, shedding 5% of load has not returned the area frequency to 59.5 Hz or above, the area shall manually shed an additional 5% of its remaining load and continue to repeat in five-minute intervals until 59.5 Hz is reached. These steps must be completed within the time constraints imposed upon the operation of generating units that are discussed in the EOP subsection titled, "Isolation of Coal-fired Generating Units."

Automatic Load Shedding Program details are located in Section IV of the Company's EOP.

#### II. CAPACITY DEFICIENCY PROGRAM (EOP Section III)

#### A. PURPOSE

The purpose of the Capacity Deficiency Program is to provide a plan for full utilization of emergency capacity resources and for orderly reduction in the aggregate customer demand on the American Electric Power (AEP) East/PJM Eastern System in the event of a capacity deficiency. A capacity deficiency is a shortage of generation versus load and can be caused by generating unit outages and/or extreme internal load requirements.

#### B. AEP EAST/PJM PROCEDURES

There are three general levels of emergency actions for capacity deficiencies:

- Alerts issued in advance of the operating day for elevated awareness and to give time for advanced preparations
- Warnings issued real time, typically preceding, and with an estimated time/window for a potential future ACTION.
- <u>Actions</u> issued real time and requires PJM and/or Member response. PJM actions are consistent with NERC and RFC EOP standards.

The Company may also issue an Advisory, one or more days in advance of the operating day during which a capacity deficiency may occur, that are general in nature and are for elevated awareness only. No preparations or actions are required in response to an T Advisory.

#### **Alerts**

# **Voluntary Customer Load Curtailment Alert**

The purpose of the Voluntary Customer Load Curtailment Alert is to alert members of the probable future need to implement a voluntary customer load curtailment. It is implemented whenever the estimated operating reserve capacity indicates a probable future need for voluntary customer load curtailment.

(Cont'd on Sheet 3-3)

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## **Real Time Emergency Procedures (Warnings and Actions)**

#### Warnings

Warnings are issued in real time during present operations to inform members of actual capacity shortages or contingencies that may jeopardize the reliable operation of the PJM RTO. Generally, a warning precedes an associated action. The intent of warnings is to keep all affected system personnel aware of the forecast and/or actual status of the PJM RTO.

#### Actions

The PJM RTO is normally loaded according to bid prices; however, during periods of reserve deficiencies, other measures must be taken to maintain system reliability. These measures involve:

- loading generation that is restricted for reasons other than cost
- recalling non-capacity backed off-system sales
- purchasing emergency energy from participants / surrounding pools
- load relief measures

The Company's EOP includes a nine step warning and action procedure during capacity deficiency conditions.

(Cont'd on Sheet 3-4)

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#### C. PRIORITY LEVELS

For the purpose of these capacity deficiency procedures, the following Priority Levels for loads have been established:

- I. Essential Health and Safety Uses to be given special consideration in these procedures shall, insofar as the situation permits, include the following types of use:
  - a. Hospitals, which shall be limited to institutions providing medical care to patients.
  - b. Life Support Equipment, which shall be limited to kidney machines, respirators, and similar equipment used to sustain the life of a person.
  - c. Police Stations and Government Detention Institutions, which shall be limited to essential uses required for police activities and the operation of facilities used for the detention of persons.
  - d. Fire Stations, which shall be limited to facilities housing mobile fire-fighting apparatus.
  - e. Communication Services, which shall be limited to essential uses required for telephone, telegraph, television, radio and newspaper operations, and operation of state and local emergency services.
  - f. Water and Sewage Services, which shall be limited to essential uses required for the supply of water to a community, flood pumping and sewage disposal.
  - g. Transportation and Defense-related Services, which shall be limited to essential uses required for the operation, guidance control and navigation of air, rail and mass transit systems, including those uses essential to the national defense and operation of state and local emergency services. These uses shall include essential services such as street, highway and signal-lighting.

Although, when practical, these types of uses will be given special consideration when implementing the manual load-shedding provisions of this program, any customer may be affected by rotating or unplanned outages and should install emergency generation equipment if continuity of service is essential. Where the emergency is system-wide in nature, consideration will be given to the use of rotating outages as operationally practicable. In case of customers supplied from two utility sources, only one source will be given special consideration. Also, any other customers who, in their opinion, have critical equipment should install emergency generation equipment.

Company maintains lists of customers with life support equipment and other critical needs for the purpose of curtailments and service restorations. Company, lacking knowledge of changes that may occur at any time in Customer's equipment, operation, and backup resources, does not assume the responsibility of identifying customers with priority needs. It shall, therefore, be Customer's responsibility to notify Company if Customer has critical needs.

(Cont'd on Sheet 3-5)

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#### C. PRIORITY LEVELS (Cont'd)

- II. Critical Commercial and Industrial Uses Except as described in Section C.III below, these uses shall include commercial or industrial operations requiring regimented shutdowns to prevent conditions hazardous to the general population, and to energy utilities and their support facilities critical to the production, transportation, and distribution of service to the general population. Company shall maintain a list of such customers for the purpose of curtailments and service restoration.
- III. Residential Use Residential use during certain weather conditions (for example severe winter weather) will receive precedence over critical commercial and industrial uses. The availability of Company service personnel and the circumstances associated with the outage will also be considered in the restoration of service.
- IV. Non-critical commercial and industrial uses.
- V. Nonessential Uses The following and similar types of uses of electric energy shall be considered nonessential for all customers:
  - Outdoor flood and advertising lighting, except for the minimum level to protect life and property, and a single illuminated sign identifying commercial facilities when operating after dark.
  - b. General interior lighting levels greater than minimum functional levels.
  - Show-window and display lighting.
  - d. Parking lot lighting above minimum functional levels.
  - e. Energy use to lower the temperature below 78 degrees during operation of cooling equipment and above 65 degrees during operation of heating equipment.
  - f. Elevator and escalator use in excess of the minimum necessary for non-peak hours of use.
  - g. Energy use greater than that which is the minimum required for lighting, heating, or cooling of commercial or industrial facilities for maintenance cleaning or business-related activities during non-business hours.

Non-jurisdictional customers will be treated in a manner consistent with the curtailment procedures contained in the service agreement between the parties or the applicable tariff.

# D. <u>CURTAILMENT PROCEDURES</u>

In the event Company's load exceeds internal generation, transmission, or distribution capacity, or other system disturbances exist, and internal efforts have failed to alleviate the problem, including emergency energy purchases, the following steps may be taken, individually or in combination, in the order necessary as time permits:

(Cont'd on Sheet 3-6)

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#### D. <u>CURTAILMENT PROCEDURES (Cont'd)</u>

- 1. Customers having their own internal generation capacity will be curtailed, and customers on interruptible contracts will be curtailed for the maximum hours and load allowable under their contract. Nothing in this procedure shall limit Company's rights under the Contract Service Interruptible Power Tariff or the Alternate Feed Service Rider.
- 2. Power output will be maximized at Company's generating units.
- 3. Company use of energy at its generating stations will be reduced to a minimum.
- 4. Company's use of electric energy in the operation of its offices and other facilities will be reduced to a minimum.
- 5. The Kentucky Public Service Commission will be advised of the situation.
- 6. An appeal will be made to customers through the news media and/or personal contact to voluntarily curtail as much load as possible. The appeal will emphasize the defined priority levels as set forth above.
- 7. Customers will be advised through the use of the news media and personal contact that load interruption is imminent.
- 8. Implement procedures for interruption of selected distribution circuits.

# E. SERVICE RESTORATION PROCEDURES

Where practical, priority uses will be considered in restoring service and service will be restored in the order I through V as defined under Priority Levels described above. However, because of the varieties of unpredictable circumstances which may exist or precipitate outages, it may be necessary to balance specific individual needs with infrastructure needs that affect a larger population. When practical, Company will attempt to provide estimates of repair times on its website to aid customers in assessing the need for alternative power sources and temporary relocations.

#### III. ENERGY EMERGENCY CONTROL PROGRAM (EOP Section V)

#### A. INTRODUCTION

The purpose of this plan is to provide for the reduction of the consumption of electric energy on the American Electric Power Company System in the event of a severe coal fuel shortage, such as might result from a general strike, or severe weather.

#### B. PROCEDURES

In the event of a potential severe coal shortage, such as one resulting from a general coal strike, the following steps will be implemented. These steps will be carried out to the extent permitted by contractual commitments or by order of the regulatory authorities having jurisdiction. For further information, see EOP Section V.

With regard to mandatory curtailments, the Company proposes to monitor compliance after the fact. A customer exceeding his electric allotment would be warned to curtail his usage or face, upon continuing noncompliance and upon one day's actual written notice, disconnection of electric service for the duration of the energy emergency.

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#### STANDARD NOMINAL VOLTAGES

The voltage available to any individual customer shall depend upon the voltage of the Company's lines serving the area in which customer is provided service.

Electric service provided under the Company's rate schedules will be 60 hertz alternating current delivered from various load centers at nominal voltages and phases as available in a given location as follows:

#### SECONDARY DISTRIBUTION VOLTAGES.

**Residential Service** 

Single phase 120/240 volts three wire or 120/208 volts three wire on network system.

General Service - All Except Residential

Single-phase 120/240 volts three wire or 120/208 volts three wire on network system. Three-phase 120/208 volts four wire on network system, 120/240 volts four wire, 240 volts three wire, 480 volts three wire and 277/480 volts four wire, Single-phase 480 volts two wire, and Single-phase 240/480 volts three wire.

#### PRIMARY DISTRIBUTION VOLTAGES.

The Company's primary distribution voltage levels at load centers are 2,400; 4,160Y; 7,200; 12,470Y; 19,900 and 34,500Y.

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# SUBTRANSMISSION LINE VOLTAGES.

The Company's sub transmission voltage levels are 34,500; 46,000; and 69,000.

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#### TRANSMISSION LINE VOLTAGES.

The Company's transmission voltage levels are 138,000; 161,000; 345,000; and 765,000.

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# TARIFF F.A.C. (Fuel Adjustment Clause)

#### APPLICABLE.

To Tariffs R.S., R.S.-L.M.-T.O.D., R.S.-T.O.D., R.S.-T.O.D. 2, R.S.D., G.S., S.G.S.-T.O.D., M.G.S.-T.O.D., L.G.S., L.G.S.- T.O.D., I.G.S., C.S.-I.R.P., C.S. Coal, M.W., O.L., and S.L.

# RATE.

1. The fuel clause shall provide for periodic adjustment per kWh of sales equal to the difference between the fuel costs per kWh of sales in the base period and in the current period according to the following formula:

Adjustment Factor =  $\frac{F(m)}{S(m)} - \frac{F(b)}{S(b)}$ 

Where F is the expense of fossil fuel in the base (b) and current (m) periods; and S is sales in the base (b) and current (m) periods, all as defined below:

- 2. F(b)/S(b) shall be so determined that on the effective date of the Commission's approval of the utility's application of the formula, the resultant adjustment will be equal to zero (0).
- 3. Fuel costs (F) shall be the most recent actual monthly cost of:
  - a. Fossil fuel consumed in the utility's own plants, and the utility's share of fossil and nuclear fuel consumed in jointly owned or leased plants, plus the cost of fuel which would have been used in plants suffering forced generation or transmission outages, but less the cost of the fuel related substitute generation, plus
  - b. The actual identifiable fossil and nuclear fuel costs [if not known--the month used to calculate fuel (F), shall be deemed to be the same as the actual unit cost of the Company generation in the month said calculations are made. When actual costs become known, the difference, if any, between fuel costs (F) as calculated using such actual unit costs and the fuel costs (F) used in that month shall be accounted for in the current month's calculation of fuel costs (F)] associated with energy purchased for reasons other than identified in paragraph (c) below, but excluding the cost of fuel related to purchases to substitute the forced outages, plus
  - c. The net energy cost of energy purchases, exclusive of capacity or demand charges (irrespective of the designation assigned to such transaction) when such energy is purchased on an economic dispatch basis. Included therein may be such costs as the charges for economy energy purchases and the charges as a result of scheduled outage, all such kinds of energy being purchased by the Company to substitute for its own higher cost energy; and less
  - d. The cost of fossil fuel recovered through intersystem sales including the fuel costs related to economy energy sales and other energy sold on an economic dispatch basis.
  - e. The fuel-related costs charged to the Company by PJM Interconnection LLC including but not limited to those costs identified in the following Billing Line Items, as may be amended from time to time by PJM Interconnection LLC: Billing Line Items 1210, 2210, 1215, 1218, 2217, 2218, 1230, 1250, 1260, 2260, 1370, 2370, 1375, 2375, 1400, 1410, 1420, 1430, 1478, 1340, 2340, 1460, 1350, 2350, 1360, 2360, 1470, 1377, 2377, 1480, 1378, 2378, 1490, 1500, 2420, 2220, 1200, 1205, 1220, 1225, 2500, 2510, 1930, 2211, 2215, 2415 and 2930.
  - f. All fuel costs shall be based on weighted average inventory costing.

(Cont'd on Sheet No. 5-2)

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# CANCELLING P.S.C. KY. NO. 11 1<sup>ST</sup> REVISED SHEET NO. 5-2

# TARIFF F.A.C. (Cont'd) (Fuel Adjustment Clause)

- 4. Forced outages are all nonscheduled losses of generation or transmission which require substitute power for a continuous period in excess of six (6) hours. Where forced outages are not as a result of faulty equipment, faulty manufacture, faulty design, faulty installations, faulty operation, or faulty maintenance, but are Acts of God, riot, insurrection or acts of the public enemy, then the utility may, upon proper showing, with the approval of the Commission, include the fuel costs of substitute energy in the adjustment. Until such approval is obtained, in making the calculations of fuel costs (F) in subsection (3)(a) and (b) above, the forced outage costs to be subtracted shall be no less than the fuel cost related to the lost generation.
- 5. Sales (S) shall be all kWh's sold, excluding intersystem sales. Where, for any reason billed system sales cannot be coordinated with T the fuel costs for the billing period, sales may be equated to the sum of (i) generation, (ii) purchases, (iii) interchange in, less (iv) energy associated with pumped storage operations, less (v) intersystem sales referred to in subsection (3)(d) above, less (vi) total system loss. Utility used energy shall not be excluded in the determination of sales (S).
- 6. The cost of fossil fuel shall include no items other than the invoice price of fuel less any cash or other discounts. The invoice price of fuel includes the cost of the fuel itself and necessary charges for transportation of the fuel from the point of acquisition to the unloading point, as listed in Account 151 of FERC Uniform System of Accounts or Public Utilities and Licensees.
- 7. At the time the fuel clause is initially filed, the utility shall submit copies of each fossil fuel purchase contract not otherwise on file with the Commission and all other agreements, options or similar such documents, and all amendments and modifications thereof related to the procurement of fuel supply and purchased power. Incorporation by reference is permissible. Any changes in the documents, including price escalations, or any new agreements entered into after the initial submission, shall be submitted at the time they are entered into. Where fuel is purchased from utility-owned or controlled sources, or the contract contains a price escalation clause, those facts shall be noted and the utility shall explain and justify them in writing. Fuel charges, which are unreasonable, shall be disallowed and may result in the suspension of the fuel adjustment clause. The Commission on its own motion may investigate any aspect of fuel purchasing activities covered by this regulation.
- 8. Any tariff filing which contains a fuel clause shall conform that clause with this regulation within three (3) months of the effective date of this regulation. The tariff filing shall contain a description of the fuel clause with detailed cost support.
- 9 The monthly fuel adjustment shall be filed with the Commission ten (10) days before it is scheduled to go into effect, along with all the necessary supporting data to justify the amount of the adjustments, which shall include data and information as may be required T by the Commission.
- 10. Copies of all documents required to be filed with the Commission under this regulation shall be open and made available for public inspection at the office of the Public Service Commission pursuant to the provisions of KRS61.870 to 61.884.
- 11. At six (6) month intervals, the Commission shall conduct a formal review and may conduct public hearings on a utility's past fuel adjustments. The Commission shall order a utility to charge off and amortize, by means of a temporary decrease of rates, any adjustment the Commission finds unjustified due to improper calculation or application of the charges or improper fuel procurement practice.
- 12. Every two (2) years following the initial effective date of each utility fuel clause, the Commission shall conduct a formal review and evaluate past operations of the clause, disallow improper expenses, and to the extent appropriate, reestablish the fuel clause charge in accordance with Section 1 (2) of the administrative regulation.
- 13. Resulting cost per kilowatt-hour in April 2017 to be used as the base cost in Standard Fuel Adjustment Clause is:

Fuel - April 2017 ÷ \$11,814,526 = \$0.02851/kWh

Sales April 2017 414,368,000

This, as used in the Fuel Adjustment Clause, is 2.851¢ per kik

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# TARIFF R.S. (Residential Service)

#### **AVAILABILITY OF SERVICE.**

Available for full domestic electric service through 1 (one) meter to individual residential customers including rural residential customers engaged principally in agricultural pursuits.

**RATE.** (Tariff Codes 015, 017, 022)

# MINIMUM CHARGE.

This tariff is subject to a minimum monthly charge equal to the Service Charge.

# ADJUSTMENT CLAUSES.

The bill amount computed at the charges specified above shall be increased or decreasedin accordance with the following:

Fuel Adjustment Clause	Sheet No. 5
System Sales Clause	Sheet No. 19
Franchise Tariff	Sheet No. 20
Demand-Side Management Adjustment Clause	Sheet No. 22
Federal Tax Cut Tariff	Sheet No. 23
Residential Energy Assistance	Sheet No. 25
Environmental Surcharge	Sheet No. 29
Capacity Charge	Sheet No. 30
School Tax	Sheet No. 33
Purchase Power Adjustment	Sheet No. 35
Decommissioning Rider	Sheet No. 38

DUE DATE.

Bills under this tariff are due and payable within fifteen (15) days of the mailing date.

# **VOLUNTEER FIRE DEPARTMENTS** (Tariff Code 024)

Volunteer Fire Departments may qualify pursuant to KRS 278.172 for this tariff but will be required to provide a completed Form 990 and update it annually.

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# TARIFF R.S. (Cont'd) (Residential Service)

#### STORAGE WATER HEATING PROVISION.

This provision is withdrawn except for the present installations of current customers receiving service hereunder at premises served prior to April 1, 1997.

If the customer installs a Company approved storage water heating system which consumes electrical energy only during off-peak hours as specified by the Company and stores hot water for use during on-peak hours, the following shall apply:

#### Tariff Code

- 012 (a) For Minimum Capacity of 80 gallons, the last 300 KWH of use in any month shall be billed at 8.127¢ per KWH.
- 013 (b) For Minimum Capacity of 100 gallons, the last 400 KWH of use in any month shall be billed at 8.127¢ per KWH.
- 014 (c) For Minimum Capacity of 120 gallons or greater, the last 500 KWH of use in any month shall be billed at 8.127¢ per KWH.

These provisions, however, shall in no event apply to the first 200 KWH used in any month, which shall be billed in accordance with the "Monthly Rate" as set forth above.

For purpose of this provision, the on-peak billing period is defined as 7:00A.M. to 9:00P.M. for all weekdays, Monday through Friday. The off-peak billing period is defined as 9:00PM to 7:00AM for all weekdays and all hours of Saturday and Sunday.

The Company reserves the right to inspect at all reasonable times the storage water heating system and devices which qualify the residence for service under the storage water heater provision, and to ascertain by any reasonable means that the time-differentiated load characteristics of such devices meet the Company's specifications. If the Company finds that in its sole judgment the availability conditions of this provision are being violated, it may discontinue billing the Customer under this provision and commence billing under the standard monthly rate.

This provision is subject to the Service Charge, and the adjustment clauses as stated in the Adjustment Clauses section.

### **LOAD MANAGEMENT WATER-HEATING PROVISION.** (Tariff Code 011)

For residential customers who install a load management water-heating system which consumes electrical energy during off-peak hours specified by the Company and stores hot water for use during on-peak hours, of minimum capacity of 80 gallons, the last 250 KWH of use in any month shall be billed at 8.127¢ per KWH.

This provision, however, shall in no event apply to the first 200 KWH used in any month, which shall be billed in accordance with the "Monthly Rate" as set forth above.

For the purpose of this provision, the on-peak billing period is defined as 7:00 A.M. to 9:00 P.M. for all weekdays, Monday through Friday. The off-peak billing period is defined as 9:00 P.M. to 7:00 A.M. for all weekdays and all hours of Saturday and Sunday.

The Company reserves the right to inspect at all reasonable times the load management water-heating system(s) and devices which qualify the residence for service under the Load Management Water-Heating Provision. If the Company finds that, in its sole judgment, the availability conditions of this provision are being violated; it may discontinue billing the Customer under this provision and commence billing under the standard monthly rate.

This provision is subject to the Service Charge, and the adjustment clauses as stated in the Adjustment Clauses section.

(Cont'd on Sheet No. 6-3)

DATE OF ISSUE: April 9, 2021

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ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

By Authority of Orders of the Public Service Commission

In Case No. 2020-00174 dated January 13, 2021; January 15, 2021, February

KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

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TARIFF R.S. (Cont'd) (Residential Service)

#### SPECIAL TERMS AND CONDITIONS.

This tariff is subject to the Company's Terms and Conditions of Service.

This service is available to rural domestic customers engaged principally in agricultural pursuits where service is taken through one meter for residential purposes as well as for the usual farm uses outside the home, but it is not extended to operations of a commercial nature or operations such as processing, preparing or distributing products not raised or produced on the farm, unless such operation is incidental to the usual residential and farm uses.

The Company shall have the option of reading meters monthly or bimonthly and rendering bills accordingly. When bills are rendered bimonthly, the minimum charge and the quantity of KWH in each block of the rates shall be multiplied by two.

Pursuant to 807 KAR 5:041, Section 11, paragraph (1), of Public Service Commission Regulations, the Company will make an extension of 1,000 feet or less to its existing distribution line without charge for a prospective permanent residential customer served under this R.S. Tariff. Pursuant to 807 KAR 5:041 Section 12 extensions of up to 150 feet for a mobile home are provided without charge.

This tariff is available for single-phase, residential service. Where the residential customer requests three-phase service, this tariff will apply if the residential customer pays to the Company the difference between constructing single-phase service and three-phase service. Where motors or heating equipment are used for commercial or industrial purposes, the applicable general service tariff will apply to such service.

Customers with PURPA Section 210 qualifying cogeneration and/or small power production facilities shall take service under Tariff COGEN/SPP I or by special agreement.

(Cont'd. on Sheet No. 6-4)

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TITLE: Vice President, Regulatory & Finance

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In Case No. 2020-00174 dated January 13, 2021; January 15, 2021; February 22, 2021, and March 17

KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

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#### TARIFF R.S.-L.M.-T.O.D.

### (Residential Service Load Management Time-of-Day)

#### **AVAILABILITY OF SERVICE.**

Available to customers eligible for Tariff R.S. (Residential Service) who use energy storage devices with time-differentiated load characteristics approved by the Company which consume electrical energy only during off-peak hours specified by the Company and store energy for use during on-peak hours.

Households eligible to be served under this tariff shall be metered through a multiple-register meter capable of measuring electrical energy consumption during the on-peak and off-peak billing periods.

**RATE.** (Tariff Codes 028, 030, 032, 034)

For the purpose of this tariff, the on-peak billing period is defined as 7:00 A.M. to 9:00 P.M. for all weekdays, Monday through Friday. The off-peak period is defined as 9:00 P.M. to 7:00 A.M. for all weekdays and all hours of Saturday and Sunday.

#### **CONSERVATION AND LOAD MANAGEMENT CREDIT.**

For the combination of an approved electric thermal storage space heating system and water heater, both of which are designed to consume electrical energy only between the hours of 9:00P.M. and 7:00A.M. for all days of the week, each residence will be credited 0.745¢ per KWH for all energy used during the off-peak billing period, for a total of 60 monthly billing periods following the installation and use of these devices in such residence.

# MINIMUM CHARGE.

This tariff is subject to a minimum monthly charge equal to the Service Charge.

### **ADJUSTMENT CLAUSES.**

The bill amount computed at the charges specified above shall be increased or decreasedin accordance with the following:

Fuel Adjustment Clause	Sheet No. 5
System Sales Clause	Sheet No. 19
Franchise Tariff	Sheet No. 20
Demand-Side Management Adjustment Clause	Sheet No. 22
Federal Tax Cut Tariff	Sheet No. 23
Residential Energy Assistance	Sheet No. 25
Environmental Surcharge	Sheet No. 29
Capacity Charge	Sheet No. 30
School Tax	Sheet No. 33
Purchase Power Adjustment	Sheet No. 35
Decommissioning Rider	Sheet No. 38

(Cont'd on Sheet No. 6-5)

DATE OF ISSUE: April 9, 2021

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KENTUCKY
PUBLIC SERVICE COMMISSION

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Linda C. Bridwell Executive Director

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# CANCELLING P.S.C. KY. NO. 11 ORIGINAL SHEET NO. 6-5 T

#### TARIFF R.S.-L.M.-T.O.D.

(Residential Service Load Management Time-of-Day)

<u>DUE DATE.</u> T

Bills under this tariff are due and payable within fifteen (15) days of the mailing date.

# bills under this tarm are due and payable within inteen (15) days of the maning date.

Customers who use electric thermal storage space heating and water heaters which consume energy only during off-peak hours specified by the Company, or other automatically controlled load management devices such as space and/or water heating equipment that use energy only during off-peak hours specified by the Company, shall have the option of having these approved load management devices separately metered. The service charge for the separate meter shall be \$4.30 per month.

### **SPECIAL TERMS AND CONDITIONS.**

SEPARATE METERING PROVISION.

This tariff is subject to the Company's Terms and Conditions of Service. Existing customers may initially choose to take service under this tariff without satisfying any requirements to remain on their current tariff for at least 12 months.

The Company reserves the right to inspect at all reasonable times the energy storage and load management devices which qualify the residence for service and for conservation and load management credits under this tariff, and to ascertain by any reasonable means that the time-differentiated load characteristics of such devices meet the Company's specifications. If the Company finds, that in its sole judgment, the availability conditions of this tariff are being violated; it may discontinue billing the Customer under this tariff and commence billing under the appropriate Residential Service Tariff.

This tariff is available for single-phase, residential service. Where the residential customer requests three-phase service, this tariff will apply if the residential customer pays to the Company the difference between constructing single-phase service and three-phase service. Where motors or heating equipment are used for commercial or industrial purposes, the applicable general service tariff will apply to such service.

Customers with PURPA Section 210 qualifying cogeneration and/or small power production facilities shall take service under Tariff COGEN/SPP I or by special agreement with the Company.

(Cont'd. on Sheet 6-6)

DATE OF ISSUE: April 9, 2021

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TITLE: Vice President, Regulatory & Finance

By Authority of Orders of the Public Service Commission

In Case No. 2020-00174 dated January 13, 2021; January 15, 2021; February 22, 2021, and March 1

KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

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# TARIFF R.S. - T.O.D. (Residential Service Time-of-Day)

# **AVAILABILITY OF SERVICE.**

Available for residential electric service through a multiple-register meter capable of measuring electrical energy consumption during the on-peak and off-peak billing periods to individual residential customers, including residential customers engaged principally in agricultural pursuits. Availability is limited to the first 1,000 customers applying for service under this tariff.

RATE. (Tariff Code 036)

Service Charge	\$ 21.00 per month	- 1
Energy Charge:	·	
All KWH used during on-peak billing period	. 14.773¢per KWH	- 1
All KWH used during off-peak billing period	8.127¢ per KWH	- 1

For the purpose of this tariff, the on-peak billing period is defined as 7:00A.M. to 9:00P.M. for all weekdays, Monday through Friday. The off-peak period is defined as 9:00P.M. to 7:00A.M. for all weekdays and all hours of Saturday and Sunday.

#### **MINIMUM CHARGE.**

This tariff is subject to a minimum monthly charge equal to the Service Charge.

# **ADJUSTMENT CLAUSES.**

The bill amount computed at the charges specified above shall be increased or decreasedin accordance with the following:

Fuel Adjustment Clause	Sheet No. 5	
System Sales Clause	Sheet No. 19	
Franchise Tariff	Sheet No. 20	
Demand-Side Management Adjustment Clause	Sheet No. 22	
Federal Tax Cut Tariff	Sheet No. 23	
Residential Energy Assistance	Sheet No. 25	
Environmental Surcharge	Sheet No. 29	Т
Capacity Charge	Sheet No. 30	T
School Tax	Sheet No. 33	
Purchase Power Adjustment	Sheet No. 35	
Decommissioning Rider	Sheet No. 38	

<u>DUE DATE.</u>

Bills under this tariff are due and payable within fifteen (15) days of the mailing date.

(Cont'd on Sheet No. 6-7)

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PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

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# TARIFF R.S.-L.M.-T.O.D. (Residential Service Time-of-Day)

# **SPECIAL TERMS AND CONDITIONS.**

This tariff is subject to the Company's Terms and Conditions of Service.

This tariff is available for single-phase, residential service. Where the residential customer requests three-phase service, this tariff will apply if the residential customer pays to the Company the difference between constructing single-phase service and three-phase service. Where motors or heating equipment are used for commercial or industrial purposes, the applicable general service tariff will apply to such service.

Customers with PURPA Section 210 qualifying cogeneration and/or small power production facilities shall take service under Tariff COGEN/SPP I or by special agreement with the Company.

(Cont'd on Sheet 6-8)

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KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

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#### TARIFF R.S. - T.O.D.2

# (Experimental Residential Service Time-of-Day 2)

# **AVAILABILITY OF SERVICE.**

Available on a voluntary, experimental basis to individual residential customers for residential electric service through a multi-register meter capable of measuring electrical energy consumption during variable pricing periods. Availability is limited to the first 500 customers applying for service under this tariff.

RATE.	(Tariff Code 027)
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Service Charge	\$21.00 per month	- 1
Energy Charge:		
All KWH used during Summer on-peak billing period	19.088¢ per KWH	1
All KWH used during Winter on-peak billing period	16.591¢ per KWH	
All KWH used during off-peak billing period	9.324¢ per KWH	

For the purpose of this tariff, the on-peak and off-peak billing periods shall be defined as follows:

<u>Months</u>	<u>On-Peak</u>	<u>Off-Peak</u>
Approximate Percent (%)	16%	84%
Of Annual Hours		
Winter Period:		
November 1 to March 31	7:00 A.M. to 11:00 A.M.	11:00 AM. to 6:00 P.M.
	6:00 P.M. to 10:00 P.M.	10:00 P.M. to 7:00 A.M.
Summer Period:		
May 15 to September 15	Noon to 6:00 P.M.	6:00 P.M. to Noon

All Other Calendar Periods None Midnight to Midnight NOTE: All KWH consumed during Saturday and Sunday are billed at the off-peak level.

# MINIMUM CHARGE.

This tariff is subject to a minimum monthly charge equal to the Service Charge.

#### ADJUSTMENT CLAUSES.

The bill amount computed at the charges specified above shall be increased or decreased in accordance with the following:

Fuel Adjustment Clause	Sheet No. 5
System Sales Clause	Sheet No. 19
Franchise Tariff	Sheet No. 20
Demand-Side Management Adjustment Clause	Sheet No. 22
Federal Tax Cut Tariff	Sheet No. 23
Residential Energy Assistance	Sheet No. 25
Environmental Surcharge	Sheet No. 29
Capacity Charge	Sheet No. 30
School Tax	Sheet No. 33
Purchase Power Adjustment	Sheet No. 35
Decommissioning Rider	Sheet No. 38

(Cont'd on Sheet No. 6-9)

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# TARIFF R.S. - T.O.D.2 (Residential Service Time-of-Day 2)

<u>DUE DATE.</u>

Bills under this tariff are due and payable within fifteen (15) days of the mailing date.

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# **SPECIAL TERMS AND CONDITIONS.**

This tariff is subject to the Company's Terms and Conditions of Service.

This tariff is available for single-phase, residential service. Where the residential customer requests three-phase service, this tariff will apply if the residential customer pays to the Company the difference between constructing single-phase service and three-phase service. Where motors or heating equipment are used for commercial or industrial purposes, the applicable general service tariff will apply to such service.

Customers with PURPA Section 210 qualifying cogeneration and/or small power production facilities shall take service under Tariff COGEN/SPP I or by special agreement with the Company.

(Cont'd on Sheet No. 6-10)

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KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

2021, and Warch 17, 2021

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# TARIFF R. S. D. (Residential Demand-Metered Electric Service)

#### **AVAILABILITY OF SERVICE.**

Available for residential electric service through one single-phase multiple-register demand meter. Availability is limited to the first 1,000 customers applying for service under this tariff.

# MONTHLY RATE. (Tariff Code 018)

Service Charge	\$21.00 per customer	1	
Energy Charge		1	
All KWH used during on-peak billing period All KWH used during off-peak billing period	•		
Demand Charge	•	F	₹

For the purpose of this tariff, the on-peak billing period is defined as follows:

Months of October – May......7:00 A.M to 11:00 A.M for all weekdays

Months of June – September ......4:00 P.M to 9:00 P.M for all weekdays

The off-peak billing period is defined as all weekday hours not defined above as on-peak hours and all hours of Saturday and Sunday.

# MINIMUM CHARGE.

This tariff is subject to a minimum monthly charge equal to the Service Charge.

#### **ADJUSTMENT CLAUSES.**

The bill amount computed at the charges specified above shall be increased or decreasedin accordance with the following:

Fuel Adjustment Clause	Sheet No. 5
System Sales Clause	Sheet No. 19
Franchise Tariff	Sheet No. 20
Demand-Side Management Adjustment Clause	Sheet No. 22
Federal Tax Cut Tariff	Sheet No. 23
Residential Energy Assistance	Sheet No. 25
Environmental Surcharge	Sheet No. 29
Capacity Charge	Sheet No. 30
School Tax	Sheet No. 33
Purchase Power Adjustment	Sheet No. 35
Decommissioning Rider	Sheet No. 38

### MONTHLY BILLING DEMAND.

Customer's demand will be taken monthly to be the highest registration of a 60 minute integrating demand meter or indicator during the onpeak period.

<u>DUE DATE.</u> T

Bills under this tariff are due and payable within fifteen (15) days of the mailing date.

(Cont'd on Sheet No. 6-11)

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TITLE: Vice President, Regulatory & Finance

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PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

2021, and March 17, 2021 EFFECTIVE

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# TARIFF R. S. D. (Cont'd) (Residential Demand-Metered Electric Service)

# SPECIAL TERMS AND CONDITIONS.

This Rider is subject to the Company's Terms and Conditions of Service and all provisions of the tariff under which the customer takes service, including all payment provisions. Where the residential customer requests three-phase service, this tariff will apply if the residential customer pays the Company the difference between constructing single-phase service and three-phase service. Where motors or heating equipment are used for commercial or industrial purposes, the applicable general service tariff will apply to such service.

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PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

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# TARIFF G.S. (General Service)

### **AVAILABILITY OF SERVICE.**

Available for general service customers. Customers may continue to qualify for service under this tariff until their average maximum demand exceeds 100 kW (excluding the demand served by the Load Management Time-of-Day provision).

Existing customers not meeting the above criteria will be permitted to continue service under present conditions only for continuous service at the premises occupied on or prior to December 5, 1984.

# RATE.

Tariff Code	Service Voltage	Demand Charge (\$/kW)	First 4,450 kWh (¢/kWh)	Over 4,450 kWh (¢/kWh)	Monthly Service Charge (\$)	
211, 212, 215, 216, 218	Secondary	6.61	11.146	10.440	25.00	Ш
217, 220	Primary	6.01	9.813	9.232	100.00	RIII
236	Subtransmission	4.68	8.902	8.380	400.00	RIII

The Demand Charge shall apply to all monthly billing demand in excess of 10 kW.

#### **MINIMUM CHARGE.**

This tariff is subject to a minimum charge equal to the sum of the service charge plus the demand charge multiplied by the monthly billing demand in excess of 10 kW.

#### ADJUSTMENT CLAUSES.

The bill amount computed at the charges specified above shall be increased or decreased in accordance with the following:

Fuel Adjustment Clause	Sheet No. 5	
System Sales Clause	Sheet No. 19	
Franchise Tariff	Sheet No. 20	
Demand-Side Management Adjustment Clause	Sheet No. 22	
Federal Tax Cut Tariff	Sheet No. 23	
Kentucky Economic Development Surcharge	Sheet No. 24	
Environmental Surcharge	Sheet No. 29	T
Capacity Charge	Sheet No. 30	Т
School Tax	Sheet No. 33	
Purchase Power Adjustment	Sheet No. 35	
Decommissioning Rider	Sheet No. 38	

# **DELAYED PAYMENT CHARGE.**

This tariff is due and payable in full on or before the due date stated on the bill. On all accounts not so paid, an additional charge of 5% of the unpaid balance will be made.

(Cont'd on Sheet No. 7-2)

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ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

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**KENTUCKY**PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

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# TARIFF G.S. (General Service)

### METERED VOLTAGE.

The rates set forth in this tariff are based upon the delivery and measurements of energy at the same voltage, thus measurement will be made at or compensated to the delivery voltage. At the sole discretion of the Company, such compensation may be achieved through the use of loss compensating equipment, the use of formulas to calculate losses or the application of multipliers to the metered quantities. In such cases, the metered KWH and KW values will be adjusted for billing purposes. If the Company elects to adjust KWH and KW based on multipliers, the adjustment shall be in accordance with the following:

- (1) Measurements taken at the low-side of a Customer-owned transformer will be multiplied by 1.01.
- (2) Measurements taken at the high-side of a Company-owned transformer will be multiplied by 0.98.

#### MONTHLY BILLING DEMAND.

Energy supplied hereunder will be delivered through not more than one single phase and/or polyphase meter. Customer's demand will be taken monthly to be the highest registration of a 15-minute integrating demand meter or indicator, or the highest registration of a thermal type demand meter. The monthly billing demand shall be the greater of: (1) Customer's metered kW demand, (2) 60% of the Customer's contract capacity in excess of 100 kW, or (3) 60% of the customer's highest previously established monthly billing demand during the past 11 months in excess of 100 kW.

The Company reserves the right to install a demand meter on any customer receiving service under this tariff. A demand meter will be installed by the Company for customers with monthly kWh usage of 4,450 kWh or greater.

# RECREATIONAL LIGHTING SERVICE PROVISION.

Available for service to customers with demands of 5 KW or greater and who own and maintain outdoor lighting facilities and associated equipment utilized at baseball diamonds, football stadiums, parks and other similar recreational areas. This service is available only during the hours between sunset and sunrise. Daytime use of energy under this rate is strictly forbidden except for the sole purpose of testing and maintaining the lighting system. All Terms and Conditions of Service applicable to Tariff G.S. customers will also apply to recreational lighting customers except for the Availability of Service.

RATE. (Tariff Code 214)

Service Charge ......\$25.00 per month

(Cont'd on Sheet No. 7-3)

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TITLE: Vice President, Regulatory & Finance

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PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

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# TARIFF G.S. (General Service) (Cont'd)

### LOAD MANAGEMENT TIME-OF-DAY PROVISION. (Tariff Code 223 and 225)

Available to customers who use energy storage devices with time-differentiated load characteristics approved by the Company which consume electrical energy only during off-peak hours specified by the Company and store energy for use during on-peak hours, and who desire to receive service under this provision for their total requirements. This provision is also available for electric vehicle charging if separately metered.

Customers who desire to separately wire their load management load to a time-of-day meter and their general-use load to a standard meter shall receive service for both under the appropriate provision of this tariff.

# RATE.

For the purpose of this tariff, the on-peak billing period is defined as 7:00 A.M. to 9:00 P.M. for all weekdays, Monday through Friday. The off-peak billing period is defined as 9:00 P.M. to 7:00 A.M. for all weekdays and all hours of Saturday and Sunday.

#### OPTIONAL UNMETERED SERVICE PROVISION.

Available to customers who qualify for Tariff G.S., have a demand of less than 10 KW, and use the Company's service for commercial purposes consisting of small fixed electric loads such as traffic signals and signboards which can be served by a standard service drop from the Company's existing secondary distribution system. This service will be furnished at the option of the Company.

Each separate service delivery point shall be considered a contract location and shall be separately billed under the service contract. In the event one Customer has several accounts for like service, the Company may meter one account to determine the appropriate kilowatt-hour usage applicable for each of the accounts.

The Customer shall furnish switching equipment satisfactory to the Company. The Customer shall notify the Company in advance of every change in connected load, and the Company reserves the right to inspect the customer's equipment at any time to verify the actual load. In the event of the customer's failure to notify the Company of an increase in load, the Company reserves the right to refuse to serve the contract location thereafter under this provision, and shall be entitled to bill the customer retroactively on the basis of the increased load for the full period such load was connected or the earliest date allowed by Kentucky statute whichever is applicable.

Calculated energy use per month shall be equal to the contract capacity specified at the contract location times the number of days in the billing period times the specified hours of operation. Such calculated energy shall then be billed at the following rates:

(Cont'd on Sheet No. 7-4)

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# TARIFF G.S. (General Service) (Cont'd)

#### RATE. (Tariff Codes 204 (Metered), 213 (Unmetered))

Customer Charge	\$15.00 per month	- 1
Energy Charge:		
First 4,450 KWH per month	11.146¢ per KWH	- 1
All Over 4,450 KWH per month	10.440¢ per KWH	I

#### TERM OF CONTRACT.

Contracts under this tariff may be required of customers. Contracts under this tariff will be made for an initial period of not less than I (one) year and shall remain in effect thereafter until either party shall give at least 6 months' written notice to the other of the intention to terminate the contract. The Company will have the right to make contracts for periods of longer than 1 (one) year.

# **SPECIAL TERMS AND CONDITIONS.**

This tariff is subject to the Company's Terms and Conditions of Service.

This tariff is also available to Customers having other sources of energy supply but who desire to purchase standby or back-up electric service from the Company. Where such conditions exist the Customer shall contract for the maximum demand in KW which the Company might be required to furnish, but no less than 10 KW. The Company shall not be obligated to supply demands in excess of that contracted for. Where service is supplied under the provisions of this paragraph, the billing demand each month shall be the highest determined for the current and previous two billing periods, and the minimum charge shall be as set forth under paragraph "Minimum Charge" above.

This tariff is available for resale service to mining and industrial customers who furnish service to customer-owned camps or villages where living quarters are rented to employees and where the Customer purchases power at a single point of both their power and camp requirements.

Customers with PURPA Section 210 qualifying cogeneration and/or small power production facilities shall take service under Tariff COGEN/SPP I or II or by special agreement with the Company.

(Cont'd on Sheet No. 7-5)

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# CANCELLING P.S.C. KY. NO. 11 2<sup>ND</sup> REVISED SHEET NO. 7-5 T

### TARIFF S.G.S. - T.O.D.

# (Small General Service Time-of-Day Service)

#### **AVAILABILITY OF SERVICE.**

Available on a voluntary, basis for general service to customers being served at secondary distribution voltage with one single-phase, multiregister meter capable of measuring electrical energy consumption during variable pricing periods. Availability is limited to the first 500 customers applying for service under this tariff.

Customers not meeting the requirements for availability under this tariff will be permitted to continue service under this tariff only for T continuous service at the premises occupied on or prior to June 30, 2015.

RATE. (Tariff Code 227)

**Energy Charge:** 

All KWH used during off-peak billing period ...... 11.518¢ per KWH

For the purpose of this tariff, the on-peak and off-peak billing periods shall be defined as follows:

Months On-Peak Off-Peak Approximate Percent (%) 16% 84%

Of Annual Hours

Winter Period:

November 1 to March 31 7:00 A.M. to 11:00 A.M. 11:00 A.M. to 6:00 P.M.

6:00 P.M. to 10:00 P.M. 10:00 P.M. to 7:00 A.M.

Summer Period:

May 15 to September 15 Noon to 6:00 P.M. 6:00 P.M. to Noon

None Midnight to Midnight All Other Calendar Periods

NOTE: All KWH consumed during weekends are billed at the off-peak level.

#### MINIMUM CHARGE.

This tariff is subject to a minimum monthly charge equal to the Service Charge.

# **ADJUSTMENT CLAUSES.**

The bill amount computed at the charges specified above shall be increased or decreased in accordance with the following:

Fuel Adjustment Clause	Sheet No. 5
System Sales Clause	Sheet No. 19
Franchise Tariff	Sheet No. 20
Demand-Side Management	Sheet No. 22
Federal Tax Cut Tariff	Sheet No. 23
Kentucky Economic Development Surcharge	Sheet No. 24
Environmental Surcharge	Sheet No. 29
Capacity Charge	Sheet No. 30
School Tax	Sheet No. 33
Purchase Power Adjustment	Sheet No. 35
Decommissioning Rider	Sheet No. 38

(Cont'd on Sheet No. 7

DATE OF ISSUE: April 9, 2021

DATE EFFECTIVE: Service Rendered On And After January 14, 2021

ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

By Authority of Orders of the Public Service Commission

In Case No. 2020-00174 dated January 13, 2021; January 15, 2021; February 22, 2021, and March 1

**KENTUCKY** 

PUBLIC SERVICE COMMISSION

Т Т

Linda C. Bridwell **Executive Director** 

# TARIFF S.G.S. – T.O.D. (Small General Service Time-of-Day)

# **DELAYED PAYMENT CHARGE.**

Bills under this tariff are due and payable within fifteen (15) days of the mailing date. On all accounts not paid in full by the next billing date, an additional charge of 5% of the unpaid portion will be made.

# **SPECIAL TERMS AND CONDITIONS.**

This tariff is subject to the Company's Terms and Conditions of Service.

Customers with PURPA Section 210 qualifying cogeneration and/or small power productions facilities shall take service under Tariff COGEN/SPP I or by special agreement with the Company.

(Cont'd on Sheet No. 7-7)

DATE OF ISSUE: April 9, 2021

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**KENTUCKY**PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

1/14/2021

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# CANCELLING P.S.C. KY. NO. 11 2<sup>ND</sup> REVISED SHEET NO. 7-7 T

# TARIFF M.G.S. – T.O.D. (Medium General Service Time-of-Day)

# **AVAILABILITY OF SERVICE.**

Available for general service to customers with average maximum demands greater than 10 KW but not more than 100 KW being served by a multi- register meter capable of measuring electrical energy consumption during variable pricing periods. Availability is limited to the first 500 customers applying for service under this tariff.

RATE. (Tariff Code 229)

Service Charge	\$ 25.00 per month	ı
Energy Charge: All KWH used during on-peak billing period	16.147¢ per KWH	R
All KWH used during off-peak billing period	8.154¢ per KWH	- 1

For the purpose of this tariff, the on-peak billing period is defined as 7:00 A.M. to 9:00 P.M. for all weekdays, Monday through Friday. The off-peak billing period is defined as 9:00 P.M. to 7:00 A.M. for all weekdays and all hours of Saturday and Sunday.

#### **MINIMUM CHARGE.**

This tariff is subject to a minimum monthly charge equal to the Service Charge.

#### **ADJUSTMENT CLAUSES.**

The bill amount computed at the charges specified above shall be increased or decreased in accordance with the following:

Chart No. 5
Sheet No. 5
Sheet No. 19
Sheet No. 20
Sheet No. 22
Sheet No. 23
Sheet No. 24
Sheet No. 29
Sheet No. 30
Sheet No. 33
Sheet No. 35
Sheet No. 38

### **DELAYED PAYMENT CHARGE.**

Bills under this tariff are due and payable within fifteen (15) days of the mailing date. On all accounts not paid in full by the next billing date, an additional charge of 5% of the unpaid portion will be made.

(Cont'd on Sheet No. 7-8)

DATE OF ISSUE: April 9, 2021

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ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

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**KENTUCKY**PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and March 17, 2021 EFFECTIVE

1/14/2021

CANCELLING P.S.C. KY. NO. 11 ORIGINAL SHEET NO. 7-8 T

# TARIFF M.G.S.-T.O.D. (Cont'd) (Medium General Service Time-of-Day)

#### **METERED VOLTAGE.**

The rates set forth in this tariff are based upon the delivery and measurements of energy at the same voltage, thus measurement will be made at or compensated to the delivery voltage. At the sole discretion of the Company, such compensation may be achieved through the use of loss compensating equipment, the use of formulas to calculate losses or the application of multipliers to the metered quantities. In such cases, the metered KWH and KW values will be adjusted for billing purposes. If the Company elects to adjust KWH and KW based on multipliers, the adjustment shall be in accordance with the following:

- (1) Measurements taken at the low-side of a Customer-owned transformer will be multiplied by 1.01.
- (2) Measurements taken at the high-side of a Company-owned transformer will be multiplied by 0.98.

#### **SPECIAL TERMS AND CONDITIONS.**

This tariff is subject to the Company's Terms and Conditions of Service. Existing customers may initially choose to take service under this tariff without satisfying any requirements to remain on their current tariff for at least 12 months.

Customers with PURPA Section 210 qualifying cogeneration and/or small power productions facilities shall take service under Tariff COGEN/SPP I or by special agreement with the Company.

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TITLE: Vice President, Regulatory & Finance

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KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

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RESERVED FOR FUTURE USE

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TITLE: Vice President, Regulatory & Finance

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**KENTUCKY** PUBLIC SERVICE COMMISSION

> Linda C. Bridwell **Executive Director**

1/14/2021

# CANCELLING P.S.C. KY. NO. 11 2<sup>ND</sup> REVISED SHEET NO. 9-1 T

# TARIFF L.G.S (Large General Service)

#### **AVAILABILITY OF SERVICE.**

Available for general service to customers with average maximum demands greater than 100 KW but not more than 1,000 KW (excluding T the demand served by the Load Management Time-of-Day provision).

Existing customers not meeting the above criteria will be permitted to continue service under present conditions only for continuous service at the premises occupied on or prior to December 5, 1984.

#### RATE.

		<u>Service Voltage</u>			
	Secondary	Primary	Subtransmission	Transmission	
Tariff Code	240, 242, 260	244, 246, 264	248, 268	250, 270	
Service Charge per Month	\$ 85.00	\$ 127.50	\$ 660.00	\$ 660.00	
Demand Charge per KW	\$ 8.77	\$ 7.90	\$ 6.61	\$ 6.16	Ш
Excess Reactive Charge per KVA	\$ 3.46	\$ 3.46	\$ 3.46	\$ 3.46	
Energy Charge per KWH	8.671¢	7.595¢	5.469¢	5.324¢	Ш

#### MINIMUM CHARGE.

Bills computed under the above rate are subject to a monthly minimum charge comprised of the sum of the service charge and the minimum demand charge. The minimum demand charge is the product of the demand charge per KW and the monthly billing demand.

#### **ADJUSTMENT CLAUSES.**

The bill amount computed at the charges specified above shall be increased or decreased in accordance with the following:

Fuel Adjustment Clause	Sheet No. 5
System Sales Clause	Sheet No. 19
Franchise Tariff	Sheet No. 20
Demand-Side Management Adjustment Clause	Sheet No. 22
Federal Tax Cut Tariff	Sheet No. 23
Kentucky Economic Development Surcharge	Sheet No. 24
Environmental Surcharge	Sheet No. 29
Capacity Charge	Sheet No. 30
School Tax	Sheet No. 33
Purchase Power Adjustment	Sheet No. 35
Decommissioning Rider	Sheet No. 38

# **DELAYED PAYMENT CHARGE.**

This tariff is due and payable in full on or before the due date stated on the bill. On all accounts not so paid, an additional charge of 5% of the unpaid balance will be made.

(Cont'd. On Sheet No. 9-2)

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Linda C. Bridwell Executive Director

, <del>2021, and March 17, 2021</del> EFFECTIVE

1/14/2021

CANCELLING P.S.C. KY. NO. 11 2<sup>ND</sup> REVISED SHEET NO. 9-2

# TARIFF L.G.S (Cont'd) (Large General Service)

#### **METERED VOLTAGE.**

The rates set forth in this tariff are based upon the delivery and measurement of energy at the same voltage, thus measurement will be made at or compensated to the delivery voltage. At the sole discretion of the Company, such compensation may be achieved through the use of loss compensating equipment, the use of formulas to calculate losses or the application of multipliers to the metered quantities. In such cases, the metered KWH and KW values will be adjusted for billing purposes. If the Company elects to adjust KWH and KW based on multipliers, the adjustment shall be in accordance with the following:

- (1) Measurements taken at the low-side of a customer-owned transformer will be multiplied by 1.01.
- (2) Measurements taken at the high-side of a Company-owned transformer will be multiplied by 0.98.

#### MONTHLY BILLING DEMAND.

Billing demand in KW shall be taken each month as the highest 15-minute integrated peak in kilowatts as registered during the month by a 15-minute integrating demand meter or indicator, or at the Company's option as the highest registration of a thermal type demand meter or indicator. The monthly billing demand so established shall in no event be less than 60% of the greater of (a) the customer's contract capacity or (b) the customer's highest previously established monthly billing demand during the past 11 months.

#### DETERMINATION OF EXCESS KILOVOLT-AMPERE (KVA) DEMAND.

The maximum KVA demand shall be determined by the use of a multiplier equal to the reciprocal of the average power factor recorded during the billing month, leading or lagging, applied to the metered demand. The excess KVA demand, if any, shall be the amount by which the maximum KVA demand established during the billing period exceeds 115% of the kilowatts of metered demand.

#### LOAD MANAGEMENT TIME-OF-DAY PROVISION.

Available to customers who use energy storage devices with time-differentiated load characteristics approved by the Company which consume electrical energy only during off-peak hours specified by the Company and store energy for use during on-peak hours, and who desire to receive service under this provision for their total requirements. This provision is also available for electric vehicle charging if separately metered. T

Customers who desire to separately wire their load management load to a time-of-day meter and their general-use load to a standard meter shall receive service for both under the appropriate provision of this tariff.

RATE. (Tariff Code 251)

Service Charge \$85.00 per month

Energy Charge:

All KWH used during on-peak billing period \$14.665¢ per KWH

All KWH used during off-peak billing period \$127¢ per KWH

For the purpose of this tariff, the on-peak billing period is defined as 7:00 A.M. to 9:00 P.M. for all weekdays, Monday through Friday. The off-peak billing period is defined as 9:00 P.M. to 7:00 A.M. for all weekdays and all hours of Saturday and Sunday.

(Cont'd on Sheet No. 9-3)

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ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

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KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and March 17, 2021 EFFECTIVE

1/14/2021

# TARIFF L.G.S (Cont'd) (Large General Service)

### TERM OF CONTRACT.

Contracts under this tariff will be made for customers requiring a average maximum monthly demand between 500 KW and 1,000 KW and be made for an initial period of not less than I (one) year and shall remain in effect thereafter until either party shall give at least 6 months written notice to the other of the intention to terminate the contract. The Company reserves the right to require initial contracts or periods greater than 1 (one) year. For customers with demands less than 500 KW, a contract may, at the Company's option, be required.

Where new Company facilities are required, the Company reserves the right to require initial contracts for periods greater than one year for all customers served under this tariff.

A new initial contract period will not be required for existing customers who change their contract requirements after the original initial period unless new or additional facilities are required.

#### **CONTRACT CAPACITY.**

The Customer shall set forth the amount of capacity contracted for (the "contract capacity") in an amount up to 1,000 KW. Contracts will be made in multiples of 25 KW. The Company is not required to supply capacity in excess of such contract capacity except with express written consent of the Company.

#### SPECIAL TERMS AND CONDITIONS.

This tariff is subject to the Company's Terms and Conditions of Service.

This tariff is also available to Customers having other sources of energy supply but who desire to purchase standby or back-up electric service from the Company. Where such conditions exist the customer shall contract for the maximum amount of demand in KW, which the Company might be required to furnish, but not less than 100 KW nor more than 1,000 KW. The Company shall not be obligated to supply demands in excess of the contract capacity. Where service is supplied under the provisions of this paragraph, the billing demand each month shall be the highest determined for the current and previous two billings periods, and the minimum charge shall be as set forth under paragraph "Minimum Charge" above.

This tariff is available for resale service to mining and industrial customers who furnish service to customer-owned camps or villages where living quarters are rented to employees and where the customer purchases power at a single point for both his power and camp requirements.

Customers with PURPA Section 210 qualifying cogeneration and/or small power production facilities shall take service under Tariff COGEN/SPP I or II or by special agreement with the Company.

(Cont'd on Sheet No. 9-4)

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KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

1/14/2021

PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

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# TARIFF L.G.S. – T.O.D (Large General Service – Time of Day)

### **AVAILABILITY OF SERVICE.**

Available for general service customers with average maximum demands of 100 KW or greater. Customers may continue to qualify for service under this tariff until their 12-month average demand exceeds 1,000 KW. Availability is limited to the first 500 customers applying for service under this tariff.

#### RATE.

		<u>Service Voltage</u>			
	Secondary	Primary	Subtransmission	Transmission	
Tariff Code	256	257	258	259	
Service Charge per Month	\$ 85.00	\$ 127.50	\$ 660.00	\$ 660.00	
Demand Charge per KW	\$ 10.92	\$ 8.17	\$ 1.77	\$ 1.75	Ш
Excessive Reactive Charge per KVA	\$ 3.46	\$ 3.46	\$ 3.46	\$ 3.46	
On-Peak Energy Charge per KWH	10.523¢	10.381¢	10.294¢	10.208¢	IIII
Off-Peak Energy Charge per KWH	5.599¢	5.557¢	5.532¢	5.506¢	Ш

For the purpose of this tariff, the on-peak billing period is defined as 7:00 A.M. to 9:00 P.M., for all weekdays Monday through Friday. The off-peak billing period is defined as 9:00 P.M. to 7:00 A.M. for all weekdays and all hours of Saturday and Sunday.

#### **MINIMUM CHARGE.**

Bills computed under the above rate are subject to a monthly minimum charge comprised of the sum of the service charge and the minimum demand charge. The minimum demand charge is the product of the demand charge per KW and the monthly billing demand.

# **ADJUSTMENT CLAUSES.**

The bill amount computed at the charges specified above shall be increased or decreased in accordance with the following:

Fuel Adjustment Clause	Sheet No. 5	
System Sales Clause	Sheet No. 19	
Franchise Tariff	Sheet No. 20	
Demand-Side Management	Sheet No. 22	
Federal Tax Cut Tariff	Sheet No. 23	
Kentucky Economic Development Surcharge	Sheet No. 24	
Environmental Surcharge	Sheet No. 29	т
Capacity Charge	Sheet No. 30	Т
School Tax	Sheet No. 33	
Purchase Power Adjustment	Sheet No. 35	
Decommissioning Rider	Sheet No. 38	

### **DELAYED PAYMENT CHARGE.**

Bills under this tariff are due and payable within fifteen (15) days of the mailing date. On all accounts not paid in full by the next billing date additional charge of 5% of the unpaid portion will be made.

(Cont'd on Sheet No. 9-5)

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PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

2021, and March 17, 2021 EFFECTIVE

1/14/2021

TARIFF L.G.S. - T.O.D.

Т

(Large General Service - Time of Day)

#### METERED VOLTAGE.

The rates set forth in this tariff are based upon the delivery and measurement of energy at the same voltage, thus measurement will be made at or compensated to the delivery voltage. At the sole discretion of the Company, such compensation may be achieved through the use of loss compensating equipment, the use of formulas to calculate losses or the application of multipliers to the metered quantities. In such cases, the metered KWH and KW values will be adjusted for billing purposes. If the Company elects to adjust KWH and KW based on multipliers, the adjustment shall be in accordance with the following:

- (1) Measurements taken at the low-side of a customer-owned transformer will be multiplied by 1.01.
- (2) Measurements taken at the high-side of a Company-owned transformer will be multiplied by 0.98.

#### MONTHLY BILLING DEMAND.

Billing demand in KW shall be taken each month as the highest 15-minute integrated peak in kilowatts as registered during the month by a 15-minute integrating demand meter or indicator, or at the Company's option as the highest registration of a thermal type demand meter or indicator. The monthly billing demand so established shall in no event be less than 60% of the greater of (a) the customer's contract capacity or (b) the customer's highest previously established monthly billing demand during the past 11 months.

#### DETERMINATION OF EXCESS KILOVOLT-AMPERE (KVA) DEMAND.

The maximum KVA demand shall be determined by the use of a multiplier equal to the reciprocal of the average power factor recorded during the billing month, leading or lagging, applied to the metered demand. The excess KVA demand, if any, shall be the amount by which the maximum KVA demand established during the billing period exceeds 115% of the kilowatts of metered demand.

#### **TERM OF CONTRACT.**

Contracts under this tariff will be made for customers requiring a average maximum monthly demand between 500 KW and 1,000 KW and 5 be made for an initial period of not less than I (one) year and shall remain in effect thereafter until either party shall give at least 6 months written notice to the other of the intention to terminate the contract. The Company reserves the right to require initial contracts or periods greater than 1 (one) year. For customers with demands less than 500 KW, a contract may, at the Company's option, be required.

Where new Company facilities are required, the Company reserves the right to require initial contracts for periods greater than one year for all customers served under this tariff.

A new initial contract period will not be required for existing customers who change their contract requirements after the original initial period unless new or additional facilities are required.

### **CONTRACT CAPACITY.**

The Customer shall set forth the amount of capacity contracted for (the "contract capacity") in an amount up to 1,000 KW. Contracts will be made in multiples of 25 KW. The Company is not required to supply capacity in excess of such contract capacity except with express written consent of the Company.

(Cont'd on Sheet 9-6)

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TITLE: Vice President, Regulatory & Finance

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KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell
Executive Director

, 2021, and Warch 17, 2021

1/14/2021

TARIFF L.G.S. – T.O.D. (Cont'd)
(Large General Service – Time of Day)

#### **SPECIAL TERMS AND CONDITIONS.**

This tariff is subject to the Company's Terms and Conditions of Service.

This tariff is also available to Customers having other sources of energy supply but who desire to purchase standby or back-up electric service from the Company. Where such conditions exist the customer shall contract for the maximum amount of demand in KW, which the Company might be required to furnish, but not less than 100 KW nor more than 1,000 KW. The Company shall not be obligated to supply demands in excess of the contract capacity. Where service is supplied under the provisions of this paragraph, the billing demand each month shall be the highest determined for the current and previous two billings periods, and the minimum charge shall be as set forth under paragraph "Minimum Charge" above.

This tariff is available for resale service to mining and industrial customers who furnish service to customer-owned camps or villages where living quarters are rented to employees and where the customer purchases power at a single point for both his power and camp requirements.

Customers with PURPA Section 210 qualifying cogeneration and/or small power production facilities shall take service under Tariff COGEN/SPP I or II or by special agreement with the Company.

DATE OF ISSUE: April 9, 2021

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TITLE: Vice President, Regulatory & Finance

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KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

1/14/2021

# TARIFF I.G.S. (Industrial General Service)

# **AVAILABILITY OF SERVICE.**

Available for commercial and industrial customers with contract demands of at least 1,000 KW. Customers shall contract for a definite amount of electrical capacity in kilowatts, which shall be sufficient to meet average maximum requirements.

Т

#### RATE.

# Service Voltage

	Secondary	Primary	Subtransmission	Transmission	
Tariff Code	356	358/370	359/371	360/372	
Service Charge per month	\$276.00	\$276.00	\$794.00	\$1,353.00	
Demand Charge per KW					
Of monthly on-peak billing demand	\$25.88	\$22.96	\$16.33	\$16.08	Ш
Of monthly off-peak billing demand	\$ 1.80	\$ 1.78	\$ 1.76	\$ 1.75	Ш
Energy Charge per KWH	2.937¢	2.899¢	2.874¢	2.851¢	RRRR
Reactive Demand Charge for each kilovar of maximum					
leading or lagging reactive demand in excess of					
En persont of the KIM of monthly metered demand		¢0.60/	// / A D		

For the purpose of this tariff, the on-peak billing period is defined as 7:00 AM to 9:00 PM for all weekdays, Monday through Friday. The off-peak billing period is defined as 9:00 PM to 7:00 AM for all weekdays and all hours of Saturday and Sunday.

### **MINIMUM DEMAND CHARGE.**

The minimum demand charge shall be equal to the minimum billing demand times the following minimum demand rates:

<u>Secondary</u>	<u>Primary</u>	<u>Subtransmission</u>	<u>Transmission</u>	
\$28.77/KW	\$25.81/KW	\$19.17/KW	\$18.88/kW	Ш

The minimum billing demand shall be the greater of 60% of the contract capacity set forth on the contract for electric service or 60% of the highest billing demand, on-peak or off-peak, recorded during the previous eleven months.

### MINIMUM CHARGE.

This tariff is subject to a minimum charge equal to the Service Charge plus the Minimum Demand Charge.

(Cont'd. on Sheet No. 10-2)

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TITLE: Vice President, Regulatory & Finance

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**KENTUCKY**PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

2021, and March 17, 2021 EFFECTIVE

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# TARIFF I.G.S. (Industrial General Service)

#### ADJUSTMENT CLAUSES.

The bill amount computed at the charges specified above shall be increased or decreased in accordance with the following:

Fuel Adjustment Clause	Sheet No. 5
System Sales Clause	Sheet No. 19
Franchise Tariff	Sheet No. 20
Demand-Side Management Adjustment Clause	Sheet No. 22
Federal Tax Cut Tariff	Sheet No. 23
Kentucky Economic Development Surcharge	Sheet No. 24
Environmental Surcharge	Sheet No. 29
Capacity Charge	Sheet No. 30 T
School Tax	Sheet No. 33
Purchase Power Adjustment	Sheet No. 35
Decommissioning Rider	Sheet No. 38

# **DELAYED PAYMENT CHARGE.**

Bills under this tariff are due and payable within fifteen (15) days of the mailing date. On all accounts not paid in full by the next billing date, an additional charge of 5% of the unpaid portion will be made.

# METERED VOLTAGE.

The rates set forth in this tariff are based upon the delivery and measurement of energy at the same voltage, thus measurement will be made at or compensated to the delivery voltage. At the sole discretion of the Company, such compensation may be achieved through the use of loss compensating equipment, the use of formulas to calculate losses or the application of multipliers to the metered quantities. In such cases, the metered KWH and KVA values will be adjusted for billing purposes. If the Company elects to adjust KWH and KW based on multipliers, the adjustment shall be in accordance with the following:

- 1) Measurements taken at the low-side of a Customer-owned transformer will be multiplied by 1.01.
- 2) Measurements taken at the high-side of a Company-owned transformer will be multiplied by 0.98.

#### MONTHLY BILLING DEMAND.

The monthly on-peak and off-peak billing demands in KW shall be taken each month as the highest single 15-minute integrated peak in KW as registered by a demand meter during the on-peak and off-peak billing periods, respectively.

The reactive demand in KVARs shall be taken each month as the highest single 15-minute integrated peak in KVARs as registered during the month by a demand meter or indicator.

# **TERM OF CONTRACT.**

Contracts under this tariff will be made for an initial period of not less than two years and shall remain in effect thereafter until either party shall give at least 12 months' written notice to the other of the intention to terminate the contract. The Company reserves the right to require initial contracts for periods greater than two years.

A new initial contract period will not be required for existing customers who change their contract requirements after the original

initial period unless new or additional facilities are required.

(Cont'd on Sheet No. 10-3)

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DATE EFFECTIVE: Service Rendered On And After January 14, 2021

ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

By Authority of Orders of the Public Service Commission

In Case No. 2020-00174 dated January 13, 2021; January 15, 2021; February 22, 2021, and March 1

PUBLIC SERVICE COMMISSION Linda C. Bridwell

**Executive Director** 

**KENTUCKY** 

# TARIFF I.G.S. (Industrial General Service)

#### CONTRACT CAPACITY

The Customer shall set forth the amount of capacity contracted for ("the contract capacity") in an amount equal to or greater than 1,000 KW in multiplies of 100 KW. The Company is not required to supply capacity in excess of such contract capacity except with express written consent of the Company.

#### SPECIAL TERMS AND CONDITIONS.

This tariff is subject to the Company's Terms and Conditions of Service.

This tariff is available for resale service to mining and industrial Customers who furnish service to Customer-owned camps or villages where living quarters are rented to employees and where the Customer purchases power at a single point for both the power and camp requirements.

This tariff is also available to Customers having other sources of energy supply, but who desire to purchase standby or back-up electric service from the Company. Where such conditions exist the Customer shall contract for the maximum amount of demand in KW which the Company might be required to furnish, but not less than 1,000 KW. The Company shall not be obligated to supply demands in excess of that contracted capacity. Where service is supplied under the provisions of this paragraph, the billing demand each month shall be the highest determined for the current and previous two billing periods, and the minimum charge shall be as set forth under paragraph "Minimum Charge" above.

A Customer's plant is considered as one or more buildings, which are served by a single electrical distribution system provided and operated by the Customer. When the size of the Customer's load necessitates the delivery of energy to the Customer's plant over more than one circuit, the Company may elect to connect its circuits to different points on the Customer's system irrespective of contrary provisions in Terms and Conditions of Service.

Customer with PURPA Section 210 qualifying cogeneration and/or small power production facilities shall take service under Tariff COGEN/SPP II or by special agreement with the Company.

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TITLE: Vice President, Regulatory & Finance

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KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

1/14/2021

RESERVED FOR FUTURE USE

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KENTUCKY PUBLIC SERVICE COMMISSION

> Linda C. Bridwell **Executive Director**

1/14/2021

# TARIFF C.S.-I.R.P. (Contract Service - Interruptible Power)

#### **AVAILABILITY OF SERVICE.**

Available for service to customers who contract for service under the Company's Industrial General Service (I.G.S.) tariff. The Company reserves the right to limit the total contract capacity for all customers served under this Tariff to 75,000 kW.

Loads of new customers locating within the Company's service area or load expansions by existing customers may be offered interruptible service as part of an economic development incentive. Such interruptible service shall not be counted toward the limitation on total interruptible power contract capacity, as specified above, and will not result in a change to the limitation on total interruptible power contract capacity.

# CONDITIONS OF SERVICE.

The Company will offer eligible customers the option to receive interruptible power service. This interruptible service will be consistent with PJM's Load Management Resource Product – Capacity Performance Demand Response requirement, hereafter referred to as the "PJM Demand Response Program", subject to any limitations on the availability of that Program by PJM. To be eligible for the credit, customers must be able to provide interruptible load (not including behind the meter diesel generation) of at least one (1) MW at a single site and commit to a minimum four (4) year contract term. The contract shall provide that 90 days prior to each contract anniversary date, the customer shall re-nominate the amount of interruptible load for the upcoming contract year, except that the cumulative reductions over the life of the contract shall not exceed 20% of the original interruptible load nominated under the contract. If no renomination is received at least 90 days prior to the contract anniversary date, the prior year's interruptible load shall apply for the forthcoming contract year.

Upon receipt of a request from the Customer for interruptible service, the Company will provide the Customer with a written addendum T containing the rates and related terms and conditions of service under which such service will be provided by the Company. If the parties reach an agreement based upon the offer provided to the Customer by the Company, such written contract will be filed with the Commission. The contract shall provide full disclosure of all rates, terms and conditions of service under this Tariff, and any and all agreements related thereto, subject to the designation of the terms and conditions of the contract as confidential, as set forth herein.

The Customer shall provide reasonable evidence to the Company that the Customer's electric service can be interrupted in accordance with the provisions of the written agreement including, but not limited to, the specific steps to be taken and equipment to be curtailed upon a request for interruption.

The Customer shall contract for capacity sufficient to meet average maximum interruptible power requirements, but in no event will the interruptible amount contracted for be less than 1,000 KW at any delivery point.

The Company reserves the right to test and verify the customer's ability to curtail. Any such test or verification may require actual physical interruption or curtailment, to the extent such testing or interruption is required under PJM's Demand Response Program.

NO RESPONSIBILITY OR LIABILITY OF ANY KIND SHALL ATTACH TO OR BE INCURRED BY THE COMPANY FOR, OR ON ACCOUNT OF, ANY LOSS, COST, EXPENSE, OR DAMAGE CAUSED BY OR RESULTING FROM, EITHER DIRECTLY OR INDIRECTLY, ANY CURTAILMENT OF SERVICE UNDER THE PROVISIONS OF THIS SCHEDULE.

Except as otherwise provided in the written agreement, the Company's Terms and Conditions of Service shall apply to service under this tariff.

(Cont'd on Sheet No. 12-2)

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Linda C. Bridwell Executive Director

2021, and March 17, 2021 EFFECTIVE

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PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

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# TARIFF C.S.-I.R.P. (Contract Service - Interruptible Power) (Cont'd.)

# RATE.

Credits under this tariff of \$3.68/kW/month will be provided for interruptible load that qualifies under PJM's Demand Response Program rules as capacity for the purpose of the Company's Fixed Resource Requirement (FRR) obligation.

Tariff	Tariff Type	Tariff Code Description	Tariff Description
321	IR	CS-IRP SEC	IRP-IGS SECONDARY
330	IR	CS-IRP PR	IRP-IGS PRIMARY
331	IR	CS-IRP ST	IRP-IGS SUBTRANSMISSION
332	IR	CS-IRP TR	IRP-IGS TRANSMISSION

Charges for service under this Tariff will be set forth in the written agreement between the Company and the Customer and will reflect the firm service rates otherwise available to the Customer.

# **ADJUSTMENT CLAUSES.**

The bill amount computed at the charges specified above shall be increased or decreased in accordance with the following:

Fuel Adjustment Clause	Sheet No. 5	
System Sales Clause	Sheet No. 19	
Franchise Tariff	Sheet No. 20	
Demand-Side Management	Sheet No. 22	
Federal Tax Cut Tariff	Sheet No. 23	
Kentucky Economic Development Surcharge	Sheet No. 24	
Environmental Surcharge	Sheet No. 29	т
Capacity Charge	Sheet No. 30	Т
School Tax	Sheet No. 33	
Purchase Power Adjustment	Sheet No. 35	
Decommissioning Rider	Sheet No. 38	

#### **DELAYED PAYMENT CHARGE.**

This tariff is due and payable in full on or before the due date stated on the bill. On all accounts not so paid, an additional charge of 5% of the unpaid balance will be made.

(Cont'd on Sheet No. 12-3)

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# TARIFF C.S.-I.R.P. (Contract Service - Interruptible Power) (Cont'd.)

### CONFIDENTIALITY.

All terms and conditions of any written contract under this Tariff shall be protected from disclosure as confidential, proprietary trade secrets, if either the Customer or the Company requests a Commission determination of confidentiality pursuant to 807 KAR 5:001 Section 7 and the request is granted.

#### **SPECIAL TERMS AND CONDITIONS**

Except as otherwise provided in the written agreement, this Tariff is subject to the Company's Terms and Conditions of Service.

A Customer's plant is considered as one or more buildings, which are served by a single electrical distribution system provided and operated by the Customer. When the size of the Customer's load necessitates the delivery of energy to the Customer's plant over more than one circuit, the Company may elect to connect its circuits to different points on the Customer's system irrespective of contrary provisions in Terms and Conditions of Service.

Customers with PURPA Section 210 qualifying cogeneration and/or small power production facilities shall take service under Tariff COGEN/SPP II or by special agreement with the Company.

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Linda C. Bridwell Executive Director

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PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

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# TARIFF M.W. (Municipal Waterworks)

#### **AVAILABILITY OF SERVICE.**

Available only to incorporated cities and towns and authorized water districts and to utility companies operating under the jurisdiction of Public Service Commission of Kentucky for the supply of electric energy to waterworks systems and sewage disposal systems served under this tariff on September 1, 1982, and only for continuous service at the premises occupied by the Customer on this date. If service hereunder is discontinued, it shall not again be available.

Customer shall contract with the Company for a reservation in capacity in kilovolt-amperes sufficient to meet with the maximum load, which the Company may be required to furnish.

# RATE. (Tariff Code 540)

Service Charge	\$ 25.00 per month	I
Energy Charge:		
All KWH Used Per Month	10.039¢ per KWH	- 1

#### **MINIMUM CHARGE.**

This tariff is subject to a minimum monthly charge equal to the sum of the service charge plus \$9.78 per KVA as determined from I customer's total connected load.

#### **ADJUSTMENT CLAUSES.**

The bill amount computed at the charges specified above shall be increased or decreased in accordance with the following:

Fuel Adjustment Clause	Sheet No. 5
System Sales Clause	Sheet No. 19
Franchise Tariff	Sheet No. 20
Demand-Side Management	Sheet No. 22
Federal Tax Cut Tariff	Sheet No. 23
Kentucky Economic Development Surcharge	Sheet No. 24
Environmental Surcharge	Sheet No. 29
Capacity Charge	Sheet No. 30
School Tax	Sheet No. 33
Purchase Power Adjustment	Sheet No. 35
Decommissioning Rider	Sheet No. 38

# PAYMENT.

Bills will be rendered monthly and will be due and payable on or before the due date stated on the bill.

# DELAYED PAYMENT CHARGE.

Bills under this tariff are due and payable within fifteen (15) days after their mailing date. All accounts not paid in full by the next billing date will be assessed an additional charge of 5% of the outstanding unpaid portion will be made.

(Cont'd on Sheet No. 13-2)

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# TARIFF M.W. (Cont'd) (Municipal Waterworks)

# **TERM OF CONTRACT.**

Contracts under this tariff will be made for not less than (1) one year with self-renewal provisions for successive periods of (1) one year each until either party shall give at least 60 days' written notice to the other of the intention to discontinue at the end of any yearly period. The Company will have the right to require contracts for periods of longer than (1) one year.

# **SPECIAL TERMS AND CONDITIONS.**

This tariff is subject to the Company's Terms and Conditions of Service.

This tariff is not available to customers having other sources of energy supply.

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Linda C. Bridwell Executive Director

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# TARIFF O.L. (Outdoor Lighting)

#### **AVAILABILITY OF SERVICE.**

Available for outdoor lighting to individual customers in locations where municipal street lighting is not applicable. New installations of High Pressure Sodium, Mercury Vapor and Metal Halide lamps shall cease on January 14, 2021.

# **BASE FUEL RATE.**

Customers receiving service under this tariff will receive bills calculated using per lamp and base fuel charge. The base fuel charge will be calculated each month as shown below by multiplying the approved base fuel amount set forth in the Company's Fuel Adjustment Clause tariff by the relevant monthly kWh value set forth in the monthly kWh table included below in the Adjustment Clauses section of this tariff.

#### RATE.

#### A. OVERHEAD LIGHTING SERVICE

Tariff Code	1 High Dynas yng Cadiyyn			
094 113 097 103 098	1. High Pressure Sodium 100 watts ( 9,500 Lumens) 150 watts ( 16,000 Lumens) 200 watts ( 22,000 Lumens) 250 watts ( 28,000 Lumens) 400 watts ( 50,000 Lumens)	\$ 9.06 \$ 10.33 \$ 12.52 \$ 17.84 \$ 19.78	per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff	IT 
Tariff Code				
	2. Mercury Vapor			
093 095 Tariff Code	175 watts ( 7,000 Lumens) 400 watts (20,000 Lumens)	\$ 11.55 \$ 19.88	per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff	IT 
	175 watts ( 7,000 Lumens)			IT       N
095	175 watts ( 7,000 Lumens) 400 watts (20,000 Lumens)			Î
095 Tariff Code	175 watts ( 7,000 Lumens) 400 watts (20,000 Lumens) 3. LED	\$ 19.88	per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff	Î
095 Tariff Code 150	175 watts ( 7,000 Lumens) 400 watts (20,000 Lumens) 3. LED 55 watts (5,400 Lumens)	\$ 19.88	per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff  per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff	Î

Company will provide lamp, photo-electric relay control equipment, luminaries and upsweep arm not over six feet in length, and will mount same on an existing pole carrying secondary circuits.

(Cont'd on Sheet No. 14-2)

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# TARIFF O.L. (Cont'd.) (Outdoor Lighting)

# RATE. (Cont'd.)

	B. POST-TOP LIGHTING SEF	RVICE		
Tariff Code	1 High Proceure Codium			
	1. High Pressure Sodium			ΙΤ
111	100 watts ( 9,500 Lumens)	\$ 16.42	per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff	- 11
122	150 watts (16,000 Lumens)	\$ 25.83	per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff	
121	100 watts (9,500 Lumens)	\$ 30.00	per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff	
120	250 watts (19,000 Lumens)	\$ 30.07	per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff	
126	400 watts (40,000 Lumens)	\$ 39.47	per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff	
Tariff Code				
	2. Mercury Vapor			
099	175 watts ( 7,000 Lumens)	\$ 13.25	per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff	IT
Tariff Code				N.
	3. LED			N
160	65 watts (7,230 Lumens)	\$19.05	per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff	

Company will provide lamp photo-electric relay control equipment, luminaries, post, and installation including underground wiring for a distance of thirty feet from the Company's existing secondary circuits. Incremental costs of installation beyond thirty feet shall be the responsibility of the customer.

	C. FLOOD LIGHTING SERVICE		
Tariff Code			
	<ol> <li>High Pressure Sodium</li> </ol>		
107	200 watts (22,000 Lumens)	\$ 14.38 per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff	IT
109	400 watts (50,000 Lumens)	\$ 21.00 per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff	
Tariff Code			
	2. Metal Halide		
110	250 watts (20,500 Lumens)	\$ 17.45 per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff	ΙŢ
116	400 watts (36,000 Lumens)	\$ 21.98 per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff	
131	1000 watts (110,000 Lumens)	\$ 40.01 per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff	
130	250 watts Mongoose (20,500 Lumens)	\$ 22.76 per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff	TTIT
136	400 watts Mongoose (36,000 Lumens)	\$ 27.78 per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff	
		(Cont'd on Sheet No. 14-3)	Т

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**KENTUCKY**PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, <del>2021, and March 17, 2021</del> EFFECTIVE

1/14/2021

# CANCELLING P.S.C. KY. NO. 11 2<sup>nd</sup> REVISED SHEET NO. 14-3

# TARIFF O.L. (Cont'd.) (Outdoor Lighting)

RATE. (Cont'd.)

Т

Tariff Code

3. LED

165 175 watts (21,962 Lumens) \$24.75 per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff
 166 265 watts (32,000 Lumens) \$30.40 per lamp + 0.02851 x kWh in Sheet No. 14-5 in Company's tariff

Company will provide lamp, photoelectric relay control equipment, luminaries, mounting bracket, and mount same on an existing pole carrying secondary circuits.

D. LED Lamp Conversion Charge

N

Existing outdoor lighting customers that wish to convert from non-LED lamps to new LED fixtures shall pay a monthly charge of \$3.33 per lamp replaced, per month for 84 months.

All lumen figures are based upon manufacturer estimates and may vary.

When new or additional facilities, other than those specified in Paragraphs A, B, and C, are to be installed by the Company, the customer in addition to the monthly charges, shall pay in advance the installation cost (labor and material) of such additional facilities extending from the nearest or most suitable pole of the Company to the point designated by the customer for the installation of said lamp, except that customer may, for the following facilities only, elect, in lieu of such payment of the installation cost to pay:

Wood pole	\$ 3.61 per month
Overhead wire span not over 150 feet	\$ 2.00 per month
Underground wire lateral not over 50 feet	\$ 6.77 per month
(Price includes pole riser and connections)	

E. FLEXIBLE LIGHTING OPTION (Tariff Code 175 for Unmetered and Tariff Code 201 for Metered)

N

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Applicable for the installation of any outdoor area lighting system (System) on a private or public property and owned by the Company. The customer must be adjacent to an electric power line of the Company that is adequate for supplying the necessary electric service. Service for the System under this tariff shall require a contract addendum agreed to and signed by the customer. The System shall comply with the Company's terms and conditions unless otherwise noted in this section. Included in the contract addendum shall be the installed capital cost of the System and the monthly amount of kWh the System will use if it is not metered. The Company reserves the right to refuse service under this provision based on customer's creditworthiness.

(Cont'd on Sheet No. 14-4)

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Linda C. Bridwell Executive Director

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# TARIFF O.L. (Cont'd.) (Outdoor Lighting)

# RATE. (Cont'd.)

. .

Customers shall pay the monthly lamp charge for the System, a monthly maintenance charge, a non-fuel energy charge, a base fuel charge, and all applicable adjustment clauses.

Monthly Lamp Charge\* = IC x MLFCR Where: IC = Installed Cost of System

MLFCR = Monthly Levelized Fixed Cost Rate of 1.36% which is inclusive of return, depreciation, income taxes, property taxes and A&G expense components

Monthly maintenance charge is \$0.80 per lamp per month Monthly non-fuel charge is .05519 \$/kWh Base fuel charge is .02851 \$/kWh

Customers selecting this flexible lighting option to replace existing lamps shall also be subject to the LED Lamp Conversion Charge.

# **ADJUSTMENT CLAUSES.**

The bill amount computed at the charges specified above shall be increased or decreased in accordance with the following:

Fuel Adjustment Clause	Sheet No. 5
System Sales Clause	Sheet No. 19
Franchise Tariff	Sheet No. 20
Federal Tax Cut Tariff	Sheet No. 23
Environmental Surcharge	Sheet No. 29
Capacity Charge	Sheet No. 30
School Tax	Sheet No. 33
Purchase Power Adjustment	Sheet No. 35
Decommissioning Rider	Sheet No. 38

the Capacity Charge tariffs, the following kWh values will be used in the calculation:

For adjustments calculated on a per kWh basis, including those calculated under the Fuel Adjustment Clause, System Sales Clause, and

(Cont'd on Sheet No. 14-5)

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<sup>\*</sup>Customers may pay a portion of the installed cost upfront to reduce the monthly lamp charge component of the rate.

# TARIFF O.L. (Cont'd.) (Outdoor Lighting)

	METAL	<u> HALIDE</u>		MERCU	RY VAPOR		HIGH I	PRESSURE SC	DIUM	
	250	400	1000	175	400	100	150	200	250	400
	<u>WATTS</u>	<b>WATTS</b>	<u>WATTS</u>	<u>WATTS</u>	<u>WATTS</u>	<u>WATTS</u>	<b>WATTS</b>	<u>WATTS</u>	<b>WATTS</b>	<b>WATTS</b>
JAN	127	199	477	91	199	51	74	106	130	210
FEB	106	167	400	76	167	43	62	89	109	176
MAR	106	167	400	76	167	43	62	89	109	176
APR	90	142	340	65	142	36	53	76	93	150
MAY	81	127	304	58	127	32	47	68	83	134
JUN	72	114	272	52	114	29	42	61	74	120
JUL	77	121	291	55	121	31	45	65	79	128
AUG	88	138	331	63	138	35	51	74	90	146
SEP	96	152	363	69	152	39	57	81	99	160
OCT	113	178	427	81	178	45	66	95	116	188
NOV	119	188	449	86	188	48	70	100	122	198
DEC	<u>129</u>	<u>203</u>	<u>486</u>	<u>92</u>	<u>203</u>	<u>52</u>	<u>75</u>	<u>108</u>	<u>132</u>	<u>214</u>
TOTAL	1204	1896	4540	864	1896	484	704	1012	1236	2000

	<u>LIGHT EMITTING DIODE</u>					
	55	65	100	175	265	300
	<u>WATTS</u>	<b>WATTS</b>	<u>WATTS</u>	<u>WATTS</u>	<u>WATTS</u>	<u>WATTS</u>
JAN	28	34	52	90	137	155
FEB	24	28	43	76	115	130
MAR	24	28	43	76	115	130
APR	20	24	36	64	97	109
MAY	18	21	32	57	86	97
JUN	16	19	29	51	78	88
JUL	17	20	31	55	83	94
AUG	19	23	35	62	94	106
SEP	22	26	39	69	105	118
OCT	25	30	46	80	121	137
NOV	27	32	49	85	129	146
DEC	<u>29</u>	<u>34</u>	<u>53</u>	<u>92</u>	<u>139</u>	<u>158</u>
TOTAL	269	318	490	857	1297	1469

(Cont'd on Sheet No. 14-6)

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# TARIFF O.L. (Cont'd.) (Outdoor Lighting)

# **DELAYED PAYMENT CHARGE.**

This tariff is due and payable in full on or before the due date stated on the bill. On all accounts not so paid, an additional charge of 5% of the unpaid balance will be made. Residential customers taking service under this tariff will not be subject to the delayed payment charge.

# **HOURS OF LIGHTING.**

All lamps shall burn from one-half hour after sunset until one-half hour before sunrise every night and all night, burning approximately 4,000 hours per annum.

## **OWNERSHIP OF FACILITIES.**

All facilities necessary for service including fixtures, controls, poles, transformers, secondaries, lamps and other appurtenances shall be owned and maintained by the Company. All service and necessary maintenance will be performed only during the regular scheduled working hours of the Company.

The Company shall be allowed 3 working days after notification by the customer to replace all burned-out lamps.

# **TERM OF INITIAL SERVICE.**

Term of initial service shall be required for a period of one year. If early termination is requested or service is terminated during the initial 12 month period, the customer will be billed for the remainder of the 12 month period on the final bill.

# **SPECIAL TERMS AND CONDITIONS.**

This tariff is subject to the Company's Terms and Conditions of Service.

The Company shall have the option of rendering monthly or bimonthly bills.

Customer's account balance must be current prior to installation of new or additional lights.

DATE OF ISSUE: April 9, 2021

DATE EFFECTIVE: Service Rendered On And After January 14, 2021

ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

By Authority of Orders of the Public Service Commission

In Case No. 2020-00174 dated January 13, 2021; January 15, 2021; February 22, 2021, and March 17

KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and March 17, 2021

1/14/2021

# TARIFF S.L. (Street Lighting)

## **AVAILABILITY OF SERVICE.**

Available for lighting service for all the lighting of public streets, public highways and other public outdoor areas in municipalities, counties, and other governmental subdivisions where such service can be supplied from the existing general distribution systems. New installations of High Pressure Sodium lamps shall cease on January 14, 2021.

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### **BASE FUEL RATE.**

Customers receiving service under this tariff will receive bills calculated using per lamp and base fuel charge. The base fuel charge will be calculated each month as shown below by multiplying the approved base fuel amount set forth in the Company's Fuel Adjustment Clause tariff by the relevant monthly kWh value set forth in the monthly kWh table included below in the Adjustment Clauses section of this tariff.

## RATE. (Tariff Code 528)

A. Overhead Service on Existing Distribution Poles

1. High Pressure Sodium

100 watts ( 9,500 Lumens)... \$ 7.61 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff 150 watts (16,000 Lumens)... \$ 8.36 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff 200 watts (22,000 Lumens)... \$ 9.90 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff 400 watts (50,000 Lumens)... \$ 13.00 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff 2. LED 55 watts OH (5,400 Lumens)... \$ 8.71 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff \$ 11.19 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff 100 watts OH (10,500 Lumens)... 175 watts OH (18,430 Lumens)... \$ 13.34 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff 65 watts Post Top (7,230 Lumens)... \$ 9.05 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff 90 watts Post Top (7,038 Lumens)... \$ 20.07 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff

175 watts Flood (21,962 Lumens)... \$ 14.69 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff

B. Service on New Wood Distribution Poles

High Pressure Sodium
 watts ( 9,500 Lumens)...
 11.90 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff
 watts ( 16,000 Lumens)...
 12.75 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff
 watts ( 22,000 Lumens)...
 14.30 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff
 watts ( 50,000 Lumens)...
 18.35 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff

(Cont'd on Sheet No. 15-2)

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Linda C. Bridwell
Executive Director

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# TARIFF S.L. (Cont'd.) (Street Lighting)

2. LED		N
55 watts OH ( 5,400 Lumens)	\$ 14.36 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff	i.
100 watts OH ( 10,500 Lumens)	\$ 16.85 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff	
175 watts OH( 18,430 Lumens)	\$ 19.00 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff	
65 watts Post Top ( 7,230 Lumens)	\$ 14.70 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff	
90 watts Post Top ( 7,038 Lumens)	\$ 25.73 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff	
175 watts Flood ( 21,962 Lumens)	\$ 20.35 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff	

#### C. Service on New Metal or Concrete Poles\*

High Pressure Sodiul	n
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100 watts ( 9,500 Lumens)	5 24.80 per lamp + 0.02851 x kwn in Sneet No. 15-4 in Company S tai	TITT
150 watts ( 16,000 Lumens)	\$ 25.70 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tal	riff
200 watts ( 22,000 Lumens)	\$ 27.25 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tal	riff
400 watts ( 50,000 Lumens)	\$ 30.35 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tal	riff

### 2. LED

Z. LLD	
55 watts OH ( 5,400 Lumens) \$	25.10 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff
100 watts OH ( 10,500 Lumens) \$	26.78 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff
175 watts OH( 18,430 Lumens) \$	28.11 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff
65 watts Post Top ( 7,230 Lumens) \$	25.85 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff
90 watts Post Top ( 7,038 Lumens) \$	36.74 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff
175 watts Flood ( 21,962 Lumens) \$	29.42 per lamp + 0.02851 x kWh in Sheet No. 15-4 in Company's tariff

<sup>\*</sup>Effective June 29, 2010 and thereafter these lamps are not available for new installations

#### D. **LED Lamp Conversion Charge**

Existing street lighting customers that wish to convert from non-LED lamps to a new LED fixture shall pay a monthly charge of \$2.18 per lamp replaced, per month for 84 months.

Lumen rating is based on manufacturer's rated lumen output for new lamps and may vary.

# E. FLEXIBLE LIGHTING OPTION (Tariff Code 525 for Unmetered and Tariff Code 526 for Metered)

Applicable for the installation of any street lighting system (System) on a private or public property and owned by the Company. The customer must be adjacent to an electric power line of the Company that is adequate for supplying the necessary electric service. Service for the System under this tariff shall require a contract addendum agreed to and signed by the customer. The System shall comply with the Company's terms and conditions unless otherwise noted in this section. Included in the contract addendum shall be the installed capital cost of the System and the monthly amount of kWh the System will use unless the system is separately metered. The Company reserves the right to refuse service under this provision based on customer's credit worthingss. **KENTUCKY** 

(Cont'd on Sheet No. 15-3)

PUBLIC SERVICE COMMISSION Linda C. Bridwell

**Executive Director** 

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**PURSUANT TO 807 KAR 5:011 SECTION 9 (1)** 

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# TARIFF S.L. (Cont'd.) (Street Lighting)

Customers shall pay the monthly lamp charge for the System, a monthly maintenance charge, a non-fuel energy charge, a base fuel charge, N and all applicable adjustment clauses.

Monthly Lamp Charge\* = IC x MLFCR Where: IC = Installed Cost of System

MLFCR = Monthly Levelized Fixed Cost Rate of 0.97% which is inclusive of return, depreciation, income taxes, property taxes and A&G expense components

Monthly maintenance charge is \$2.52 per lamp per month Monthly non-fuel charge is .04393 \$/kWh Base fuel charge is .02851 \$/kWh

Customers selecting this flexible lighting option to replace existing lamps shall also be subject to the LED Lamp Conversion Charge.

## ADJUSTMENT CLAUSES.

The bill amount computed at the charges specified above shall be increased or decreased in accordance with the following:

Fuel Adjustment Clause	Sheet No. 5
System Sales Clause	Sheet No. 19
Franchise Tariff	Sheet No. 20
Federal Tax Cut Tariff	Sheet No. 23
Environmental Surcharge	Sheet No. 29
Capacity Charge	Sheet No. 30
School Tax	Sheet No. 33
Purchase Power Adjustment	Sheet No. 35
Decommissioning Rider	Sheet No. 38

For adjustments calculated on a per kWh basis, including those calculated under the Fuel Adjustment Clause, System Sales Clause, and the Capacity Charge tariffs, the following kWh values will be used in the calculation:

(Cont'd on Sheet No. 15-4)

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<sup>\*</sup>Customers may pay a portion of the installed cost upfront to reduce the monthly lamp charge component of the rate.

CANCELLING P.S.C. KY. NO. XX \_\_\_\_\_ SHEET NO. 15-4

# TARIFF S.L. (Cont'd.) (Street Lighting)

## HIGH PRESSURE SODIUM

	100	150	200	400
MONTH	<b>WATTS</b>	<b>WATTS</b>	<b>WATTS</b>	<b>WATTS</b>
JAN	51	74	106	210
FEB	43	62	89	176
MAR	43	62	89	176
APR	36	53	76	150
MAY	32	47	68	134
JUN	29	42	61	120
JUL	31	45	65	128
AUG	35	51	74	146
SEP	39	57	81	160
OCT	45	66	95	188
NOV	48	70	100	198
DEC	<u>52</u>	<u>75</u>	<u>108</u>	<u>214</u>
TOTAL	484	704	1012	2000

		Light Emitting Diode			
	55	65	100	90	175
	WATTS	WATTS	WATTS	WATTS	WATTS
Jan	28	34	52	38	90
Feb	24	28	43	32	76
Mar	24	28	43	32	76
Apr	20	24	36	27	64
May	18	21	32	24	57
Jun	16	19	29	22	51
Jul	17	20	31	23	55
Aug	19	23	35	26	62
Sep	22	26	39	29	69
Oct	25	30	46	33	80
Nov	27	32	49	36	85
Dec	29	34	53	39	92
	269	318	490	360	857

# **SPECIAL FACILITIES.**

When a customer requests street lighting service which requires special poles or fixtures, underground street lighting, or a line extension of more than one span of approximately 150 feet, the customer will be required to pay, in advance, an aid-to-construction in the amount of the installed cost of such special facilities

(Cont'd on Sheet No. 15-

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PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

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# TARIFF S.L. (Cont'd.) (Street Lighting)

## **PAYMENT.**

Bills are due and payable within ten (10) days of the mailing date.

## **HOURS OF LIGHTING.**

All lamps shall burn from one-half hour after sunset until one-half hour before sunrise every night and all night, burning approximately 4,000 hours per annum.

### TERM OF CONTRACT.

Contracts under this tariff will ordinarily be made for an initial term of one year with self-renewal provisions for successive periods of one year each until either party shall give at least 60 days' notice to the other of the intention to discontinue at the end of the initial term or any yearly period. The Company may have the right to require contracts for periods of longer than one year if new or additional facilities are required.

## SPECIAL TERMS AND CONDITIONS.

A customer's account balances must be current prior to installation of new or additional lights.

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# TARIFF C. A. T. V. (Cable Television Pole Attachment)

## **AVAILABILITY OF SERVICE.**

Available to operators of cable television systems (Operators) furnishing cable television service in the operating area of Kentucky Power Company (Company) for attachments of aerial cables, wires and associated appliances (attachments) to certain distribution poles of Kentucky Power Company.

As used in this Tariff, an "attachment" shall mean the physical connection of (a) a messenger strand supporting the wires, cables or stand-mounted associated facilities and equipment of a cable system or (b) service drops affixed to the pole and located more than one vertical foot away from the point at which the messenger strand is attached to the pole (but not a strand originating or mid-span service drop) or (c) service drops located on a dedicated service, drop or lift pole. An attachment shall consume no more than one foot (1') of vertical space on any distribution pole owned by the Company.

## RATE.

The above rate was calculated in accordance with the following formula:

Weighted Average Usage Carrying

Bare Pole Cost x Factor x Charge = Rate Per Pole

A two-user pole is a pole being used, by actual occupation or reservation, by the Operator and the Company. A three-user pole is a pole being used by actual occupation or reservation, by the Operator, the Company, and a third party.

## **DELAYED PAYMENT CHARGE.**

This Tariff is net if account is paid in full within 30 days of date of bill. On all accounts not so paid an additional charge of 5% of the unpaid balance will be made.

# **POLE SUBJECT TO ATTACHMENT.**

When an Operator proposes to furnish cable television service within the Company's operating area and desires to make attachments on certain distribution poles of Company, Operator shall make written application, on a form furnished by Company, to install attachments specifying the location of each pole in question, the character of its proposed attachments and the amount and location of space desired, and any other information necessary to calculate the transverse and vertical load placed upon the pole as a result of the proposed attachment and any other facilities attached to the pole. Within forty-five (45) days after receipt of the application, Company shall notify Operator whether and to what extent any special conditions will be required to permit the use by Operator of each such pole. Operator shall reimburse Company for any expenses incurred in reviewing such written applications for attachment. Operator shall have a non-exclusive right to use such poles of Company as may be used or reserved for use by Operator and any other poles of Company when brought hereunder in accordance with the procedure hereinafter provided. Company shall have the right to grant, by contract or otherwise to others rights or privileges to use any poles of the Company and Company shall have the right to continue and extend any such rights or privileges heretofore granted. All poles shall be and remain the property of Company regardless of any payment by Operator toward their cost and Operator shall, except for the rights provided hereunder, acquire no right, title or interest in or to any such pole.

(Cont'd on Sheet No. 16-2)

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# TARIFF C.A.T.V. (Cont'd.) (Cable Television Pole Attachment)

## STANDARDS FOR INSTALLATION.

All attachments and associated equipment of Operator (including without limitation, power supplies) shall be installed in a manner satisfactory to Company and so as not to interfere with the present or any future use which Company may desire to make of the poles covered by this Tariff. All such attachments and equipment shall be installed and at all times maintained by Operator so as to comply at least with the minimum requirements of the National Electrical Safety Code and any other applicable regulations or codes promulgated by state, local or other governmental authority having jurisdiction there over. Power supply apparatus having as its largest dimension more than sixteen inches must be placed on a separate pole to be installed by Operator. Operator shall take necessary precautions by the installation of protective equipment or other means, to protect all persons and property of all kinds against injury or damage occurring by reason of Operator's attachments.

## POLE INSTALLATION OR REPLACEMENT; REARRANGEMENTS; GUYING.

In any case Operator proposes to install attachments on a pole to be erected by Company in a new location, and to provide adequate space or strength to accommodate such attachments (either at the request of Operator to comply with the aforesaid codes and regulations) such pole must, in Company's judgment, be taller and/or stronger than would be necessary to accommodate the facilities of Company and of other persons who have previously indicated that they desire to make attachments on such pole or with whom Company has an agreement providing for joint or share ownership of poles, the cost of such extra height and/or strength shall be paid to Company by Operator. Such cost shall be the difference between the cost in place of the new pole and the current cost in place of a pole considered by Company to be adequate for the facilities of Company and the attachments of such other persons.

Where in Company's judgment a new pole must be erected to replace an existing pole solely to adequately provide for Operator's proposed attachments, Operator agrees to pay Company for the entire cost of the new pole necessary to accommodate the existing facilities on the pole and Operator's proposed attachments, plus the cost of removal of the in-place pole, minus the salvage value, if any, of the removed pole. Title to the new pole shall remain with the Company. Operator shall also pay to Company and to any other owner of existing attachments on the pole the cost of removing each of their respective facilities or attachments from the existing pole and reestablishing the same or like facilities or attachments on the newly-installed pole.

If Operator's desired attachments can be accommodated on existing poles of Company by rearranging facilities of Company thereon of any other person, or if because of Operator's proposed attachments it is necessary for Company to rearrange its facilities on any pole not owned by it, then in any such case, Operator shall reimburse Company and any such other person for the respective expense incurred in making such rearrangement.

If because of the requirements of its business, Company proposed to replace an existing pole on which Operator has any attachment, or Company proposed to change the arrangements of its facilities on any such pole in such manner as to necessitate a rearrangement of Operator's attachment, or if as a result of any inspection of Operator's attachments Company determines that any such attachments are not in accordance with applicable codes or the provisions of this Tariff or are otherwise hazards Company shall give Operator not less than 48 hours notice of such proposed replacement or change, or any such violation or hazard, unless an emergency requires a shorter period. In such event, Operator shall at its expense relocate, rearrange or modify its attachments at the time specified by Company. If Operator fails to do so, or if any such emergency makes notice impractical, Company shall perform such relocation or rearrangement and Operator shall reimburse Company for the reasonable cost thereof.

Any additional guying or anchors required by reason of the attachments of Operator shall be provided at the expense of Operator and shall meet the requirements of all applicable codes or regulations and Company's generally applicable guying standards.

(Cont'd on Sheet No. 16-3)

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Linda C. Bridwell Executive Director

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TARIFF C.A.T.V. (Cont'd.)
(Cable Television Pole Attachment)

## POLE INSPECTION.

Company may make periodic inspections, as conditions may warrant, for the purpose of determining compliance with the provisions of this Tariff. Company reserves the right to inspect each new or proposed installation of Operator on Company's poles. In addition, Company's right to make any inspections and any inspection made pursuant to such right shall not relieve Operator of any responsibility, obligation or liability assumed under this Tariff.

### UNAUTHORIZED ATTACHMENTS.

Operator shall make no attachment to or other use of any pole of Company or any facilities of Company thereon, except as authorized. The company reserves the right to make periodic inspections. Should such unauthorized attachment or use be made, Operator shall pay to the Company on demand two times the charges and fees, including but not limited to, any payable under the headings "RATES" and "POLE INSTALLATION OR REPLACEMENT; REARRANGEMENTS; GUYING" that would have been payable had such attachment been made on the date following the date of the last previous inspection required to be made by Company under applicable regulations of the Kentucky Public Service Commission.

### **ABANDONMENT BY OPERATOR.**

Operator may at any time abandon the use of a pole hereunder by removing therefrom all of its attachments and by giving written notice thereof, on a form provided by the Company, and no pole shall be considered abandoned until such notice is received.

### **INDEMNITY.**

Operator hereby agrees to indemnify, hold harmless, and defend Company from and against any and all loss, damage, cost or expense which Company may suffer or for which Company may be held liable because of interruption of Operator's service to its subscribers or because of interference with television reception of said subscribers or others, or by reason of bodily injury, including death, to any person, or damage to or destruction of any property, including loss of use thereof, arising out of or in any manner connected with the attachment, operation, and maintenance of the facilities of Operator on the poles of Company under this Tariff, when due to any act, omission or negligence of Operator, or to any such act, omission or negligence of Operator's respective representatives, employees, agents or contractors.

# **INSURANCE.**

Operator agrees to obtain and maintain at all times policies of insurance as follows:

- (a) Comprehensive bodily injury liability insurance in an amount not less than \$1,000,000 for any one occurrence
- (b) Comprehensive property damage liability insurance in an amount not less than \$500,000 for any one occurrence.
- (c) Contractual liability insurance in an amount not less than the foregoing minimums to cover the liability assumed by the Operator under the agreement or indemnity set forth above.

Prior to making attachments at Company's poles, Operator shall furnish to Company two copies of a certificate, from an insurance carrier licensed to do business in Kentucky, stating that policies of insurance have been issued by it to Operator providing for the insurance listed above and that such policies are in force. Such certificate shall state that the insurance carrier will give Company thirty (30) days' prior written notice of any cancellation of or material change in such policies.

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# TARIFF C.A.T.V. (Cont'd.) (Cable Television Pole Attachment)

### EASEMENTS.

Operator shall secure any right, license or permit from any governmental body, authority or other person or persons which may be required for the construction or maintenance of attachments of Operator. Company does not convey nor guarantee any easements, rights-of-way or franchises for the construction and maintenance of said attachments. Operator hereby agrees to indemnify and save harmless Company from any and all claims, including the expenses incurred by Company to defend itself against such claims, resulting from or arising out of the failure of Operator to secure such right, license, permit or easement for the construction or maintenance of said attachments on Company's poles.

### **CHARGES AND FEES.**

Operator agrees to pay Company an annual charge per attachments set forth on Tariff Sheet No. 16-1 in advance, and such other charges as may be provided for herein, for the use of each of Company's poles, any portion of which is occupied by, or reserved at Operator's request for the attachments of Operator.

Operator agrees to reimburse Company for all reasonable non-recurring expenses caused by or attributable to Operator's initial attachments including without limitation the amounts set forth herein before and the expenses of Company in examining poles used but not owned by Company to which Operator proposes to make attachments.

### FEES FOR ADDITIONAL ATTACHMENTS OR REMOVALS.

For attachments made or removed which are reported to the Company between billing dates, Operator shall be billed or credited a prorated amount of the annual charge effective with the date of attachment or removal on the Operator's next bill.

## ADVANCE BILLING

Payment of amounts due hereunder is due on the dates or at the times indicated with respect to each such payment. In the event the time for any payment is not specified, such payment shall be due thirty (30) days from the date of the invoice therefore. In all amounts not so paid an addition charge of five percent (5%) will be assessed. Where the provisions of the Tariff require any payment by Operator to the Company other than for attachment charges, Company may, at its option, require that the estimated amount thereof be paid in advance of permission to use any pole or the performance by company of any work. In such a case, Company shall invoice any deficiency or refund any excess to Operator after the current amount of such payment has been determined.

## **DEFAULT OR NON-COMPLIANCE.**

If Operator fails to comply with any of the provisions of this Tariff or defaults in the performance of any of its obligations under this Tariff and fails within thirty (30) days, after written notice from Company to correct such default or non-compliance, Company may, in addition to all other remedies under this tariff forthwith take any one or more of the following actions: terminate the specific permit or permits covering the poles to which such default or non-compliance is applicable; remove, relocate or rearrange attachments of Operator to which such default or non-compliance relates, all at Operator's expense; decline to permit additional attachments hereunder until such default is cured; or in the event of any failure to pay any of the charges, fees or amounts provided in this Tariff or any other substantial default, or of repeated defaults terminate Operator's right of attachment. Operator shall remove all attachments where Company has terminated the right of attachment herein within 30 days of Company providing notice of termination. If Operator fails to remove such attachments within 30 days, then Company may remove such attachments at Operator's expense. Company shall have no obligation to store or recover any value for such removed attachments.

No liability shall be incurred by Company because of any or all such actions except for negligent destruction by the Company of CATV equipment in any relocation or removal of such equipment. The remedies provided herein are cumulative and in addition to any other remedies available to Company.

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Linda C. Bridwell
Executive Director

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# TARIFF C. A. T. V. (Cont'd) (Cable Television Pole Attachment)

### PRIOR AGREEMENTS.

This Tariff terminates and supersedes any previous agreement, license or joint use affecting Company's poles and Operator's attachments covered herein.

## ASSIGNMENT.

This Tariff shall be binding upon and inure to the benefits of the parties hereto, their respective successors and/or assigns, but Operator shall not assign, transfer or sublet any of the rights hereby granted without the prior written consent of the Company, which shall not be unreasonably withheld, and any such purported assignment, transfer or subletting without such consent shall be void.

# PERFORMANCE WAIVER.

Neither party shall be considered in default in the performance of its obligations herein, or any of them, to the extent that performance is delayed or prevented due to causes beyond the control of said party, including but not limited to, Acts of God or the public enemy, war, revolution, civil commotion, blockade or embargo, acts of government, any law, order, proclamation, regulation, ordinance, demand, or requirement of any government, fires, explosions, cyclones, floods, unavoidable casualties, quarantine, restrictions, strikes, labor disputes, lock-outs, and other causes beyond the reasonable control of either of the parties.

## **PRESERVATION OF REMEDIES.**

No delay or omission in the exercise of any power or remedy herein provided or otherwise available to the Company shall impair or affect its right thereafter to exercise the same.

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TITLE: Vice President, Regulatory & Finance

By Authority of Orders of the Public Service Commission

In Case No. 2020-00174 dated January 13, 2021; January 15, 2021; February 22, 2021, and March 17

KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

1/14/2021

# TARIFF COGEN/SPP I (Cogeneration and/or Small Power Production--100 KW or Less)

## **AVAILABILITY OF SERVICE.**

This tariff is available to customers with cogeneration and/or small power production (COGEN/SPP) facilities which qualify under Section 210 of the Public Utility Regulatory Policies Act of 1978, and which have a total design capacity of 100 KW or less. Such facilities shall be designed to operate properly in parallel with the Company's system without adversely affecting the operation of equipment and services of the Company and its customers, and without presenting safety hazards to the Company and customer personnel.

The customer has the following options under this tariff, which will affect the determination of energy and capacity and the monthly metering charges:

- Option 1- The customer does not sell any energy or capacity to the Company, and purchases from the Company its net load requirements, as determined by appropriate meters located at one delivery point.
- Option 2 The customer sells to the Company the energy and average on-peak capacity produced by the customer's qualifying COGEN/SPP facilities in excess of the customer's total load, and purchases from the Company its net load requirements, as determined by appropriate meters located at one delivery point.
- Option 3 The customer sells to the Company the total energy and average on-peak capacity produced by the customer's qualifying COGEN/SPP facilities, while simultaneously purchasing from the Company its total load requirements, as determined by appropriate meters located at one delivery point.

## MONTHLY CHARGES FOR DELIVERY FROM THE COMPANY TO THE CUSTOMER.

Such charges for energy, and demand where applicable, to serve the customer's net or total load shall be determined according to the tariff appropriate for the customer, except that Option 1 and Option 2 customers with cogeneration and/or small power production facilities having a total design capacity of more than 10 KW shall be served under demand-metered tariffs, and except that the monthly billing demand under such tariffs shall be the highest determined for the current and previous two billing periods. The above three-month billing demand provision shall not apply under Option 3.

# ADDITIONAL CHARGES.

There shall be additional charges to cover the cost of special metering, safety equipment and other local facilities installed by the Company due to COGEN/SPP facilities, as follows:

# **Monthly Metering Charge**

The additional monthly charge for special metering facilities shall be as follows:

Option 1 - Not Applicable.

(Cont'd on Sheet No. 17-2)

DATE OF ISSUE: April 9, 2021

DATE EFFECTIVE: Service Rendered On And After January 14, 2021

ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

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PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

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# TARIFF COGEN/SPP I (Cont'd.) (Cogeneration and/or Small Power Production--100 KW or Less)

## ADDITIONAL CHARGES. (Cont'd.)

## Monthly Metering Charge (Cont'd.)

Options 2 & 3 - Where meters are used to measure the excess or total energy and average on-peak capacity

purchased by the Company:

	Single Phase	<u>Polyphase</u>
Standard Measurement	\$9.25	\$12.10
T.O.D. Measurement	\$9.85	\$12.40

Under Option 3, when metering voltage for COGEN/SPP facilities is the same as the Company's delivery voltage, the customer shall, at his option, either route the COGEN/SPP totalized output leads through the metering point, or make available at the metering point for the use of the Company and, as specified by the Company, metering current leads which will enable the Company to measure adequately the total electrical energy and average capacity produced by the qualifying COGEN/SPP facilities, as well as to measure the electrical energy consumption and capacity requirements of the customer's total load. When metering voltage for COGEN/SPP facilities is different from the Company's delivery voltage, metering requirements and charges shall be determined specifically for each use.

## **Local Facilities Charge**

Additional charges to cover "interconnection costs" incurred by the Company shall be determined by the Company for each case and collected from the customer. For Options 2 and 3, the cost of metering facilities shall be covered by the Monthly Metering Charge and shall not be included in the Local Facilities Charge. The customer shall make a one-time payment for the Local Facilities Charge at the time of installation of the required additional facilities, or, at his option, up to 12 consecutive equal monthly payments reflecting an annual interest charge as determined by the Company, but not to exceed the cost of the Company's most recent issue of long-term debt. If the customer elects the installment payment option, the Company may require a reasonable security deposit.

## MONTHLY CREDITS OR PAYMENTS FOR ENERGY AND CAPACITY DELIVERIES.

## **Energy Credit**

The following credits or payments from the Company to the customer shall apply for the electrical energy delivered to the Company:

9	Standard Meter - All K	NH Variable LMP at time of delivery ¢ KWH	Τ
٦	O.D. Meter		
	On-Peak KWH	Variable LMP at time of delivery ¢ KWH	Τ
	Off-Peak KWH		т

# **Capacity Credit**

If the customer contracts to deliver or produce a specified excess or total average capacity during the monthly billing period (monthly contract capacity), or a specified excess or total average capacity during the on-peak monthly billing period (on-peak contract capacity), then the following capacity credits or payment from the Company to the customer shall apply:

(Cont'd on Sheet No. 17-3)

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Linda C. Bridwell Executive Director

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# TARIFF COGEN/SPP I (Cont'd.) (Cogeneration and/or Small Power Production--100 KW or Less)

# MONTHLY CREDITS OR PAYMENTS FOR ENERGY AND CAPACITY DELIVERIES. (Cont'd.)

# Capacity Credit (Cont'd.)

If standard energy meters are used,

- Α. 2020/2021 \$2.81 kW/month 2021/2022 \$3.37 kW/month 2022/2023 \$3.29 kW/month, times the lowest of:
  - (1) monthly contract capacity, or
  - (2) current month metered average capacity, i.e., KWH delivered to the Company or produced by COGEN/SPP facilities divided by 730, or
  - (3) lowest average capacity metered during the previous two months if less than monthly contract capacity.

If T.O.D. energy meters are used,

- В. 2020/2021 \$6.74 kW/month 2021/2022 \$8.09 kW/month 2022/2023 \$7.89 kW/month, times the lowest of:
  - (1) on-peak contract capacity, or
  - (2)current month on-peak metered average capacity, i.e., on-peak KWH delivered to the Company or produced by COGEN/SPP facilities divided by 305 or
  - (3) lowest on-peak average capacity metered during the previous two months, if less than on-peak contract capacity.

The above energy and capacity credit rates are subject to revisions from time to time as approved by the Commission.

# ON-PEAK AND OFF-PEAK PERIODS.

The on-peak period shall be defined as starting at 7:00A.M. and ending at 9:00 P.M., local time, Monday through Friday.

The off-peak period shall be defined as starting at 9:00 P.M. and ending at 7:00A.M. local time, Monday through Friday, and all hours of Saturday and Sunday.

# CHARGES FOR CANCELLATION OR NON PERFORMANCE CONTRACT.

If the customer should, for a period in excess of six months, discontinue or substantially reduce for any reason the operation of cogeneration and/or small power production facilities which were the basis for the monthly contract capacity or the on-peak contract capacity, the customer shall be liable to the Company for an amount equal to the total difference between the actual payments for capacity paid to the customer and the payments for capacity that would have been paid to the customer pursuant to this Tariff COGEN/SPP I or any successor tariff. The Company shall be entitled to interest on such amount at the rate of the Company's most recent issue of long-term debt at the effective date of the contract.

## TERM OF CONTRACT.

Contracts under this tariff shall be made for a term not less than five (5) years. A Qualifying Facility regular that provided cost rates be set on an "as available" basis or when a legally enforceable obligation is established.

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**KENTUCKY** PUBLIC SERVICE COMMISSION

Le C. Sudwell

**Executive Director** 

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# TARIFF COGEN/SPP II (Cogeneration and/or Small Power Production--Over 100 KW)

### **AVAILABILITY OF SERVICE.**

This tariff is available to customers with cogeneration and/or small power production (COGEN/SPP) facilities which qualify under Section 210 of the Public Utility Regulatory Policies Act of 1978, and which have a total design capacity of over 100 KW but less than 20,000 KW. Such facilities shall be designed to operate properly in parallel with the Company's system without adversely affecting the operation of equipment and services of the Company and its customers, and without presenting safety hazards to the Company and customer personnel.

The customer has the following options under this tariff, which will affect the determination of energy and capacity and the monthly metering charges:

Option 1 - The customer does not sell any energy or capacity to the Company, and purchases from the Company its net load requirements, as determined by appropriate meters located at one delivery point.

Option 2 - The customer sells to the Company the energy and average on-peak capacity produced by the customer's qualifying COGEN/SPP facilities in excess of the customer's total load, and purchases from the Company its net load requirements, as determined by appropriate meters located at one delivery point.

Option 3 - The customer sells to the Company the total energy and average on-peak capacity produced by the customer's qualifying COGEN/SPP facilities, while simultaneously purchasing from the Company its total load requirements, as determined by appropriate meters located at one delivery point.

### MONTHLY CHARGES FOR DELIVERY FROM THE COMPANY TO THE CUSTOMER.

Such charges for energy, and demand where applicable, to serve the customer's net or total load shall be determined according to the tariff appropriate for the customer, except that Option 1 and Option 2 customers shall be served under demand-metered tariffs, and except that the monthly billing demand under such tariffs shall be the highest determined for the current and previous two billing periods. The above three-month billing demand provision shall not apply under Option 3.

## **ADDITIONAL CHARGES.**

There shall be additional charges to cover the cost of special metering, safety equipment and other local facilities installed by the Company due to COGEN/SPP facilities, as follows:

# Monthly Metering Charge

The additional monthly charge for special metering facilities shall be as follows:

Option 1 - Not Applicable.

(Cont'd on Sheet No. 18-2)

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PUBLIC SERVICE COMMISSION

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# TARIFF COGEN/SPP II (Cont'd.) (Cogeneration and/or Small Power Production--Over 100 KW)

# ADDITIONAL CHARGES. (Cont'd.)

# Monthly Metering Charge (Cont'd)

Options 2 & 3- Where meters are used to measure the excess or total energy and average on

peak capacity purchased by the Company:

	Single Phase	<u>Polyphase</u>
Standard Measurement	\$9.25	\$12.10
T.O.D. Measurement	\$9.85	\$12.40

Under Option 3, when metering voltage for COGEN/SPP facilities is the same as the Company's delivery voltage, the customer shall, at his option, either route the COGEN/SPP totalized output leads through the metering point, or make available at the metering point for the use of the Company and, as specified by the Company, metering current leads which will enable the Company to measure adequately the total electrical energy and average capacity produced by the qualifying COGEN/SPP facilities, as well as to measure the electrical energy consumption and capacity requirements of the customer's total load. When metering voltage for COGEN/SPP facilities is different from the Company's delivery voltage, metering requirements and charges shall be determined specifically for each case.

### **Local Facilities Charge**

Additional charges to cover "interconnection costs" incurred by the Company shall be determined by the Company for each case and collected from the customer. For Options 2 and 3, the cost of metering facilities shall be covered by the Monthly Metering Charge and shall not be included in the Local Facilities Charge. The customer shall make a one-time payment for the Local Facilities Charge at the time of installation of the required additional facilities, or, at his option, up to 12 consecutive equal monthly payments reflecting an annual interest charge as determined by the Company, but not to exceed the cost of the Company's most recent issue of long-term debt. If the customer elects the installment payment option, the Company may require a reasonable security deposit.

# MONTHLY CREDITS OR PAYMENTS FOR ENERGY AND CAPACITY DELIVERIES.

## **Energy Credit**

The following credits or payments from the Company to the customer shall apply for the electrical energy delivered to the Company:

Standard Meter - All KWI	HVariable LMP at time of delivery ¢ KWH	Т
T.O.D. Meter		
On-Peak KWH	Variable LMP at time of delivery ¢ KWH	T
Off-Peak KWH	Variable LMP at time of delivery ¢ KWH	Т

(Cont'd on Sheet No. 18-3)

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Linda C. Bridwell Executive Director

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CANCELLING P.S.C. KY. NO. 11 ORIGINAL SHEET NO. 18-3

# TARIFF COGEN/SPP II (Cont'd.) (Cogeneration and/or Small Power Production--Over 100 KW)

## MONTHLY CREDITS OR PAYMENTS FOR ENERGY AND CAPACITY DELIVERIES. (Cont'd.)

## **Capacity Credit**

If the customer contracts to deliver or produce a specified excess or total average capacity during the monthly billing period (monthly contract capacity), or a specified excess or total average capacity during the on-peak monthly billing period (on-peak contract capacity), then the following capacity credits or payment from the Company to the customer shall apply:

If standard energy meters are used,

A.	2020/2021 \$2.81 kW/month
	2021/2022 \$3.37 kW/month
	2022/2023 \$3.29 kW/month, times the lowest of:

- (1) monthly contract capacity, or
- (2) current month metered average capacity, i.e., KWH delivered to the Company or produced by COGEN/SPP facilities divided by 730, or
- (3) lowest average capacity metered during the previous two months if less than monthly contract capacity.

If T.O.D. energy meters are used,

В.	2020/2021 \$6.74 kW/month	R
	2021/2022 \$8.09 kW/month	I
	2022/2023 \$7.89 kW/month, times the lowest of:	- 1

- (1) on-peak contract capacity, or
- (2) current month on-peak metered average capacity, i.e., on-peak KWH delivered to the Company or produced by COGEN/SPP facilities divided by 305, or
- (3) lowest on-peak average capacity metered during the previous two months, if less than on-peak contract capacity.

The above energy and capacity credit rates are subject to revisions from time to time as approved by the Commission.

## **ON-PEAK AND OFF-PEAK PERIODS.**

The on-peak period shall be defined as starting at 7:00 A.M. and ending at 9:00 P.M., local time, Monday through Friday.

The off-peak period shall be defined as starting at 9:00 P.M. and ending at 7:00 A.M., local time, Monday through Friday, and all hours of Saturday and Sunday.

(Cont'd on Sheet No. 18-4)

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**KENTUCKY** PUBLIC SERVICE COMMISSION

> Linda C. Bridwell **Executive Director**

CANCELLING P.S.C. KY. NO. XX \_\_\_\_\_ SHEET NO. 18-4

## **CHARGES FOR CANCELLATION OR NON PERFORMANCE CONTRACT.**

If the customer should, for a period in excess of six months, discontinue or substantially reduce for any reason the operation of cogeneration and/or small power production facilities which were the basis for the monthly contract capacity or the on-peak contract capacity, the customer shall be liable to the Company for an amount equal to the total difference between the actual payments for capacity paid to the customer and the payments for capacity that would have been paid to the customer pursuant to this Tariff COGEN/SPP II or any successor tariff. The Company shall be entitled to interest on such amount at the rate of the Company's most recent issue of long-term debt at the effective date of the contract.

## TERM OF CONTRACT.

Contracts under this tariff shall be made for a term not less than five (5) years. A Qualifying Facility can request that avoided cost rates be set on an "as available" basis or when a legally enforceable obligation is established.

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Linda C. Bridwell Executive Director

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PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

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# TARIFF S. S. C. (System Sales Clause)

### APPLICABLE.

To Tariffs R.S., R.S.D., R.S.-L.M.-T.O.D., R.S.-T.O.D., Experimental R.S.-T.O.D.2, G.S., S.G.S.-T.O.D., M.G.S.-T.O.D., L.G.S., L.G.S.-T.O.D., I.G.S., C.S.-I.R.P., C.S. Coal, M.W., O.L. and S.L.

### RATE.

1. When the annual net revenues from system sales are above or below the annual base net revenues from system sales, as provided in paragraph 2 below, an additional credit or charge equal to the product of the KWHs and a system sales adjustment factor (A) shall be made, where "A", calculated to the nearest 0.0001 mill per kilowatt-hour, is defined as set forth below.

Annual System Sales Adjustment Factor (A) = (1.0 [Ta - Tb+U/a])/Sa

Т

In the above formula "T" is Kentucky Power Company's (KPCo) annual net revenues from system sales in the current annual (a), base (b) periods, and "S" is the KWH sales in the current annual (a) period, all defined below. "U/a" represents any under-or-over recovery from the prior period.

The applicable rate for service rendered on and after September 28, 2020, calculated in accordance with the above formula, is \$.00004 per kWh.

- 2. The net revenue from KPCo's sales to non-associated companies as reported in the FERC Energy Regulatory Commission's Uniform System of Accounts under Account 447, Sales for Resale, shall consist of and be derived as follows:
  - a. KPCo's total revenues from system sales as recorded in Account 447, less b. and c. below.
  - b. KPCo's total out-of-pocket costs incurred in supplying the power and energy for the sales in a. above.

The out-of-pocket costs include all operating, maintenance, tax, transmission losses and other expenses that would not have been incurred if the power and energy had not been supplied for such sales, including demand and energy charges for power and energy supplied by Third Parties.

 KPCo's environmental costs allocated to non-associated utilities in the Company's Environmental Surcharge Report.

(Cont'd on Sheet No. 19-2)

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# TARIFF S. S. C. (Cont'd.) (System Sales Clause)

- 3. The base annual net revenues from system sales are: \$7,326,879
- 4. Sales (S) shall be equated to the sum of (a) generation (including energy produced by generating plant during the construction period), (b) purchase, and (c) interchange-in, less (d) energy associated with pumped storage operations, less (e) inter-system sales and less (f) total system losses.
- 5. The system sales adjustment factor shall be based upon actual annual revenues and costs for system sales, subject to subsequent adjustment upon final determination of actual revenues and costs.
- 6. The annual System Sales Clause shall be filed with the Commission no later than August 15<sup>th</sup> of each year before it is scheduled to go into effect on Cycle 1 of the October billing cycle. The Company shall update the Annual System Sales Adjustment Factor for the period ending June 30, along with all the necessary supporting data to justify the amount of the adjustments, which shall include data, and information as may be required by the Commission.
- 7. Copies of all documents required to be filed with the Commission under this regulation shall be open and made available for public inspection at the office of the Public Service Commission pursuant to the provisions of KRS 61.870 to 61.884.

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Linda C. Bridwell Executive Director

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# TARIFF F.T. (Franchise Tariff)

## **AVAILABILITY OF SERVICE.**

Where a city or town within Kentucky Power's service territory requires the Company to pay a percentage of revenues from certain customer classifications collected within such city or town for the right to erect the Company's poles, conductors, or other apparatus along, over, under, or across such city's or town's streets, alleys, or public grounds, the Company shall increase the rates and charges to such customer classifications within such city or town by a like percentage. The aforesaid charge shall be separately stated and identified on each affected customer's bill.

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TITLE: Vice President, Regulatory & Finance

By Authority of Orders of the Public Service Commission

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**KENTUCKY**PUBLIC SERVICE COMMISSION

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# TARIFF T. S. (Temporary Service)

## AVAILABILITY OF SERVICE.

Where capacity is available, Company will install service for temporary lighting and power service to customers who have demonstrated to the Company's satisfaction that the requested temporary service will be temporary in nature. Residential customers will be supplied with 100 amp single phase service. All other customer classes will be supplied at voltage levels applicable to the class of business.

# RATE. (Tariff Code 019)

Temporary service will be supplied under any published tariff applicable to the class of business of the Customer, when the Company has available unsold capacity of lines, transforming and generating equipment, with an additional charge of the total cost of installation, connection, disconnection and removal of service.

## CHARGES.

The same minimum charge as provided for in any applicable tariff shall be applicable to such temporary service and for not less than one full monthly minimum.

Customer's requesting temporary service will be charged a minimum temporary service installation charge, payable in advance, based on the Company's actual cost of installation, connection, disconnection, and removal of the required facilities to provide temporary service.

## DELAYED PAYMENT CHARGE.

Bills under this tariff are due and payable within fifteen (15) days after their mailing date. All accounts not paid in full by the next billing date will be assessed an additional charge of 5% of the outstanding unpaid balance.

## TERMS OF SERVICE.

Temporary Service will be in effect for a period of 180 days from the date of installation. The Company may grant extensions based on customer's demonstration of continued need for temporary service.

The Company may discontinue temporary service at the end of the 180 days, or at the end of any extended period of time after the initial 180 days.

## SPECIAL TERMS AND CONDITIONS.

A deposit equal to the full estimated amount of the bill and/or construction costs under this tariff may be required. This tariff is not available to customers permanently located, whose energy requirements are of a seasonal nature. See Terms and Conditions of Service.

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PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

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# TARIFF D.S.M.C. (Demand-Side Management Adjustment Clause)

### APPLICABLE.

To Tariffs R.S., R.S.D., R.S.-L.M.-T.O.D., R.S.-T.O.D., Experimental R.S.-T.O.D. 2, G.S., S.G.S.-T.O.D., M.G.S.-T.O.D., L.G.S., L.G.S.-T.O.D., I.G.S., C.S.-I.R.P., C.S.-Coal, and M.W.,

## RATE.

1. The Demand-Side Management (DSM) clause shall provide for periodic adjustment per KWH of sales equal to the DSM costs per KWH by customer sector according to the following formula:

 $\frac{DSM (c)}{Adjustment Factor} = S(c)$ 

Where DSM is the cost by customer sector of demand-side management programs, net lost revenues, incentives, and any over/under recovery balances; (c) is customer sector; and S is the adjusted KWH sales by customer sector.

- Demand-Side Management (DSM) costs shall be the most recent forecasted cost plus any over/under recovery balances recorded at the end of the previous period.
  - a. Program costs are any costs the Company incurred associated with demand-side management which were approved by the Kentucky Power Company DSM Collaborative. Examples of costs to be included are contract services, allowances, promotion, expenses, evaluation, lease expense, etc. by customer sector.
  - Net lost revenues are the calculated net lost revenues by customer sector resulting from the implementation of the DSM programs.
  - c. Incentives are a shared-savings incentive plan consisting of one of the following elements: The <u>efficiency incentive</u>, which is defined as 15 percent of the estimated net savings associated with the programs. Estimated net savings are calculated based on the California Standard Practice Manual's definition of the Total Resources Cost (TRC) test, or the <u>maximizing incentive</u> which is defined as 5 percent of actual program expenditures if program savings cannot be measured.
  - d. Over/ Under recovery balances are the total of the differences between the following:
    - (i) the actual program costs incurred versus the program costs recovered through DSM adjustment clause, and
    - (ii) the calculated net lost revenues realized versus the net lost revenues recovered through the DSM adjustment clause, and
    - (iii) the calculated incentive to be recovered versus the incentive recovered through the DSM adjustment clause.
- Sales (S) shall be the total ultimate KWH sales by customer sector less non-metered, opt-out and lost revenue impact KWHs by customer sector.

4. The provisions of the Demand-Side Management Adjustment Clause will be affective for the period ending December 3.

(Cont'd on Sheet No. 22-2)

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Linda C. Bridwell

Executive Director

# TARIFF D.S.M.C. (DEMAND-SIDE MANAGEMENT ADJUSTMENT CLAUSE) (Cont'd.)

# RATE. (Cont'd.)

- 5. The DSM adjustment shall be filed with the Commission ten (10) days before it is scheduled to go into effect, along with all the necessary supporting data to justify the amount of the adjustments, which shall include data, and information as may be required by the Commission.
- 6. Copies of all documents required to be filed with the Commission under this regulation shall be open and made available for public inspection at the office of the Public Service Commission pursuant to the provisions of KRS 61.870 to 61.884.
- 7. The resulting range for each customer sector per KWH during the three-year Experimental Demand-Side Management Plan is as follows:

# **CUSTOMER SECTOR**

	<u>RESIDENTIAL</u>	COMMERCIAL	INDUSTRIAL*
<u>DSM (c)</u> S (c)	\$252,609 1,927,287,772	\$20,549 1,148,719,152	- 0 - - 0 -
	40,000	4.0.00040	
Adjustment Factor	\$ 0.000131	\$ 0.000018	- 0 -

<sup>\*</sup> The Industrial Sector has been discontinued pursuant to the Commission's Order dated September 28, 1999.

# **PROGRAM DESCRIPTIONS.**

The D.S.M.C. program availability, program, rate, and equipment descriptions follow:

(Cont'd on Sheet No. 22-3)

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TITLE: Vice President, Regulatory & Finance

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**KENTUCKY**PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

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# TARIFF D.S.M.C. (DEMAND-SIDE MANAGEMENT ADJUSTMENT CLAUSE) (Cont'd.)

PROGRAM: TEE - Targeted Energy Efficiency

# **AVAILABILITY OF SERVICE**

Available on a voluntary basis to individual residential customers receiving retail electric service from the Company, who have primary electric heat and use an average of 700 kWh per month. Residential customers without primary electric heating may also be eligible for limited efficiency measures if they have electric water heating and use an average of 700 kWh per month from November through March. To qualify, the household's income cannot exceed the designated poverty guidelines as administered by the local community action agency.

# PROGRAM DESCRIPTION

The Kentucky Power Targeted Energy Efficiency Program (TEE) provides weatherization and energy efficiency services to qualifying residential customers who need help reducing their energy bills. The Company provides funding for this program through the Kentucky Community Action network of not-for-profit community action agencies. The program funding and service is supplemental to the Weatherization Assistance Programs offered by the local community action agency. This program provides energy saving improvements to an existing home. Program services include residential energy audits, the installation of home weatherization/energy conservation items and customer education on home energy efficiency. The home weatherization/energy conservation measures may include, but not limited to:

- · High efficiency lighting
- Domestic hot water pipe insulation
- Water heater insulation wrap (electric DHW only)
- Low flow showerhead
- Low flow faucet aerator
- Air and duct sealing (electric heat only)
- Insulation (electric heat only)
- Efficient windows and doors
- Air source heat pump

## RATE

No rate applies for this program.

## **EQUIPMENT**

The Kentucky Community Action network of not-for-profit community action agencies will furnish and install, in the customer's presence, the equipment as provided by this program.

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# **FEDERAL TAX CUT TARIFF**

(F.T.C.)

## APPLICABLE.

To Tariffs R.S., R.S.D., R.S.-L.M.-T.O.D., R.S.-T.O.D., Experimental R.S.-T.O.D.2, G.S., S.G.S.-T.O.D., M.G.S.-T.O.D., L.G.S., L.G.S.-T.O.D., I.G.S., C.S.- I.R.P., C.S. Coal, M.W., O.L., and S.L.

# RATE.

- 1. Pursuant to the final order of the Kentucky Public Service Commission in Case No. 2020-00174, Kentucky Power Company is T to credit to retail ratepayers the approved annual amount of excess accumulated deferred federal income taxes (ADIT) beginning January 14, 2021 at the rates set forth below and continue to do so until the Company's base rates are re-set in a future base rate proceeding.
- 2. The Company shall amortize the calendar year retail Generation and Distribution related ARAM of Protected Excess ADIT and the amount of retail Generation and Distribution related Unprotected Excess ADIT needed to support the remainder of the actual calendar year rate credits provided to customers through this rider.
- 3. The Residential rate credits and All Other rate credits shall be credited to customers on a kWh basis as follows:

	Residential	All Other	
	(\$/kWh)	(\$/kWh)	D
January – March and December	\$0.02187	\$0.00672	II
April – November	\$0.00010	\$0.00672	RI

4. The allocation of the actual retail Generation and Distribution related ARAM of Protected Excess ADIT and any Commission authorized amount of Unprotected Excess ADIT, between residential and all other customers shall be based upon their respective contribution to total retail revenues, according to the following formula:

Residential Allocation RA(y) KY Residential Retail Revenue RR AC(y)KY Retail Revenue R

All Other Allocation OA(y) = KY All Other Classes Retail Revenue OR AC(y)Х KY Retail Revenue R

Where:

(y) = the credit year; RR = \$248,770,246; OR = \$279,559,942; and R = \$528,330,188.

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# Tariff K.E.D.S. (Kentucky Economic Development Surcharge)

Proceeds of the surcharge and matching Company contributions will be used to fund economic development programs and activities as determined by the Company within the 20 counties comprising Kentucky Power's certified territory.

# Applicable.

To Tariffs G.S, S.G.S. - T.O.D., M.G.S. - T.O.D., L.G.S., L.G.S. - T.O.D., I.G.S., C.S. - Coal, C.S. - I.R.P., M.W.

# Rate.

\$1.00 per month per commercial account.

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PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

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# Tariff R.E.A. (Residential Energy Assistance)

Proceeds of the charge and matching Company contributions will be used to provide financial assistance to eligible residential customers for electric bills during peak heating months (January through April).

## Applicable.

To Tariffs R.S., R.S.D., R.S.-L.M.-T.O.D., R.S.-T.O.D., R.S.-T.O.D.2

### Rate.

\$0.30 per month per residential account.

## Programs.

Participation in the programs below will be determined by the residential customer's local community action agency in accordance with guidelines approved by the Commission and the availability of funds. Customer participation is limited to one program each calendar year.

## **Home Energy Assistance in Reduced Temperatures (HEART)**

Participating low-income residential customers, whose primary source of heat is electric, are eligible to receive an electric bill credit of \$115.00 a month for bills rendered in January through April.

Participating low-income residential customers, whose primary source of heat is non-electric, are eligible to receive an electric bill credit of \$58.00 a month for bills rendered in January through April.

# Temporary Heating Assistance in Winter (THAW)

Participating residential customers, who are experiencing temporary economic hardships, are eligible to receive electric bill credits totaling no more than \$175.00 for bills rendered in January through April in any single calendar year.

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# TARIFF N.U.G. (Non-Utility Generator)

## **AVAILABILITY OF SERVICE.**

This tariff is applicable to customers with generation facilities which have a total design capacity of over 1,000 kW that intends to schedule, deliver and sell the net electric output of the facility at wholesale, and who require Commissioning Power, Startup Power and/or Station Power service from the Company.

Service to any load that is electrically isolated from the Customer's generator shall be separately metered and provided in accordance with the generally available demand-metered tariff appropriate for such service to the Customer.

This tariff is not available for standby, backup, maintenance, or supplemental service for wholesale or retail loads served by Customer's generator.

### **DEFINITIONS.**

- 1. **Commissioning Power** The electrical energy and capacity supplied to the customer prior to the commercial operation of the customer's generator, including initial construction and testing phases.
- 2. **Station Power** The electrical energy and capacity supplied to the customer to serve the auxiliary loads at the Customer's generation facilities, usually when the Customer's generator is not operating. Station Power does not include Startup Power.
- 3. **Startup Power** The electrical energy and capacity supplied to the customer following a planned or forced outage of the customer's generator for the purpose of returning the customer's generator to synchronous operation.

### COMMISSIONING POWER SERVICE.

Customers requiring Commissioning Power shall take service under Tariff T.S. or by special agreement with the Company.

The Customer shall coordinate its construction and testing with the Company to ensure that the customer's operations do not cause any undue interference with the Company's obligations to provide service to its other customers or impose a burden on the Company's system or any system interconnected with the Company.

## STATION POWER SERVICE.

Customers requiring Station Power shall take service under the generally available demand-metered tariff appropriate for the Customer's Station Power requirements.

**Station Contract Capacity** – The Customer shall contract for a definite amount of electrical capacity in kW sufficient to meet the maximum Station Power requirements that the Company is expected to supply under the generally available demand-metered tariff appropriate for the customer.

## STARTUP POWER SERVICE.

Customers requiring Startup Power have the option of contracting for such service under the terms of this tariff or under the generally available demand-metered tariff appropriate for the customer's Startup Power requirements.

**Startup Contract Capacity** – The Customer shall contract for a definite amount of electrical capacity in kW sufficient to meet the maximum Startup Power requirements that the Company is expected to supply.

**Startup Duration** – The Customer shall contract for a definite number of hours sufficient to meet the maximum period of time for which the Company is expected to supply Startup Power.

(Cont'd on Sheet No. 26-2)

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# TARIFF N.U.G. (Cont'd) (Non-Utility Generator)

## STARTUP POWER SERVICE. (cont'd)

**Startup Duration** – The Customer shall contract for a definite number of hours sufficient to meet the maximum period of time for which the Company is expected to supply Startup Power.

**Startup Frequency** – The Customer shall contract for a definite number of startup events sufficient to meet the maximum number of times per year that the Company is expected to supply Startup Power.

Other Startup Characteristics – The customer shall provide to the Company other information regarding the customer's Startup Power requirements, including, but not limited to, anticipated time-of-use and seasonal characteristics.

Notification Requirement - Whenever Startup Power is needed, the Customer shall provide advance notice to the Company.

Upon receipt of a request from the Customer for Startup Power Service under the terms of this tariff, the Company will provide the Customer a written offer containing the Notification Requirement, generation, transmission and distribution rates (including demand and energy charges) and related terms and conditions of service under which service will be provided by the Company. Such offer shall be based upon the Startup Contract Capacity, Startup Duration, Startup Frequency, and Other Startup Characteristics as specified by the customer. In no event shall the rates be less than the sum of the Tariff I.G.S. Energy Charge, the Fuel Adjustment Clause, the System Sales Clause, the Demand-Side Management Adjustment Clause, Decommissioning Rider, Purchase Power Adjustment, KY Economic Development Surcharge, Environmental Surcharge, and the Capacity Charge.

If the parties reach an agreement based upon the offer provided to the customer by the Company, a contract shall be executed that provides full disclosure of all rates, terms and conditions of service under this tariff, and any and all agreements related thereto.

# **DELAYED PAYMENT CHARGE.**

This tariff is due and payable in full on or before the due date stated on the bill. On all accounts not so paid, an additional charge of 5% of the unpaid balance will be made.

(Cont'd on Sheet No. 26-3)

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TARIFF N.U.G. (Cont'd) (Non-Utility Generator)

## MONTHLY BILLING DEMAND.

The monthly billing demand in kW shall be taken each month as the highest single 15-minute integrated peak in kW as registered by a demand meter or indicator, less the Station Contract Capacity. The monthly billing demand so established shall in no event be less than the greater of (a) the Startup Contract Capacity or b) the customer's highest previously established monthly billing demand during the past 11 months.

### **MONTHLY BILLING ENERGY.**

Interval billing energy shall be measured each 15-minute interval of the month as the total KWH registered by an energy meter or meters less the quotient of the Station Contract Capacity and four (4). In no event shall the interval billing energy be less than zero (0). Monthly billing energy shall be the sum of the interval billing energy for all intervals of the billing month.

## TRANSMISSION SERVICE.

**Transmission Provider** – The entity providing transmission service to customers in the Company's service territory. Such entity may be the Company or a regional transmission entity.

Prior to taking service under this tariff, the Customer must have a fully executed Interconnection and Operation Agreement with the Company and/or the Transmission Provider or an unexecuted agreement filed with the Federal Energy Regulatory Commission under applicable procedures.

Should the customer's use of Startup Power result in any charges for Transmission Congestion from the Transmission Provider, such charges, including any applicable taxes or assessments, shall be paid by or passed through to the customer without markup. Transmission Congestion is the condition that exists when market participants seek to dispatch in a pattern that would result in power flows that cannot be physically accommodated by the system.

# **TERM OF CONTRACT.**

Contracts under this tariff will be made for an initial period of not less than one year and shall remain in effect thereafter until either party shall give at least 6 months' written notice to the other of the intention to terminate the contract. The Company reserves the right to require initial contracts for periods greater than one year.

A new initial contract period will not be required for existing customers who change their contract requirements after the original initial period unless new or additional facilities are required.

The Company may not be required to supply capacity in excess of that contracted for except by mutual agreement. Contracts will be made in multiples of 100 kW.

## **SPECIAL TERMS AND CONDITIONS.**

This tariff is subject to the Company's Terms and Conditions of Service.

This tariff shall not obligate the Company to purchase or pay for any capacity or energy produced by the Customer's generator.

Customers desiring to provide Startup and Station Power from other generation facilities, owned by the same individual business entity that are not located on the site of the customer's generator (remote self-supply), shall take service under the terms and conditions contained within the applicable Open Access Transmission Tariff as filed with and accepted by the Federal Energy Regulatory Commission

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# TARIFF N.M.S. (Net Metering Service)

## **AVAILIBILITY OF SERVICE.**

Net Metering is available to eligible customer-generators in the Company's service territory, upon request, and on a first-come, first-served basis up to a cumulative capacity of one percent (1%) of the Company's single hour peak load in Kentucky during the previous year. If the cumulative generating capacity of net metering systems reaches 1% of the Company's single hour peak load during the previous year, upon Commission approval, the Company's obligation to offer net metering to a new customer-generator may be limited. An eligible customer-generator shall mean a retail electric customer of the Company with a generating facility that:

- (1) Generates electricity using solar energy, wind energy, biomass or biogas energy, or hydro energy;
- (2) Has a rated capacity of not greater than forty-five (45) kilowatts;
- (3) Is located on the customer's premises;
- (4) Is owned and operated by the customer;
- (5) Is connected in parallel with the Company's electric distribution system; and
- (6) Has the primary purpose of supplying all or part of the customer's own electricity requirements.

At its sole discretion, the Company may provide Net Metering to other customer-generators not meeting all the conditions listed above on a case-by-case basis.

The term "Customer" hereinafter shall refer to any customer requesting or receiving Net Metering services under this tariff.

# METERING.

Net energy metering shall be accomplished using a standard kilowatt-hour meter capable of measuring the flow of electricity in two (2) directions. If the existing electrical meter installed at the customer's facility is not capable of measuring the flow of electricity in two directions, the Company will provide the customer with the appropriate metering at no additional cost to the customer. If the customer requests any additional meter or meters or if distribution upgrades are needed to monitor the flow in each direction, such installations shall be at the customer's expense.

# **BILLING/MONTHLY CHARGES.**

Monthly charges for energy, and demand where applicable, to serve the customer's net or total load shall be determined according to the Company's standard service tariff under which the customer would otherwise be served, absent the customer's electric generating facility. Energy charges under the customer's standard tariff shall be applied to the customer's net energy for the billing period to the extent that the net energy exceeds zero. If the customer's net energy is zero or negative during the billing period, the customer shall pay only the non-energy charge portions of the standard tariff bill. If the customer's net energy is negative during a billing period, the customer shall be credited in the next billing period for the kWh difference. If time-of-day metering is used, energy flows in both directions shall be netted and accounted for at the specific time-of-use in accordance with the provisions of the customer's standard tariff and this Net Metering Service Tariff. When the customer elects to no longer take service under this Net Metering Service Tariff, any unused credit shall revert to the Company. Excess electricity credits are not transferable between customers or locations.

(Cont'd on Sheet No. 27-2)

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# TARIFF N.M.S. (Cont'd) (Net Metering Service)

## APPLICATION AND APPROVAL PROCESS.

The Customer shall submit an Application for Interconnection and Net Metering ("Application") and receive approval from the Company prior to connecting the generator facility to the Company's system.

Applications will be submitted by the Customer and reviewed and processed by the Company according to either Level 1 or Level 2 processes defined below.

The Company may reject an Application for violations of any code, standard, or regulation related to reliability or safety; however, the Company will work with the Customer to resolve those issues to the extent practicable.

Customers may contact the Company to check on the status of an Application or with questions prior to submitting an Application. Company contact information can be found on Kentucky Power Company's Application Form or on the Company's website.

## **LEVEL 1 AND LEVEL 2 DEFINITIONS.**

### LEVEL 1

A Level 1 Application shall be used if the generating facility is inverter-based and is certified by a nationally recognized testing laboratory to meet the requirements of Underwriters Laboratories Standard 1741 "Inverters, Converters, Controllers and Interconnection System Equipment for Use With Distributed Energy Resources" (UL 1741).

The Company will approve the Level 1 Application if the generating facility also meets all of the following conditions:

- (1) For interconnection to a radial distribution circuit, the aggregated generation on the circuit, including the proposed generating facility, will not exceed 15% of the Line Section's most recent annual one hour peak load. A line section is the smallest part of the primary distribution system the generating facility could remain connected to after operation of any sectionalizing devices.
- (2) If the proposed generating facility is to be interconnected on a single-phase shared secondary, the aggregate generation capacity on the shared secondary, including the proposed generating facility, will not exceed the smaller of 20 kVA or the nameplate rating of the transformer.
- (3) If the proposed generating facility is single-phase and is to be interconnected on a center tap neutral of a 240 volt service, its addition shall not create an imbalance between the two sides of the 240 volt service of more than 20% of the nameplate rating of the service transformer.
- (4) If the generating facility is to be connected to three-phase, three wire primary Company distribution lines, the generator shall appear as a phase-to-phase connection at the primary Company distribution line.
- (5) If the generating facility is to be connected to three-phase, four wire primary Company distribution lines, the generator shall appear to the primary Company distribution line as an effectively grounded source.
- (6) The interconnection will not be on an area or spot network.
- (7) The Company does not identify any violations of any applicable provisions of IEEE 1547, "Standard for Interconnecting Distributed Resources with Electric Power Systems."
- (8) No construction of facilities by the Company on its own system will be required to accommodate the generating facility.

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## TARIFF N.M.S. (Cont'd) (Net Metering Service)

#### LEVEL 1, continued

If the generating facility does not meet all of the above listed criteria, the Company, in its sole discretion, may either: 1) approve the generating facility under the Level 1 Application if the Company determines that the generating facility can be safely and reliably connected to the Company's system; or 2) deny the Application as submitted under the Level 1 Application.

The Company shall notify the customer within 20 business days whether the Application is approved or denied, based on the criteria provided in this section.

If the Application lacks complete information, the Company shall notify the customer that additional information is required, including a list of such additional information. The time between notification and receipt of required additional information will add to the time to process the Application.

When approved, the Company will indicate by signing the approval line on the Level 1 Application Form and returning it to the customer. The approval will be subject to successful completion of an initial installation inspection and witness test if required by the Company. The Company's approval section of the Application will indicate if an inspection and witness test are required. If so, the customer shall notify the Company within 3 business days of completion of the generating facility installation and schedule an inspection and witness test with the Company to occur within 10 business days of completion of the generator facility installation or as otherwise agreed to by the Company and the customer. The customer may not operate the generating facility until successful completion of such inspection and witness test, unless the Company expressly permits operational testing not to exceed two hours. If the installation fails the inspection or witness test due to noncompliance with any provision in the Application and Company approval, the customer shall not operate the generating facility until any and all noncompliance is corrected and re-inspected by the Company.

If the Application is denied, the Company will supply the customer with reasons for denial. The customer may resubmit under Level 2 if appropriate.

#### LEVEL 2

A Level 2 Application is required under any of the following:

- (1) The generating facility is not inverter based;
- (2) The generating facility uses equipment that is not certified by a nationally recognized testing laboratory to meet the requirements of UL 1741; or
- (3) The generating facility does not meet one or more of the additional conditions under Level 1.

The Company will approve the Level 2 Application if the generating facility meets the Company's technical interconnection requirements, which are based on IEEE 1547. The Company shall make its technical interconnection requirements available online and upon request.

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TARIFF N.M.S. (Cont'd) (Net Metering Service)

#### LEVEL 2, continued

The Company will process the Level 2 Application within 30 business days of receipt of a complete Application. Within that time the Company will respond in one of the following ways:

- (1) The Application is approved and the Company will provide the customer with an Interconnection Agreement to sign.
- (2) If construction or other changes to the Company's distribution system are required, the cost will be the responsibility of the customer. The Company will give notice to the customer and offer to meet to discuss estimated costs and construction timeframe. Should the customer agree to pay for costs and proceed, the Company will provide the customer with an Interconnection Agreement to sign within a reasonable time.
- (3) The Application is denied. The Company will supply the customer with reasons for denial and offer to meet to discuss possible changes that would result in Company approval. Customer may resubmit Application with changes.

If the Application lacks complete information, the Company shall notify the customer that additional information is required, including a list of such additional information. The time between notification and receipt of required additional information will add to the 30-business-day target to process the Application.

The Interconnection Agreement will contain all the terms and conditions for interconnection consistent with those specified in this tariff, inspection and witness test requirements, description of and cost of construction or other changes to the Company's distribution system required to accommodate the generating facility, and detailed documentation of the generating facilities which may include single line diagrams, relay settings, and a description of operation.

The customer may not operate the generating facility until an Interconnection Agreement is signed by the customer and Company and all necessary conditions stipulated in the agreement are met.

#### APPLICATION, INSPECTION AND PROCESSING FEES.

No application fee or other review, study, or inspection or witness test fees will be charged by the company for Level I application.

The Company will require each customer to submit with each Level 2 Application a non-refundable application, inspection and processing fee of \$50. In the event the Company determines an impact study is necessary with respect to a Level 2 Application, the customer shall be responsible for any reasonable costs up to \$1,000 for the initial impact study. The Company shall provide documentation of the actual cost of the impact study. Any other studies requested by the customer shall be at the customer's sole expense.

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TARIFF N.M.S. (Cont'd) (Net Metering Service)

### TERMS AND CONDITIONS FOR INTERCONNECTION.

To interconnect to the Company's distribution system, the customer's generating facility shall comply with the following terms and conditions:

- (1) The Company shall provide the customer net metering services, without charge for standard metering equipment, through a standard kilowatt-hour metering system capable of measuring the flow of electricity in two (2) directions. If the customer requests any additional meter or meters or distribution upgrades are needed to monitor the flow in each direction, such installations shall be at the customer's expense.
- (2) The customer shall install, operate, and maintain, at customer's sole cost and expense, any control, protective, or other equipment on the customer's system required by the Company's technical interconnection requirements based on IEEE 1547, the NEC, accredited testing laboratories such as Underwriters Laboratories, and the manufacturer's suggested practices for safe, efficient and reliable operation of the generating facility in parallel with Company's electric system. Customer shall bear full responsibility for the installation, maintenance and safe operation of the generating facility. Upon reasonable request from the Company, the customer shall demonstrate generating facility compliance.
- (3) The generating facility shall comply with, and the customer shall represent and warrant its compliance with: (a) any applicable safety and power quality standards established by IEEE and accredited testing laboratories such as Underwriters Laboratories; (b) the NEC as may be revised from time to time; (c) Company's rules, regulations, and Company's Terms and Conditions of Service as contained in Company's Retail Electric Tariff as may be revised from time to time with the approval of the Kentucky Public Service Commission (Commission); (d) the rules and regulations of the Commission, as such rules and regulations may be revised from time to time by the Commission; and (e) all other applicable local, state, and federal codes and laws, as the same may be in effect from time to time. Where required by law, customer shall pass an electrical inspection of the generating facility by a local authority having jurisdiction over the installation.
- (4) Any changes or additions to the Company's system required to accommodate the generating facility shall be considered excess facilities. Customer shall agree to pay Company for actual costs incurred for all such excess facilities prior to construction.
- (5) Customer shall operate the generating facility in such a manner as not to cause undue fluctuations in voltage, intermittent load characteristics or otherwise interfere with the operation of Company's electric system. At all times when the generating facility is being operated in parallel with Company's electric system, customer shall so operate the generating facility in such a manner that no adverse impacts will be produced thereby to the service quality rendered by Company to any of its other customers or to any electric system interconnected with Company's electric system. Customer shall agree that the interconnection and operation of the generating facility is secondary to, and shall not interfere with, Company's ability to meet its primary responsibility of furnishing reasonably adequate service to its customers.

(Cont'd on Sheet No. 27-6)

DATE OF ISSUE: April 9, 2021

DATE EFFECTIVE: Service Rendered On And After January 14, 2021

ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

By Authority of Orders of the Public Service Commission

In Case No. 2020-00174 dated January 13, 2021; January 15, 2021; February 22, 2021, and March 1

KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

1/14/2021

#### TERMS AND CONDITIONS FOR INTERCONNECTION, continued

- (6) Customer shall be responsible for protecting, at customer's sole cost and expense, the generating facility from any condition or disturbance on Company's electric system, including, but not limited to, voltage sags or swells, system faults, outages, loss of a single phase of supply, equipment failures, and lightning or switching surges, except that the Company shall be responsible for repair of damage caused to the generating facility resulting solely from the negligence or willful misconduct on the part of the Company.
- (7) After initial installation, Company shall have the right to inspect and/or witness commissioning tests, as specified in the Level 1 or Level 2 Application and approval process. Following the initial testing and inspection of the generating facility and upon reasonable advance notice to customer, Company shall have access at reasonable times to the generating facility to perform reasonable onsite inspections to verify that the installation, maintenance, and operation of the generating facility comply with the requirements of this tariff.
- (8) For Level 1 and 2 generating facilities, where required by the Company, an eligible customer shall furnish and install on customer's side of the point of common coupling a safety disconnect switch which shall be capable of fully disconnecting the customer's energy generating equipment from Company's electric service under the full rated conditions of the customer's generating facility. The external disconnect switch (EDS) shall be located adjacent to Company's meters or the location of the EDS shall be noted by placing a sticker on the meter, and shall be of the visible break type in a metal enclosure which can be secured by a padlock. If the EDS is not located directly adjacent to the meter, the customer shall be responsible for ensuring that the location of the EDS is properly and legibly identified for so long as the generating facility is operational. The disconnect switch shall be accessible to Company personnel at all times. The Company may waive the requirement for an EDS for a generating facility at its sole discretion, and on a case-by-case basis, upon review of the generating facility operating parameters and if permitted under the Company's safety and operating protocols.

The Company shall establish a training protocol for line workers on the location and use of the EDS, and shall require that the EDS be used when appropriate, and that the switch be turned back on once the disconnection is no longer necessary.

(9) Company shall have the right and authority at Company's sole discretion to isolate the generating facility or require the customer to discontinue operation of the generating facility if Company believes that: (a) continued interconnection and parallel operation of the generating facility with Company's electric system creates or contributes (or may create or contribute) to a system emergency on either Company's or customer's electric system; (b) the generating facility is not in compliance with the requirements of this tariff, and the noncompliance adversely affects the safety, reliability, or power quality of Company's electric system; or (c) the generating facility interferes with the operation of Company's electric system. In non-emergency situations, Company shall give customer notice of noncompliance including a description of the specific noncompliance condition and allow customer a reasonable time to cure the noncompliance prior to isolating the generating facilities. In emergency situations, when the Company is unable to immediately isolate or cause the customer to isolate only the generating facility, the Company may isolate the customer's entire facility.

(Cont'd on Sheet No. 27-7)

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ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

By Authority of Orders of the Public Service Commission

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KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

1/14/2021

#### TERMS AND CONDITIONS FOR INTERCONNECTION, continued

- (10) Customer shall agree that, without the prior written permission from Company, no changes shall be made to the generating facility as initially approved. Increases in generating facility capacity will require a new "Application for Interconnection and Net Metering" which will be evaluated on the same basis as any other new application. Repair and replacement of existing generating facility components with like components that meet UL 1741 certification requirements for Level 1 facilities and not resulting in increases in generating facility capacity is allowed without approval.
- (11) To the extent permitted by law, the customer shall protect, indemnify, and hold harmless the Company and its directors, officers, employees, agents, representatives and contractors against and from all loss, claims, actions or suits, including costs and attorneys fees, for or on account of any injury or death of persons or damage to property caused by the customer or the customer's employees, agents, representatives and contractors in tampering with, repairing, maintaining, or operating the customer's generating facility or any related equipment or any facilities owned by the Company except where such injury, death or damage was caused or contributed to by the fault or negligence of the Company or its employees, agents, representatives, or contractors.
  - The liability of the Company to the customer for injury to person and property shall be governed by the tariff(s) for the class of service under which the customer is taking service.
- (12) The customer shall maintain general liability insurance coverage (through a standard homeowner's, commercial, or other policy) for both Level 1 and Level 2 generating facilities. Customer shall, upon request, provide Company with proof of such insurance at the time that application is made for net metering.
- (13) By entering into an Interconnection Agreement, or by inspection, if any, or by non-rejection, or by approval, or in any other way, Company does not give any warranty, express or implied, as to the adequacy, safety, compliance with applicable codes or requirements, or as to any other characteristics, of the generating facility equipment, controls, and protective relays and equipment.
- (14) A customer's generating facility is transferable to other persons or service locations only after notification to the Company has been made and verification that the installation is in compliance with this tariff. Upon written notification that an approved generating facility is being transferred to another person, customer, or location, the Company will verify that the installation is in compliance with this tariff and provide written notification to the customer(s) within 20 business days. If the installation is no longer in compliance with this tariff, the Company will notify the customer in writing and list what must be done to place the facility in compliance.
- (15) The customer shall retain any and all Renewable Energy Credits (RECs) that may be generated by their generating facility.

(Cont'd on Sheet No. 27-8)

DATE OF ISSUE: April 9, 2021

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ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

By Authority of Orders of the Public Service Commission

In Case No. 2020-00174 dated January 13, 2021; January 15, 2021; February 22, 2021, and March 17

**KENTUCKY**PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

1/1/1/2021

#### TERM OF CONTRACT.

Any contract required under this tariff shall become effective when executed by both parties and shall continue in effect until terminated. The contract may be terminated as follows: (a) Customer may terminate the contract at any time by giving the Company at least sixty (60) days' written notice; (b) Company may terminate upon failure by the customer to continue ongoing operation of the generating facility; (c) either party may terminate by giving the other party at least thirty (30) days prior written notice that the other party is in default of any of the terms and conditions of the contract or the rules or any rate schedule, tariff, regulation, contract, or policy of the Company, so long as the notice specifies the basis for termination and there is opportunity to cure the default; (d) the Company may terminate by giving the customer at least thirty (30) days notice in the event that there is a material change in an applicable law, regulation or statute affecting this Agreement or which renders the system out of compliance with the new law or statute.

#### **SPECIAL TERMS AND CONDITIONS.**

This tariff is subject to the Company's Terms and Conditions of Service and all provisions of the standard service tariff under which the customer takes service. This tariff is also subject to the applicable provisions of the Company's Technical Requirements for Interconnection.

(Cont'd on Sheet No. 27-9)

DATE OF ISSUE: April 9, 2021

DATE EFFECTIVE: Service Rendered On And After January 14, 2021

ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

By Authority of Orders of the Public Service Commission

In Case No. 2020-00174 dated January 13, 2021; January 15, 2021; February 22, 2021, and March 17

KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

1/14/2021

### Application For Interconnection And Net Metering – Level 1

Use this Application only for: 1.) a generating facility that is inverter based and certified by a nationally recognized testing laboratory to meet the requirements of UL 1741, 2.) less than or equal to 45 kW generation capacity and 3.) connecting to Kentucky Power distribution system.

Submit this Application to: D.G. Coordinator (Contact person listed is subject to change. Please visit our American Electric Power website for up-to-date information 1 Riverside Plaza http://www.kentuckypower.com) Columbus, Ohio 43215-2373 614-716-4020 Office / 614-716-1414 Fax dgcoordinator@aep.com **Applicant** Name: Mailing Address: City: State: Zip: Phone: ( ) Phone: ( ) E-mail address: Service Location Name: Street Address: City: State: Zip: **Electric Service Account Number** Provide names and contact information for other contractors, installers, or engineering firms involved in the design and installation of the generating facilities: **Alternate Contacts** Name Company Telephone/Email

(Cont'd on Sheet No. 27-10)

DATE OF ISSUE: April 9, 2021

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ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

By Authority of Orders of the Public Service Commission

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**KENTUCKY** 

PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and March 17, 2021 EFFECTIVE

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## APPLICATION FOR INTERCONNECTION AND NET METERING, **LEVEL 1 – CONTINUED**

### **Equipment Qualifications**

( ) Sola	ar () Wind	Model:	( ) Hydro	( ) Biogas	( ) Biomass
		Voltage	Rating:		
ırce (i.e., solar	panels, wind				
Yes () No	0	If Yes, B	attery Power R	ating:	
ving that inver	rter is certified by a nat	ionally re	cognized testin	g laboratory to meet	the T
ch showing loc	ations of Kentucky Pow	ver Comp	any meter, enei	rgy source, accessible	e disconnect
-			_	= -	-
	(Cant'd an Sh	oot No. 3	7 11\		
	urce (i.e., solar Yes () N wing that invel ch showing loo	rce (i.e., solar panels, wind  Yes () No  wing that inverter is certified by a nate ch showing locations of Kentucky Powers showing all electrical equipment from the entry inverters, energy source, we have the entry inverters.	Model: Voltage  urce (i.e., solar panels, wind  Yes () No If Yes, B  wing that inverter is certified by a nationally re  ch showing locations of Kentucky Power Compositions all electrical equipment from the meters of the solutions of the soluti	Model:  Voltage Rating:  Irce (i.e., solar panels, wind  Yes () No If Yes, Battery Power Radius that inverter is certified by a nationally recognized testing that inverter is certified by a nationally recognized testing the showing locations of Kentucky Power Company meter, energy showing all electrical equipment from the metering location to insformers, inverters, energy source, wire size, equipment rating to the state of the sta	Model:  Voltage Rating:  Irce (i.e., solar panels, wind  Yes () No If Yes, Battery Power Rating:  wing that inverter is certified by a nationally recognized testing laboratory to meet on showing locations of Kentucky Power Company meter, energy source, accessible showing all electrical equipment from the metering location to the energy source in stormers, inverters, energy source, wire size, equipment ratings, and transformer

DATE OF ISSUE: April 9, 2021

DATE EFFECTIVE: Service Rendered On And After January 14, 2021

ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

By Authority of Orders of the Public Service Commission

In Case No. 2020-00174 dated January 13, 2021; January 15, 2021; February 22, 2021, and March 17, 2021

KENTUCKY PUBLIC SERVICE COMMISSION

> Linda C. Bridwell **Executive Director**

1/14/2021

#### **TERMS AND CONDITIONS FOR LEVEL 1:**

- 1 Kentucky Power Company (Company) shall provide customer net metering services, without charge for standard metering equipment, through a standard kilowatt-hour metering system capable of measuring the flow of electricity in two (2) directions. If the customer requests any additional meter or meters or distribution upgrades are needed to monitor the flow in each direction, such installations shall be at the customer's expense.
- Customer shall install, operate, and maintain, at customer's sole cost and expense, any control, protective, or other equipment on the customer's system required by the Company's technical interconnection requirements based on IEEE 1547, the NEC, accredited testing laboratories such as Underwriters Laboratories, and the manufacturer's suggested practices for safe, efficient, and reliable operation of the generating facility in parallel with Company's electric system. Customer shall bear full responsibility for the installation, maintenance, and safe operation of the generating facility. Upon reasonable request from the Company, customer shall demonstrate generating facility compliance.
- The generating facility shall comply with, and the customer shall represent and warrant its compliance with: (a) any applicable safety and power quality standards established by the Institute of Electrical and Electronics Engineers (IEEE) and accredited testing laboratories such as Underwriters Laboratories (UL); (b) the National Electrical Code (NEC) as may be revised from time to time; (c) Company's rules, regulations, and Company's Terms and Conditions of Service as contained in Company's Retail Electric Tariff as may be revised from time to time with the approval of the Kentucky Public Service Commission (Commission); (d) the rules and regulations of the Commission, as such rules and regulations may be revised from time to time by the Commission; and (e) all other applicable local, state, and federal codes and laws, as the same may be in effect from time to time. Where required by law, customer shall pass an electrical inspection of the generating facility by a local authority having jurisdiction over the installation.
- 4 Any changes or additions to the Company's system required to accommodate the generating facility shall be considered excess facilities. Customer shall agree to pay Company for actual costs incurred for all such excess facilities prior to construction.
- Customer shall operate the generating facility in such a manner as not to cause undue fluctuations in voltage, intermittent load characteristics, or otherwise interfere with the operation of Company's electric system. At all times when the generating facility is being operated in parallel with Company's electric system, customer shall so operate the generating facility in such a manner that no adverse impacts will be produced thereby to the service quality rendered by Company to any of its other customers or to any electric system interconnected with Company's electric system. Customer shall agree that the interconnection and operation of the generating facility is secondary to, and shall not interfere with, Company's ability to meet its primary responsibility of furnishing reasonably adequate service to its customers.
- Customer shall be responsible for protecting, at customer's sole cost and expense, the generating facility from any condition or disturbance on Company's electric system, including, but not limited to, voltage sags or swells, system faults, outages, loss of a single phase of supply, equipment failures, and lightning or switching surges, except that the Company shall be responsible for repair of damage caused to the generating facility resulting solely from the negligence or willful misconduct on the part of the Company.

(Cont'd on Sheet No. 27-12)

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ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

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In Case No. 2020-00174 dated January 13, 2021; January 15, 2021; February 22, 2021, and March 17

KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

1/1/1/2021

### CANCELLING P.S.C. KY. NO. 11 ORIGINAL SHEET NO. 27-12 T

# TARIFF N.M.S. (Net Metering Service)

#### TERMS AND CONDITIONS FOR LEVEL 1, continued

- After initial installation, Company shall have the right to inspect and/or witness commissioning tests, as specified in the Level 1 or Level 2 Application and approval process. Following the initial testing and inspection of the generating facility and upon reasonable advance notice to customer, Company shall have access at reasonable times to the generating facility to perform reasonable onsite inspections to verify that the installation, maintenance and operation of the generating facility comply with the requirements of this tariff.
- For Level 1 generating facilities, where required by the Company, an eligible customer shall furnish and install on customer's side of the point of common coupling a safety disconnect switch which shall be capable of fully disconnecting the customer's energy generating equipment from Company's electric service under the full rated conditions of the customer's generating facility. The external disconnect switch (EDS) shall be located adjacent to Company's meters or the location of the EDS shall be noted by placing a sticker on the meter, and shall be of the visible break type in a metal enclosure which can be secured by a padlock. If the EDS is not located directly adjacent to the meter, the customer shall be responsible for ensuring the location of the EDS is properly and legibly identified for so long as the generating facility is operational. The disconnect switch shall be accessible to Company personnel at all times. The Company may waive the requirement for an EDS for a generating facility at its sole discretion, and on a case-by-case basis, upon review of the generating facility operating parameters and if permitted under the Company's safety and operating protocols.

The Company shall establish a training protocol for line workers on the location and use of the EDS, and shall require that the EDS be used when appropriate, and that the switch be turned back on once the disconnection is no longer necessary.

- Company shall have the right and authority at Company's sole discretion to isolate the generating facility or require the customer to discontinue operation of the generating facility if Company believes that: (a) continued interconnection and parallel operation of the generating facility with Company's electric system creates or contributes (or may create or contribute) to a system emergency on either Company's or customer's electric system; (b) the generating facility is not in compliance with the requirements of this tariff, and the noncompliance adversely affects the safety, reliability or power quality of Company's electric system; or (c) the generating facility interferes with the operation of Company's electric system. In non-emergency situations, Company shall give customer notice of noncompliance including a description of the specific noncompliance condition and allow customer a reasonable time to cure the noncompliance prior to isolating the generating facilities. In emergency situations, when the Company is unable to immediately isolate or cause the customer to isolate only the generating facility, the Company may isolate the customer's entire facility.
- Customer shall agree that, without the prior written permission from Company, no changes shall be made to the generating facility as initially approved. Increases in generating facility capacity will require a new "Application for Interconnection and Net Metering" which will be evaluated on the same basis as any other new application. Repair and replacement of existing generating facility components with like components that meet UL 1741 certification requirements for Level 1 facilities and not resulting in increases in generating facility capacity is allowed without approval.

(Cont'd on Sheet No. 27-13)

DATE OF ISSUE: April 9, 2021

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ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

By Authority of Orders of the Public Service Commission

In Case No. 2020-00174 dated January 13, 2021; January 15, 2021; February 22, 2021, and March 1

KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

1/14/2021

#### TERMS AND CONDITIONS FOR LEVEL 1, continued

To the extent permitted by law, the customer shall protect, indemnify, and hold harmless the Company and its directors, officers, employees, agents, representatives and contractors against and from all loss, claims, actions or suits, including costs and attorneys fees, for or on account of any injury or death of persons or damage to property caused by the customer or the customer's employees, agents, representatives and contractors in tampering with, repairing, maintaining or operating the customer's generating facility or any related equipment or any facilities owned by the Company except where such injury, death or damage was caused or contributed to by the fault or negligence of the Company or its employees, agents, representatives, or contractors.

The liability of the Company to the customer for injury to person and property shall be governed by the tariff(s) for the class of service under which the customer is taking service.

- The Customer shall maintain general liability insurance coverage (through a standard homeowner's, commercial, or other policy) for Level 1 generating facilities. Customer shall, upon request, provide Company with proof of such insurance at the time that application is made for net metering.
- By entering into an Interconnection Agreement, or by inspection, if any, or by non-rejection, or by approval, or in any other way, Company does not give any warranty, express or implied, as to the adequacy, safety, compliance with applicable codes or requirements, or as to any other characteristics, of the generating facility equipment, controls, and protective relays and equipment.
- Customer's generating facility is transferable to other persons or service locations only after notification to the Company has been made and verification that the installation is in compliance with this tariff. Upon written notification that an approved generating facility is being transferred to another person, customer, or location, the Company will verify that the installation is in compliance with this tariff and provide written notification to the Customer(s) within 20 business days. If the installation is no longer in compliance with this tariff, the Company will notify the customer in writing and list what must be done to place the facility in compliance.
- 15 The customer shall retain any and all Renewable Energy Credits (RECs) that may be generated by their generating facility.

(Cont'd on Sheet No. 27-14)

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ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

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In Case No. 2020-00174 dated January 13, 2021; January 15, 2021; February 22, 2021, and March 1

KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

1/1/1/2021

#### TERMS AND CONDITIONS FOR LEVEL 1, continued

#### **Effective Term and Termination Rights**

**Customer Signature:** 

This Agreement becomes effective when executed by both parties and shall continue in effect until terminated. This Agreement may be terminated as follows: (a) Customer may terminate this Agreement at any time by giving the Company at least sixty (60) days' written notice; (b) Company may terminate upon failure by the Customer to continue ongoing operation of the generating facility; (c) either party may terminate by giving the other party at least thirty (30) days prior written notice that the other party is in default of any of the terms and conditions of the Agreement or the Rules or any rate schedule, tariff, regulation, contract, or policy of the Company, so long as the notice specifies the basis for termination and there is opportunity to cure the default; (d) the Company may terminate by giving the Customer at least thirty (30) days notice in the event that there is a material change in an applicable law, regulation or statute affecting this Agreement or which renders the system out of compliance with the new law or statute. I hereby certify that, to the best of my knowledge, all of the information provided in this Application is true, and I agree to abide by all the Terms and Conditions included in this Application for Interconnection and Net Metering and Company's Net Metering Tariff.

Date:

· · · · · · · · · · · · · · · · · · ·
COMPANY APPROVAL SECTION
When signed below by a Company representative, Application for Interconnection and Net Metering is approved subject to the provisions contained in this Application and as indicated below.
Company inspection and witness test: ( ) Required ( ) Waived
f Company inspection and witness test is required, Customer shall notify the Company within three (3) business days of completion of the generating facility installation and schedule an inspection and witness test with the Company to occur within ten (10) business days of completion of the generating facility installation or as otherwise agreed to by the Company and the Customer. Unless indicated below, the Customer may not operate the generating facility until such inspection and witness test is successfully completed. Additionally, the Customer may not operate the generating facility until all other terms and conditions in the Application have been met.  Call:
Pre-Inspection operational testing not to exceed two (2) hours: ( ) Allowed ( ) Not Allowed
f Company inspection and witness test is waived, operation of the generating facility may begin when installation is complete, and all other terms and conditions in the Application have been met.
Additions, Changes, or Clarifications to Application Information: ( ) None ( ) As specified here:
Approved by: Date:
Printed Name:Title:
(Cont'd on Sheet No. 27-15)

DATE OF ISSUE: April 9, 2021

DATE EFFECTIVE: Service Rendered On And After January 14, 2021

ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

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KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

1/14/2021

## Application for Interconnection and Net Metering – Level 2

Use this Application form for connecting to the Kentucky Power distribution system and: 1.) the generating facility is not inverter based or is not certified by a nationally recognized testing laboratory to meet the requirements of UL 1741 or 2.) does not meet any of the additional conditions under a Level 1 Application (inverter based and less than or equal to 45kW generation).

Submit this Application (along with the application fee of \$100) to: D.G. Coordinator (Contact person listed is subject to change. Please visit our American Electric Power website for up-to-date information 1 Riverside Plaza http://www.kentuckypower.com) Columbus, Ohio 43215-2373 614-716-4020 Office / 614-716-1414 Fax dgcoordinator@aep.com **Applicant** Name: Mailing Address: City: State: Zip: Phone: ( ) Phone: ( ) E-mail address: Service Location Name: Street Address: City: State: Zip: **Electric Service Account Number** Provide names and contact information for other contractors, installers, or engineering firms involved in the design and installation of the generating facilities: **Alternate Contacts** Name Telephone/Email Company

(Cont'd on Sheet No. 27-16)

DATE OF ISSUE: April 9, 2021

DATE EFFECTIVE: Service Rendered On And After January 14, 2021

ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

By Authority of Orders of the Public Service Commission

In Case No. 2020-00174 dated January 13, 2021; January 15, 2021; February 22, 2021, and March 1

**KENTUCKY** 

PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

2021, and March 17, 2021 EFFECTIVE

1/14/2021

## APPPLICATION FOR INTERCONNECTION AND NET METERING, **LEVEL 2 - CONTINUED**

### **Equipment Qualifications**

Total Generating Capacity (kW)	of the Generatin	g Facility:			
Type of Generator:	( ) Inverter-l	Based	( ) Synchronous	( ) Indu	ıction
Energy Source:	( ) Solar	( ) Wind	( ) Hydro	( ) Biogas	( ) Biomass
Attach documentation showing of UL 1741.	that inverter is c	ertified by a nation	ally recognizes testing	laboratory to meet the	e requirements
Attach site drawing or sketch sł switch and inverter.	nowing locations	of Kentucky Power	Company meter, ener	gy source, accessible c	disconnect
Attach single line drawing show fuses, breakers, panels, transfo	=		=	= -	_
Expected Start-up Date:					

(Cont'd on Sheet No. 27-17)

DATE OF ISSUE: April 9, 2021

DATE EFFECTIVE: Service Rendered On And After January 14, 2021

ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

By Authority of Orders of the Public Service Commission

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KENTUCKY PUBLIC SERVICE COMMISSION

> Linda C. Bridwell **Executive Director**

1/14/2021

### CANCELLING P.S.C. KY. NO. 11 ORIGINAL SHEET NO. 27-17 T

### TARIFF N.M.S. (Net Metering Service)

## **Interconnection Agreement – Level 2**

betweer	erconnection Agreement (Agreement) is made and entered into this day of, 20, by and note that the second secon
Witness	eth:
	<b>Whereas,</b> Customer is installing, or has installed, generating equipment, controls, and protective relays and equipment (Generating Facility) used to interconnect and operate in parallel with Company's electric system, which Generating Facility is more fully described in Exhibit A, attached hereto and incorporated herein by this Agreement, and as follows:
	Location:
	Generator Size and Type:
	Now, Therefore, in consideration thereof, Customer and Company agree as follows:
	Company agrees to allow Customer to interconnect and operate the generating Facility in parallel with the Company's electric system and Customer agrees to abide by Company's Net Metering Tariff and all Terms and Conditions listed in this Agreement including any additional conditions listed in Exhibit A.
	(Cont'd on Sheet No. 27-18)

DATE OF ISSUE: April 9, 2021

DATE EFFECTIVE: Service Rendered On And After January 14, 2021

ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

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**KENTUCKY** PUBLIC SERVICE COMMISSION

> Linda C. Bridwell **Executive Director**

1/14/2021

#### **TERMS AND CONDITIONS FOR LEVEL 2:**

To interconnect to the Kentucky Power Company (Company) distribution system, the customer's generating facility shall comply with the following terms and conditions:

- 1. Company shall provide customer net metering services, without charge for standard metering equipment, through a standard kilowatt-hour metering system capable of measuring the flow of electricity in two (2) directions. If the customer requests any additional meter/meters or distribution upgrades are needed to monitor the flow in each direction, such installations shall be at the customer's expense.
- 2. Customer shall install, operate, and maintain, at customer's sole cost and expense, any control, protective, or other equipment on the customer's system required by the Company's technical interconnection requirements based on IEEE 1547, the NEC, accredited testing laboratories such as Underwriters Laboratories, and the manufacturer's suggested practices for safe, efficient, and reliable operation of the generating facility in parallel with Company's electric system. Customer shall bear full responsibility for the installation, maintenance, and safe operation of the generating facility. Upon reasonable request from the Company, customer shall demonstrate generating facility compliance.
- 3. The generating facility shall comply with, and the customer shall represent and warrant its compliance with: (a) any applicable safety and power quality standards established by the Institute of Electrical and Electronics Engineers (IEEE) and accredited testing laboratories such as Underwriters Laboratories (UL); (b) the National Electrical Code (NEC) as may be revised from time to time; (c) Company's rules, regulations, and Company's Terms and Conditions of Service as contained in Company's Retail Electric Tariff as may be revised from time to time with the approval of the Kentucky Public Service Commission (Commission); (d) the rules and regulations of the Commission, as such rules and regulations may be revised from time to time by the Commission; and (e) all other applicable local, state, and federal codes and laws, as the same may be in effect from time to time. Where required by law, customer shall pass an electrical inspection of the generating facility by a local authority having jurisdiction over the installation.
- 4. Any changes or additions to the Company's system required to accommodate the generating facility shall be considered excess facilities. Customer shall agree to pay Company for actual costs incurred for all such excess facilities prior to construction.
- 5. Customer shall operate the generating facility in such a manner as not to cause undue fluctuations in voltage, intermittent load characteristics, or otherwise interfere with the operation of Company's electric system. At all times when the generating facility is being operated in parallel with Company's electric system, customer shall so operate the generating facility in such a manner that no adverse impacts will be produced thereby to the service quality rendered by Company to any of its other customers or to any electric system interconnected with Company's electric system. Customer shall agree that the interconnection and operation of the generating facility is secondary to, and shall not interfere with, Company's ability to meet its primary responsibility of furnishing reasonably adequate service to its customers.

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TITLE: Vice President, Regulatory & Finance

By Authority of Orders of the Public Service Commission

In Case No. 2020-00174 dated January 13, 2021; January 15, 2021; February 22, 2021, and March 1

KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

1/14/2021

(TITLE) TARIFF N.M.S. (Net Metering Service)

#### TERMS AND CONDITIONS FOR LEVEL 2, continued

- 6. Customer shall be responsible for protecting, at Customer's sole cost and expense, the generating facility from any condition or disturbance on Company's electric system, including, but not limited to, voltage sags or swells, system faults, outages, loss of a single phase of supply, equipment failures, and lightning or switching surges, except that the Company shall be responsible for repair of damage caused to the generating facility resulting solely from the negligence or willful misconduct on the part of the Company.
- 7. After initial installation, Company shall have the right to inspect and/or witness commissioning tests, as specified in the Level 1 or Level 2 Application and approval process. Following the initial testing and inspection of the generating facility and upon reasonable advance notice to customer, Company shall have access at reasonable times to the generating facility to perform reasonable on-site inspections to verify that the installation, maintenance and operation of the generating facility comply with the requirements of this tariff.
- 8. For Level 2 generating facilities, where required by the Company, an eligible customer shall furnish and install on customer's side of the point of common coupling a safety disconnect switch which shall be capable of fully disconnecting the customer's energy generating equipment from Company's electric service under the full rated conditions of the customer's generating facility. The external disconnect switch (EDS) shall be located adjacent to Company's meters or the location of the EDS shall be noted by placing a sticker on the meter, and shall be of the visible break type in a metal enclosure which can be secured by a padlock. If the EDS is not located directly adjacent to the meter, the customer shall be responsible for ensuring the location of the EDS is properly and legibly identified for so long as the generating facility is operational. The disconnect switch shall be accessible to Company personnel at all times. The Company may waive the requirement for an EDS for a generating facility at its sole discretion, and on a case-by-case basis, upon review of the generating facility operating parameters and if permitted under the Company's safety and operating protocols.

The Company shall establish a training protocol for line workers on the location and use of the EDS, and shall require that the EDS be used when appropriate, and that the switch be turned back on once the disconnection is no longer necessary.

9. Company shall have the right and authority at Company's sole discretion to isolate the generating facility or require the customer to discontinue operation of the generating facility if Company believes that: (a) continued interconnection and parallel operation of the generating facility with Company's electric system creates or contributes (or may create or contribute) to a system emergency on either Company's or customer's electric system; (b) the generating facility is not in compliance with the requirements of this tariff, and the noncompliance adversely affects the safety, reliability or power quality of Company's electric system; or (c) the generating facility interferes with the operation of Company's electric system. In non-emergency situations, Company shall give customer notice of noncompliance including a description of the specific noncompliance condition and allow customer a reasonable time to cure the noncompliance prior to isolating the generating facilities. In emergency situations, when the Company is unable to immediately isolate or cause the customer to isolate only the generating facility, the Company may isolate the customer's entire facility.

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PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

2021, and Warch 17, 2021

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(TITLE) TARIFF N.M.S. (Net Metering Service)

#### TERMS AND CONDITIONS FOR LEVEL 2, continued

- 10. Customer shall agree that, without the prior written permission from Company, no changes shall be made to the generating facility as initially approved. Increases in generating facility capacity will require a new "Application for Interconnection and Net Metering" which will be evaluated on the same basis as any other new application. Repair and replacement of existing generating facility components with like components not resulting in increases in generating facility capacity is allowed without approval.
- 11. To the extent permitted by law, the customer shall protect, indemnify, and hold harmless the Company and its directors, officers, employees, agents, representatives and contractors against and from all loss, claims, actions or suits, including costs and attorneys fees, for or on account of any injury or death of persons or damage to property caused by the customer or the customer's employees, agents, representatives and contractors in tampering with, repairing, maintaining or operating the customer's generating facility or any related equipment or any facilities owned by the Company except where such injury, death or damage was caused or contributed to by the fault or negligence of the Company or its employees, agents, representatives, or contractors.

The liability of the Company to the customer for injury to person and property shall be governed by the tariff(s) for the class of service under which the customer is taking service.

- 12. The customer shall maintain general liability insurance coverage (through a standard homeowner's, commercial, or other policy). Customer shall provide Company with proof of such insurance at the time that application is made for net metering.
- 13. By entering into an Interconnection Agreement, or by inspection, if any, or by non-rejection, or by approval, or in any other way, Company does not give any warranty, express or implied, as to the adequacy, safety, compliance with applicable codes or requirements, or as to any other characteristics, of the generating facility equipment, controls, and protective relays and equipment.
- 14. Customer's generating facility is transferable to other persons or service locations only after notification to the Company has been made and verification that the installation is in compliance with this tariff. Upon written notification that an approved generating facility is being transferred to another person, customer, or location, the Company will verify that the installation is in compliance with this tariff and provide written notification to the customer(s) within 20 business days. If the installation is no longer in compliance with this tariff, the Company will notify the customer in writing and list what must be done to place the facility in compliance.
- 15. The customer shall retain any and all Renewable Energy Credits (RECs) that may be generated by their generating facility.

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PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

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#### **TERMS AND CONDITIONS FOR LEVEL 2, continued**

#### **Effective Term and Termination Rights**

This Agreement becomes effective when executed by both parties and shall continue in effect until terminated. This Agreement may be terminated as follows: (a) Customer may terminate this Agreement at any time by giving the Company at least sixty (60) days' written notice; (b) Company may terminate upon failure by the Customer to continue ongoing operation of the generating facility; (c) either party may terminate by giving the other party at least thirty (30) days prior written notice that the other party is in default of any of the terms and conditions of the Agreement or the Rules or any rate schedule, tariff, regulation, contract, or policy of the Company, so long as the notice specifies the basis for termination and there is opportunity to cure the default; (d) the Company may terminate by giving the Customer at least thirty (30) days notice in the event that there is a material change in an applicable law, regulation or statute affecting this Agreement or which renders the system out of compliance with the new law or statute.

IN WITNESS WHEREOF, the Parties have executed this Agreement, effective as of the date first above written.

Customer Signature:	Date:	
Printed Name:	Title:	
Company Signature:	Date:	
Printed Name:	Title:	

(Cont'd on Sheet No. 27-22)

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Linda C. Bridwell Executive Director

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## Interconnection Agreement – Level 2 Exhibit A

- Exhibit A will contain additional detailed information about the Generating Facility such as a single line diagram, relay settings, and a description of operation.
- When construction of the Company's facilities is required, Exhibit A will also contain a description and associated cost.
- Exhibit A will also specify requirements for a Company inspection and witness test and when limited operation for testing or full operation may begin.

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**KENTUCKY**PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

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#### **AVAILABILITY OF SERVICE.**

Net Metering is available to eligible customer-generators in the Company's service territory, upon request, and on a first-come, first-served basis up to a cumulative capacity of one percent (1%) of the Company's single hour peak load in Kentucky during the previous year. If the cumulative generating capacity of net metering systems reaches 1% of the Company's single hour peak load during the previous year, upon Commission approval, the Company's obligation to offer net metering to a new customer-generator may be limited. An eligible customergenerator shall mean a retail electric customer of the Company with a generating facility that:

- (1) Generates electricity using solar energy, wind energy, biomass or biogas energy, or hydro energy;
- (2) Has a rated capacity of not greater than forty-five (45) kilowatts;
- (3) Is located on the customer's premises;
- (4) Is owned and operated by the customer;
- (5) Is connected in parallel with the Company's electric distribution system; and
- (6) Has the primary purpose of supplying all or part of the customer's own electricity requirements.

At its sole discretion, the Company may provide Net Metering to other customer-generators not meeting all the conditions listed above on a case-by-case basis.

The term "Customer" hereinafter shall refer to any customer requesting or receiving Net Metering services under this tariff.

#### METERING.

Net energy metering shall be accomplished using a time of use ("TOU") kilowatt-hour meter capable of measuring the flow of electricity in two (2) directions. If the existing electrical meter installed at the customer's facility is not capable of measuring the flow of electricity in two directions, the Company will provide the customer with the appropriate metering at no additional cost to the customer. If the customer requests any additional meter or meters or if distribution upgrades are needed to monitor the flow in each direction, such installations shall be at the customer's expense.

#### BILLING/MONTHLY CHARGES.

For determining monthly billing kWh and excess customer generation kWh, two TOU netting periods will be used:

- TOU period 1 shall be from 8:00 AM to 6:00 PM all days of the week and holidays
- TOU period 2 shall be from 6:00 PM to 8:00 AM all days of the week and holidays

All net billing kWh and kW in each netting period, accumulated for the billing period, shall be charged at the rates applicable under the Company's standard service tariff under which the customer would otherwise be served, absent the customer's electric generating facility.

Energy charges under the customer's standard tariff shall be applied to the customer's net energy for the billing period to the extent that the net energy exceeds zero. If the customer's net energy is zero or negative during the billing period, the customer shall pay only the nonenergy charge portions of the standard tariff bill.

All excess customer generation, (net negative energy or "NNE"), in each netting period, accumulated for the billing period, shall be credited at the avoided cost rate of 0.03553 \$/kWh for Residential and 0.03778 \$/kWh for non-residential each month.

Bill credits to customers for NNE at the avoided cost rate each month is a purchased power expense and shall be recovered from all customers through the Company's Purchased Power Adjustment Rider. If the NNE credit exceeds the customer's billed charges that month, the amount

in excess of the billed charges will be carried over for use in subsequent billing periods.

(Cont'd on Sheet No. 28-2)

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**KENTUCKY** PUBLIC SERVICE COMMISSION Linda C. Bridwell **Executive Director** 

# TARIFF N.M.S. II (Cont'd) (Net Metering Service II)

APPLICATION AND APPROVAL PROCESS.

The Customer shall submit an Application for Interconnection and Net Metering ("Application") and receive approval from the Company prior to connecting the generator facility to the Company's system.

Applications will be submitted by the Customer and reviewed and processed by the Company according to either Level 1 or Level 2 processes defined below.

The Company may reject an Application for violations of any code, standard, or regulation related to reliability or safety; however, the Company will work with the Customer to resolve those issues to the extent practicable.

Customers may contact the Company to check on the status of an Application or with questions prior to submitting an Application. Company contact information can be found on Kentucky Power Company's Application Form or on the Company's website.

#### **LEVEL 1 AND LEVEL 2 DEFINITIONS.**

#### LEVEL 1

A Level 1 Application shall be used if the generating facility is inverter-based and is certified by a nationally recognized testing laboratory to meet the requirements of Underwriters Laboratories Standard 1741 "Inverters, Converters, Controllers and Interconnection System Equipment for Use With Distributed Energy Resources" (UL 1741).

The Company will approve the Level 1 Application if the generating facility also meets all of the following conditions:

- (1) For interconnection to a radial distribution circuit, the aggregated generation on the circuit, including the proposed generating facility, will not exceed 15% of the Line Section's most recent annual one hour peak load. A line section is the smallest part of the primary distribution system the generating facility could remain connected to after operation of any sectionalizing devices.
- (2) If the proposed generating facility is to be interconnected on a single-phase shared secondary, the aggregate generation capacity on the shared secondary, including the proposed generating facility, will not exceed the smaller of 20 kVA or the nameplate rating of the transformer.
- (3) If the proposed generating facility is single-phase and is to be interconnected on a center tap neutral of a 240 volt service, its addition shall not create an imbalance between the two sides of the 240 volt service of more than 20% of the nameplate rating of the service transformer.
- (4) If the generating facility is to be connected to three-phase, three wire primary Company distribution lines, the generator shall appear as a phase-to-phase connection at the primary Company distribution line.
- (5) If the generating facility is to be connected to three-phase, four wire primary Company distribution lines, the generator shall appear to the primary Company distribution line as an effectively grounded source.
- (6) The interconnection will not be on an area or spot network.
- (7) The Company does not identify any violations of any applicable provisions of IEEE 1547, "Standard for Interconnecting Distributed Resources with Electric Power Systems."
- (8) No construction of facilities by the Company on its own system will be required to accommodate the generating facility.

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KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

Gudwell

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PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

CANCELLING P.S.C. KY. NO. XX\_\_\_\_\_\_ SHEET NO. 28-3 T

TARIFF N.M.S.II (Cont'd) (Net Metering Service II)

LEVEL 1, continued N

If the generating facility does not meet all of the above listed criteria, the Company, in its sole discretion, may either: 1) approve the generating facility under the Level 1 Application if the Company determines that the generating facility can be safely and reliably connected to the Company's system; or 2) deny the Application as submitted under the Level 1 Application.

The Company shall notify the customer within 20 business days whether the Application is approved or denied, based on the criteria provided in this section.

If the Application lacks complete information, the Company shall notify the customer that additional information is required, including a list of such additional information. The time between notification and receipt of required additional information will add to the time to process the Application.

When approved, the Company will indicate by signing the approval line on the Level 1 Application Form and returning it to the customer. The approval will be subject to successful completion of an initial installation inspection and witness test if required by the Company. The Company's approval section of the Application will indicate if an inspection and witness test are required. If so, the customer shall notify the Company within 3 business days of completion of the generating facility installation and schedule an inspection and witness test with the Company to occur within 10 business days of completion of the generator facility installation or as otherwise agreed to by the Company and the customer. The customer may not operate the generating facility until successful completion of such inspection and witness test, unless the Company expressly permits operational testing not to exceed two hours. If the installation fails the inspection or witness test due to noncompliance with any provision in the Application and Company approval, the customer shall not operate the generating facility until any and all noncompliance is corrected and re-inspected by the Company.

If the Application is denied, the Company will supply the customer with reasons for denial. The customer may resubmit under Level 2 if appropriate.

#### LEVEL 2

A Level 2 Application is required under any of the following:

- (1) The generating facility is not inverter based;
- (2) The generating facility uses equipment that is not certified by a nationally recognized testing laboratory to meet the requirements of UL 1741; or
- (3) The generating facility does not meet one or more of the additional conditions under Level 1.

The Company will approve the Level 2 Application if the generating facility meets the Company's technical interconnection requirements, which are based on IEEE 1547. The Company shall make its technical interconnection requirements available online and upon request.

(Cont'd on Sheet No. 28-4)

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Linda C. Bridwell Executive Director

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TARIFF N.M.S. II (Cont'd) (Net Metering Service II)

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#### **LEVEL 2, continued**

The Company will process the Level 2 Application within 30 business days of receipt of a complete Application. Within that time the Company will respond in one of the following ways:

- (1) The Application is approved and the Company will provide the customer with an Interconnection Agreement to sign.
- (2) If construction or other changes to the Company's distribution system are required, the cost will be the responsibility of the customer. The Company will give notice to the customer and offer to meet to discuss estimated costs and construction timeframe. Should the customer agree to pay for costs and proceed, the Company will provide the customer with an Interconnection Agreement to sign within a reasonable time.
- (3) The Application is denied. The Company will supply the customer with reasons for denial and offer to meet to discuss possible changes that would result in Company approval. Customer may resubmit Application with changes.

If the Application lacks complete information, the Company shall notify the customer that additional information is required, including a list of such additional information. The time between notification and receipt of required additional information will add to the 30-business-day target to process the Application.

The Interconnection Agreement will contain all the terms and conditions for interconnection consistent with those specified in this tariff, inspection and witness test requirements, description of and cost of construction or other changes to the Company's distribution system required to accommodate the generating facility, and detailed documentation of the generating facilities which may include single line diagrams, relay settings, and a description of operation.

The customer may not operate the generating facility until an Interconnection Agreement is signed by the customer and Company and all necessary conditions stipulated in the agreement are met.

#### APPLICATION, INSPECTION AND PROCESSING FEES.

The Company will require each customer to submit with each Level 1 Application a non-refundable application, inspection and processing fee of \$150.

The Company will require each customer to submit with each Level 2 Application a non-refundable application, inspection and processing fee of \$150. In the event the Company determines an impact study is necessary with respect to a Level 2 Application, the customer shall be responsible for any reasonable costs for the initial impact study. The Company shall provide documentation of the actual cost of the impact study. Any other studies requested by the customer shall be at the customer's sole expense.

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TARIFF N.M.S. II (Cont'd) (Net Metering Service II)

#### TERMS AND CONDITIONS FOR INTERCONNECTION.

To interconnect to the Company's distribution system, the customer's generating facility shall comply with the following terms and conditions:

- (1) The Company shall provide the customer net metering services, without charge for standard TOU metering equipment, through a standard kilowatt-hour metering system capable of measuring the flow of electricity in two (2) directions. If the customer requests any additional meter or meters or distribution upgrades are needed to monitor the flow in each direction, such installations shall be at the customer's expense.
- (2) The customer shall install, operate, and maintain, at customer's sole cost and expense, any control, protective, or other equipment on the customer's system required by the Company's technical interconnection requirements based on IEEE 1547, the NEC, accredited testing laboratories such as Underwriters Laboratories, and the manufacturer's suggested practices for safe, efficient and reliable operation of the generating facility in parallel with Company's electric system. Customer shall bear full responsibility for the installation, maintenance and safe operation of the generating facility. Upon reasonable request from the Company, the customer shall demonstrate generating facility compliance.
- (3) The generating facility shall comply with, and the customer shall represent and warrant its compliance with: (a) any applicable safety and power quality standards established by IEEE and accredited testing laboratories such as Underwriters Laboratories; (b) the NEC as may be revised from time to time; (c) Company's rules, regulations, and Company's Terms and Conditions of Service as contained in Company's Retail Electric Tariff as may be revised from time to time with the approval of the Kentucky Public Service Commission (Commission); (d) the rules and regulations of the Commission, as such rules and regulations may be revised from time to time by the Commission; and (e) all other applicable local, state, and federal codes and laws, as the same may be in effect from time to time. Where required by law, customer shall pass an electrical inspection of the generating facility by a local authority having jurisdiction over the installation.
- (4) Any changes or additions to the Company's system required to accommodate the generating facility shall be considered excess facilities. Customer shall agree to pay Company for actual costs incurred for all such excess facilities prior to construction.
- (5) Customer shall operate the generating facility in such a manner as not to cause undue fluctuations in voltage, intermittent load characteristics or otherwise interfere with the operation of Company's electric system. At all times when the generating facility is being operated in parallel with Company's electric system, customer shall so operate the generating facility in such a manner that no adverse impacts will be produced thereby to the service quality rendered by Company to any of its other customers or to any electric system interconnected with Company's electric system. Customer shall agree that the interconnection and operation of the generating facility is secondary to, and shall not interfere with, Company's ability to meet its primary responsibility of furnishing reasonably adequate service to its customers.

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PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

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CANCELLING P.S.C. KY. NO. XX \_\_\_\_\_ SHEET NO. 28-6 T

### TARIFF N.M.S. II (Net Metering Service II)

#### TERMS AND CONDITIONS FOR INTERCONNECTION, continued

- (6) Customer shall be responsible for protecting, at customer's sole cost and expense, the generating facility from any condition or disturbance on Company's electric system, including, but not limited to, voltage sags or swells, system faults, outages, loss of a single phase of supply, equipment failures, and lightning or switching surges, except that the Company shall be responsible for repair of damage caused to the generating facility resulting solely from the negligence or willful misconduct on the part of the Company.
- (7) After initial installation, Company shall have the right to inspect and/or witness commissioning tests, as specified in the Level 1 or Level 2 Application and approval process. Following the initial testing and inspection of the generating facility and upon reasonable advance notice to customer, Company shall have access at reasonable times to the generating facility to perform reasonable onsite inspections to verify that the installation, maintenance, and operation of the generating facility comply with the requirements of this tariff.
- (8) For Level 1 and 2 generating facilities, where required by the Company, an eligible customer shall furnish and install on customer's side of the point of common coupling a safety disconnect switch which shall be capable of fully disconnecting the customer's energy generating equipment from Company's electric service under the full rated conditions of the customer's generating facility. The external disconnect switch (EDS) shall be located adjacent to Company's meters or the location of the EDS shall be noted by placing a sticker on the meter, and shall be of the visible break type in a metal enclosure which can be secured by a padlock. If the EDS is not located directly adjacent to the meter, the customer shall be responsible for ensuring that the location of the EDS is properly and legibly identified for so long as the generating facility is operational. The disconnect switch shall be accessible to Company personnel at all times. The Company may waive the requirement for an EDS for a generating facility at its sole discretion, and on a case-by-case basis, upon review of the generating facility operating parameters and if permitted under the Company's safety and operating protocols.

The Company shall establish a training protocol for line workers on the location and use of the EDS, and shall require that the EDS be used when appropriate, and that the switch be turned back on once the disconnection is no longer necessary.

(9) Company shall have the right and authority at Company's sole discretion to isolate the generating facility or require the customer to discontinue operation of the generating facility if Company believes that: (a) continued interconnection and parallel operation of the generating facility with Company's electric system creates or contributes (or may create or contribute) to a system emergency on either Company's or customer's electric system; (b) the generating facility is not in compliance with the requirements of this tariff, and the noncompliance adversely affects the safety, reliability, or power quality of Company's electric system; or (c) the generating facility interferes with the operation of Company's electric system. In non-emergency situations, Company shall give customer notice of noncompliance including a description of the specific noncompliance condition and allow customer a reasonable time to cure the noncompliance prior to isolating the generating facilities. In emergency situations, when the Company is unable to immediately isolate or cause the customer to isolate only the generating facility, the Company may isolate the customer's entire facility.

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CANCELLING P.S.C. KY. NO. XX \_\_\_\_\_ SHEET NO. 28-7 T

# TARIFF N.M.S. II (Net Metering Service II)

#### TERMS AND CONDITIONS FOR INTERCONNECTION, continued

- (10) Customer shall agree that, without the prior written permission from Company, no changes shall be made to the generating facility as initially approved. Increases in generating facility capacity will require a new "Application for Interconnection and Net Metering" which will be evaluated on the same basis as any other new application. Repair and replacement of existing generating facility components with like components that meet UL 1741 certification requirements for Level 1 facilities and not resulting in increases in generating facility capacity are allowed without approval.
- (11) To the extent permitted by law, the customer shall protect, indemnify, and hold harmless the Company and its directors, officers, employees, agents, representatives and contractors against and from all loss, claims, actions or suits, including costs and attorneys fees, for or on account of any injury or death of persons or damage to property caused by the customer or the customer's employees, agents, representatives and contractors in tampering with, repairing, maintaining, or operating the customer's generating facility or any related equipment or any facilities owned by the Company except where such injury, death or damage was caused or contributed to by the fault or negligence of the Company or its employees, agents, representatives, or contractors.
  - The liability of the Company to the customer for injury to person and property shall be governed by the tariff(s) for the class of service under which the customer is taking service.
- (12) The customer shall maintain general liability insurance coverage (through a standard homeowner's, commercial, or other policy) for both Level 1 and Level 2 generating facilities. Customer shall, upon request, provide Company with proof of such insurance at the time that application is made for net metering.
- (13) By entering into an Interconnection Agreement, or by inspection, if any, or by non-rejection, or by approval, or in any other way, Company does not give any warranty, express or implied, as to the adequacy, safety, compliance with applicable codes or requirements, or as to any other characteristics, of the generating facility equipment, controls, and protective relays and equipment.
- (14) A customer's generating facility is transferable to other persons or service locations only after notification to the Company has been made and verification that the installation is in compliance with this tariff. Upon written notification that an approved generating facility is being transferred to another person, customer, or location, the Company will verify that the installation is in compliance with this tariff and provide written notification to the customer(s) within 20 business days. If the installation is no longer in compliance with this tariff, the Company will notify the customer in writing and list what must be done to place the facility in compliance.
- (15) The customer shall retain any and all Renewable Energy Credits (RECs) that may be generated by their generating facility.

(Cont'd on Sheet No. 28-8)

DATE OF ISSUE: April 9, 2021

DATE EFFECTIVE: Service Rendered On And After January 14, 2021

ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

By Authority of Orders of the Public Service Commission

In Case No. 2020-00174 dated January 13, 2021; January 15, 2021; February 22, 2021, and March 17

KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell
Executive Director

, 2021, and Warch 17, 2021

1/14/2021

PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

CANCELLING P.S.C. KY. NO. XX \_\_\_\_\_ SHEET NO. 28-8 T

### TARIFF N.M.S. II (Net Metering Service II)

#### TERM OF CONTRACT.

Any contract required under this tariff shall become effective when executed by both parties and shall continue in effect until terminated. The contract may be terminated as follows: (a) Customer may terminate the contract at any time by giving the Company at least sixty (60) days' written notice; (b) Company may terminate upon failure by the customer to continue ongoing operation of the generating facility; (c) either party may terminate by giving the other party at least thirty (30) days prior written notice that the other party is in default of any of the terms and conditions of the contract or the rules or any rate schedule, tariff, regulation, contract, or policy of the Company, so long as the notice specifies the basis for termination and there is opportunity to cure the default; (d) the Company may terminate by giving the customer at least thirty (30) days notice in the event that there is a material change in an applicable law, regulation or statute affecting this Agreement or which renders the system out of compliance with the new law or statute.

#### SPECIAL TERMS AND CONDITIONS.

This tariff is subject to the Company's Terms and Conditions of Service and all provisions of the standard service tariff under which the customer takes service. This tariff is also subject to the applicable provisions of the Company's Technical Requirements for Interconnection.

(Cont'd on Sheet No. 28-9)

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ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

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KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

1/14/2021

PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

N

CANCELLING P.S.C. KY. NO. XX \_\_\_\_\_ SHEET NO. 28-9 T

## TARIFF N.M.S. II (Net Metering Service II)

## <u>Application For Interconnection And Net Metering – Level 1</u>

Use this Application only for: 1.) a generating facility that is inverter based and certified by a nationally recognized testing laboratory to meet the requirements of UL 1741, 2.) less than or equal to 45 kW generation capacity, and 3.) connecting to Kentucky Power distribution system.

Submit this Application (along with the application fee of \$150) to:

**D.G. Coordinator American Electric Power** 

1 Riverside Plaza

Columbus, Ohio 43215-2373

614-716-4020 Office / 614-716-1414 Fax

dgcoordinator@aep.com

(Contract person listed is subject to change. Please visit our

website for up-to-date-information http://www.kentuckypower.com)

**Applicant** 

Name:		
Mailing Address:		
<u>City:</u>	<u>State:</u>	<u>Zip:</u>
Phone: ( )	Phone: ( )	
E-mail address:		
	Service Location	
Name:		
Street Address:		
City:	State:	Zip:
Electric Service Account Number		
Provide names and contact information for oth	er contractors, installers, or e	engineering firms involved in the design and
installation of the generating facilities:		
Alternate Contacts		
<u>Name</u>	Company	<u>Telephone/Email</u>

(Cont'd on Sheet No. 28-10)

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TITLE: Vice President, Regulatory & Finance

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**KENTUCKY** 

PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

2021, and March 17, 2021 EFFECTIVE

1/14/2021

## APPLICATION FOR INTERCONNECTION AND NET METERING, **LEVEL 1 – CONTINUED**

### **Equipment Qualifications**

Energy Source: Inverter Manufacturer:	(	) Solar	( ) Wind	Model:	( ) Hydro	( ) Biogas	( ) Biomass
Inverter Power Rating:				Voltage	Rating:		
Power Rating of Energy turbine):	Source (i.e.,	solar panels, wind	l				
Battery Storage:	( ) Yes	( ) No		If Yes, B	attery Power Rating		
Attach documentation s requirements of UL 174	-	t inverter is certifie	d by a nati	onally re	cognized testing labo	oratory to meet the	
Attach site drawing or si switch and inverter.	ketch showi	ng locations of Ken	itucky Pow	er Compo	any meter, energy so	ource, accessible dis	connect
Attach single line drawir fuses, breakers, panels,	_		=		=	= -	_
Expected Start-up Date:	:						
		(Co	nt'd on She	eet No. 2	3-11)		

DATE OF ISSUE: April 9, 2021

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ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

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KENTUCKY PUBLIC SERVICE COMMISSION

> Linda C. Bridwell **Executive Director**

1/14/2021

CANCELLING P.S.C. KY. NO. XX \_\_\_\_\_ SHEET NO. 28-11 T

## TARIFF N.M.S. II (Net Metering Service II)

#### **TERMS AND CONDITIONS FOR LEVEL 1:**

- The Company shall provide customer net metering services, without charge for standard metering equipment, through a standard kilowatt-hour metering system capable of measuring the flow of electricity in two (2) directions. If the customer requests any additional meter or meters or distribution upgrades are needed to monitor the flow in each direction, such installations shall be at the customer's expense.
- Customer shall install, operate, and maintain, at customer's sole cost and expense, any control, protective, or other equipment on the customer's system required by the Company's technical interconnection requirements based on IEEE 1547, the NEC, accredited testing laboratories such as Underwriters Laboratories, and the manufacturer's suggested practices for safe, efficient, and reliable operation of the generating facility in parallel with Company's electric system. Customer shall bear full responsibility for the installation, maintenance, and safe operation of the generating facility. Upon reasonable request from the Company, customer shall demonstrate generating facility compliance.
- The generating facility shall comply with, and the customer shall represent and warrant its compliance with: (a) any applicable safety and power quality standards established by the Institute of Electrical and Electronics Engineers (IEEE) and accredited testing laboratories such as Underwriters Laboratories (UL); (b) the National Electrical Code (NEC) as may be revised from time to time; (c) Company's rules, regulations, and Company's Terms and Conditions of Service as contained in Company's Retail Electric Tariff as may be revised from time to time with the approval of the Kentucky Public Service Commission (Commission); (d) the rules and regulations of the Commission, as such rules and regulations may be revised from time to time by the Commission; and (e) all other applicable local, state, and federal codes and laws, as the same may be in effect from time to time. Where required by law, customer shall pass an electrical inspection of the generating facility by a local authority having jurisdiction over the installation.
- 4 Any changes or additions to the Company's system required to accommodate the generating facility shall be considered excess facilities. Customer shall agree to pay Company for actual costs incurred for all such excess facilities prior to construction.
- Customer shall operate the generating facility in such a manner as not to cause undue fluctuations in voltage, intermittent load characteristics, or otherwise interfere with the operation of Company's electric system. At all times when the generating facility is being operated in parallel with Company's electric system, customer shall so operate the generating facility in such a manner that no adverse impacts will be produced thereby to the service quality rendered by Company to any of its other customers or to any electric system interconnected with Company's electric system. Customer shall agree that the interconnection and operation of the generating facility is secondary to, and shall not interfere with, Company's ability to meet its primary responsibility of furnishing reasonably adequate service to its customers.
- Customer shall be responsible for protecting, at customer's sole cost and expense, the generating facility from any condition or disturbance on Company's electric system, including, but not limited to, voltage sags or swells, system faults, outages, loss of a single phase of supply, equipment failures, and lightning or switching surges, except that the Company shall be responsible for repair of damage caused to the generating facility resulting solely from the negligence or willful misconduct on the part of the Company.

(Cont'd on Sheet No. 28-12)

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TITLE: Vice President, Regulatory & Finance

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**KENTUCKY**PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

1/14/2021

PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

N

CANCELLING P.S.C. KY. NO. XX \_\_\_\_\_ SHEET NO. 28-12 T

# TARIFF N.M.S. II (Net Metering Service II)

#### TERMS AND CONDITIONS FOR LEVEL 1, continued

- After initial installation, Company shall have the right to inspect and/or witness commissioning tests, as specified in the Level 1 or Level 2 Application and approval process. Following the initial testing and inspection of the generating facility and upon reasonable advance notice to customer, Company shall have access at reasonable times to the generating facility to perform reasonable onsite inspections to verify that the installation, maintenance and operation of the generating facility comply with the requirements of this tariff.
- For Level 1 generating facilities, where required by the Company, an eligible customer shall furnish and install on customer's side of the point of common coupling a safety disconnect switch which shall be capable of fully disconnecting the customer's energy generating equipment from Company's electric service under the full rated conditions of the customer's generating facility. The external disconnect switch (EDS) shall be located adjacent to Company's meters or the location of the EDS shall be noted by placing a sticker on the meter, and shall be of the visible break type in a metal enclosure which can be secured by a padlock. If the EDS is not located directly adjacent to the meter, the customer shall be responsible for ensuring the location of the EDS is properly and legibly identified for so long as the generating facility is operational. The disconnect switch shall be accessible to Company personnel at all times. The Company may waive the requirement for an EDS for a generating facility at its sole discretion, and on a case-by-case basis, upon review of the generating facility operating parameters and if permitted under the Company's safety and operating protocols.

The Company shall establish a training protocol for line workers on the location and use of the EDS, and shall require that the EDS be used when appropriate, and that the switch be turned back on once the disconnection is no longer necessary.

- Company shall have the right and authority at Company's sole discretion to isolate the generating facility or require the customer to discontinue operation of the generating facility if Company believes that: (a) continued interconnection and parallel operation of the generating facility with Company's electric system creates or contributes (or may create or contribute) to a system emergency on either Company's or customer's electric system; (b) the generating facility is not in compliance with the requirements of this tariff, and the noncompliance adversely affects the safety, reliability or power quality of Company's electric system; or (c) the generating facility interferes with the operation of Company's electric system. In non-emergency situations, Company shall give customer notice of noncompliance including a description of the specific noncompliance condition and allow customer a reasonable time to cure the noncompliance prior to isolating the generating facilities. In emergency situations, when the Company is unable to immediately isolate or cause the customer to isolate only the generating facility, the Company may isolate the customer's entire facility.
- Customer shall agree that, without the prior written permission from Company, no changes shall be made to the generating facility as initially approved. Increases in generating facility capacity will require a new "Application for Interconnection and Net Metering" which will be evaluated on the same basis as any other new application. Repair and replacement of existing generating facility components with like components that meet UL 1741 certification requirements for Level 1 facilities and not resulting in increases in generating facility capacity are allowed without approval.

(Cont'd on Sheet No. 28-13)

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TITLE: Vice President, Regulatory & Finance

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KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell
Executive Director

2021, and Warch 17, 2021

1/14/2021

PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

#### TERMS AND CONDITIONS FOR LEVEL 1, continued

To the extent permitted by law, the customer shall protect, indemnify, and hold harmless the Company and its directors, officers, employees, agents, representatives and contractors against and from all loss, claims, actions or suits, including costs and attorneys fees, for or on account of any injury or death of persons or damage to property caused by the customer or the customer's employees, agents, representatives and contractors in tampering with, repairing, maintaining or operating the customer's generating facility or any related equipment or any facilities owned by the Company except where such injury, death or damage was caused or contributed to by the fault or negligence of the Company or its employees, agents, representatives, or contractors.

The liability of the Company to the customer for injury to person and property shall be governed by the tariff(s) for the class of service under which the customer is taking service.

- The Customer shall maintain general liability insurance coverage (through a standard homeowner's, commercial, or other policy) for Level 1 generating facilities. Customer shall, upon request, provide Company with proof of such insurance at the time that application is made for net metering.
- By entering into an Interconnection Agreement, or by inspection, if any, or by non-rejection, or by approval, or in any other way, Company does not give any warranty, express or implied, as to the adequacy, safety, compliance with applicable codes or requirements, or as to any other characteristics, of the generating facility equipment, controls, and protective relays and equipment.
- Customer's generating facility is transferable to other persons or service locations only after notification to the Company has been made and verification that the installation is in compliance with this tariff. Upon written notification that an approved generating facility is being transferred to another person, customer, or location, the Company will verify that the installation is in compliance with this tariff and provide written notification to the Customer(s) within 20 business days. If the installation is no longer in compliance with this tariff, the Company will notify the customer in writing and list what must be done to place the facility in compliance.
- 15 The customer shall retain any and all Renewable Energy Credits ("RECs") that may be generated by their generating facility.

(Cont'd on Sheet No. 28-14)

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KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

1/14/2021

PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

P.S.C. KY. NO. 12 ORIGI	NAL SHEET NO. 28-14	T
CANCELLING P.S.C. KY. NO. XX	SHEET NO. 28-14	Т

TERMS AND CONDITIONS FOR LEVEL 1, continued

#### **Effective Term and Termination Rights**

This Agreement becomes effective when executed by both parties and shall continue in effect until terminated. This Agreement may be terminated as follows: (a) Customer may terminate this Agreement at any time by giving the Company at least sixty (60) days' written notice; (b) Company may terminate upon failure by the Customer to continue ongoing operation of the generating facility; (c) either party may terminate by giving the other party at least thirty (30) days prior written notice that the other party is in default of any of the terms and conditions of the Agreement or the Rules or any rate schedule, tariff, regulation, contract, or policy of the Company, so long as the notice specifies the basis for termination and there is opportunity to cure the default; (d) the Company may terminate by giving the Customer at least thirty (30) days notice in the event that there is a material change in an applicable law, regulation or statute affecting this Agreement or which renders the system out of compliance with the new law or statute. I hereby certify that, to the best of my knowledge, all of the information provided in this Application is true, and I agree to abide by all the Terms and Conditions included in this Application for Interconnection and Net Metering and Company's Net Metering Tariff.

Customer Signature:	Date:

#### **COMPANY APPROVAL SECTION**

When signed below by a Company representative, Application for Interconnection and Net Metering is approved subject to the provisions contained in this Application and as indicated below.

#### Company inspection and witness test: () Required () Waived

If Company inspection and witness test is required, Customer shall notify the Company within three (3) business days of completion of the generating facility installation and schedule an inspection and witness test with the Company to occur within ten (10) business days of completion of the generating facility installation or as otherwise agreed to by the Company and the Customer. Unless indicated below, the Customer may not operate the generating facility until such inspection and witness test is successfully completed. Additionally, the Customer may not operate the generating facility until all other terms and conditions in the Application have been met.

Call: to schedule an inspection and witness test.

#### Pre-Inspection operational testing not to exceed two (2) hours: () Allowed () Not Allowed

If Company inspection and witness test is waived, operation of the generating facility may begin when installation is complete, and all other terms and conditions in the Application have been met.

Additions, Changes, or Clarifications to Application Information: ( ) None ( ) As specified here:

Approved by:	Date:
Printed Name:	Title:
Fillited Name.	Title.

(Cont'd on Sheet No. 28-15)

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TITLE: Vice President, Regulatory & Finance

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KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and March 17, 2021 EFFECTIVE

1/14/2021

PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

## TARIFF N.M.S. II

(Net Metering Service II)

## Application for Interconnection and Net Metering – Level 2

Use this Application form for connecting to the Kentucky Power distribution system and: 1.) the generating facility is not inverter based or is not certified by a nationally recognized testing laboratory to meet the requirements of UL 1741 or 2.) does not meet any of the additional conditions under a Level 1 Application (inverter based and less than or equal to 45kW generation).

Submit this Application (along with the application fee of \$150) to:

**D.G.** Coordinator **American Electric Power** 1 Riverside Plaza Columbus, Ohio 43215-2373

614-716-4020 Office / 614-716-1414 Fax

(Contact person listed is subject to change. Please visit our website for up-to-date information http://www.kentuckypower.com)

dgcoordinator@aep.com			
	<u>Applicant</u>		
Name:			
Mailing Address:			
City:	State:	Zip:_	
Phone: ( )	Phone: (	)	
E-mail address:			
	Service Location		
Name:			
Street Address:			
City:	State:	Zip:	
Electric Service Account Number			
Provide names and contact information for other	her contractors, installer	rs, or engineering firms invo	lved in the design and
installation of the generating facilities:			
Alternate Contacts			
Name	Company	Te	lephone/Email

(Cont'd on Sheet No. 28-16)

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TITLE: Vice President, Regulatory & Finance

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KENTUCKY

PUBLIC SERVICE COMMISSION

Linda C. Bridwell **Executive Director** 

P.S.C. KY. NO. 12 ORIGINA	L SHEET NO. 28-16	T
CANCELLING P.S.C. KY. NO. XX	SHEET NO. 28-16	Т

### **TARIFF N.M.S. II**

(Net Metering Service II)

## APPLICATION FOR INTERCONNECTION AND NET METERING, **LEVEL 2 - CONTINUED**

### **Equipment Qualifications**

Total Generating Capacity (kW) of the Generating Facility:						
Type of Generator:	( ) Inverter-Based		( ) Synchronous	( ) In	( ) Induction	
Energy Source:	( ) Solar	( ) Wind	( ) Hydro	( ) Biogas	( ) Biomass	
Attach documentation showing that inverter is certified by a nationally recognizes testing laboratory to meet the requirements of UL 1741.						
Attach site drawing or sketch showing locations of Kentucky Power Company meter, energy source, accessible disconnect switch and inverter.						
Attach single line drawing showing all electrical equipment from the metering location to the energy source including switches, fuses, breakers, panels, transformers, inverters, energy source, wire size, equipment ratings, and transformer connections.						
Expected Start-up Date:						
(Cont'd on Shoot No. 29 17)						

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ISSUED BY: /s/ Brian K. West

TITLE: Vice President, Regulatory & Finance

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**KENTUCKY** PUBLIC SERVICE COMMISSION

> Linda C. Bridwell **Executive Director**

1/14/2021

P.S.C. KY. NO. 12 ORIGIN	AL SHEET NO. 28-17	Т
CANCELLING P.S.C. KY. NO. XX	SHEET NO. 28-17	Т

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## TARIFF N.M.S. II (Net Metering Service II)

Interconnection Agreement – Level 2
This Interconnection Agreement (Agreement) is made and entered into this day of, 20, by and between Kentucky Power Company (Company), and (Customer). Company and Customer are hereinafter sometimes referred to individually as "Party" or collectively as "Parties"
Witnesseth:
Whereas, Customer is installing, or has installed, generating equipment, controls, and protective relays and equipment (Generating Facility) used to interconnect and operate in parallel with Company's electric system, which Generating Facility is more fully described in Exhibit A, attached hereto and incorporated herein by this Agreement, and as follows:
Location:
Generator Size and Type:
Now, therefore, in consideration thereof, Customer and Company agree as follows:
Company agrees to allow Customer to interconnect and operate the generating Facility in parallel with the Company's electric system and Customer agrees to abide by Company's Net Metering Tariff and all Terms and Conditions listed in this Agreement including any additional conditions listed in Exhibit A.

(Cont'd on Sheet No. 28-18)

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TITLE: Vice President, Regulatory & Finance

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**KENTUCKY** PUBLIC SERVICE COMMISSION

> Linda C. Bridwell **Executive Director**

1/14/2021

# TARIFF N.M.S. II (Net Metering Service II)

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#### **TERMS AND CONDITIONS FOR LEVEL 2:**

To interconnect to the Kentucky Power Company (Company) distribution system, the customer's generating facility shall comply with the following terms and conditions:

- 1. Company shall provide customer net metering services, without charge for standard metering equipment, through a standard kilowatt-hour metering system capable of measuring the flow of electricity in two (2) directions. If the customer requests any additional meter/meters or distribution upgrades are needed to monitor the flow in each direction, such installations shall be at the customer's expense.
- 2. Customer shall install, operate, and maintain, at customer's sole cost and expense, any control, protective, or other equipment on the customer's system required by the Company's technical interconnection requirements based on IEEE 1547, the NEC, accredited testing laboratories such as Underwriters Laboratories, and the manufacturer's suggested practices for safe, efficient, and reliable operation of the generating facility in parallel with Company's electric system. Customer shall bear full responsibility for the installation, maintenance, and safe operation of the generating facility. Upon reasonable request from the Company, customer shall demonstrate generating facility compliance.
- 3. The generating facility shall comply with, and the customer shall represent and warrant its compliance with: (a) any applicable safety and power quality standards established by the Institute of Electrical and Electronics Engineers (IEEE) and accredited testing laboratories such as Underwriters Laboratories (UL); (b) the National Electrical Code (NEC) as may be revised from time to time; (c) Company's rules, regulations, and Company's Terms and Conditions of Service as contained in Company's Retail Electric Tariff as may be revised from time to time with the approval of the Kentucky Public Service Commission (Commission); (d) the rules and regulations of the Commission, as such rules and regulations may be revised from time to time by the Commission; and (e) all other applicable local, state, and federal codes and laws, as the same may be in effect from time to time. Where required by law, customer shall pass an electrical inspection of the generating facility by a local authority having jurisdiction over the installation.
- 4. Any changes or additions to the Company's system required to accommodate the generating facility shall be considered excess facilities. Customer shall agree to pay Company for actual costs incurred for all such excess facilities prior to construction.
- 5. Customer shall operate the generating facility in such a manner as not to cause undue fluctuations in voltage, intermittent load characteristics, or otherwise interfere with the operation of Company's electric system. At all times when the generating facility is being operated in parallel with Company's electric system, customer shall so operate the generating facility in such a manner that no adverse impacts will be produced thereby to the service quality rendered by Company to any of its other customers or to any electric system interconnected with Company's electric system. Customer shall agree that the interconnection and operation of the generating facility is secondary to, and shall not interfere with, Company's ability to meet its primary responsibility of furnishing reasonably adequate service to its customers.

(Cont'd on Sheet No. 28-19)

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TITLE: Vice President, Regulatory & Finance

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KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and March 17, 2021

1/14/2021

# TARIFF N.M.S. II (Net Metering Service II)

#### TERMS AND CONDITIONS FOR LEVEL 2, continued

- 6. Customer shall be responsible for protecting, at Customer's sole cost and expense, the generating facility from any condition or disturbance on Company's electric system, including, but not limited to, voltage sags or swells, system faults, outages, loss of a single phase of supply, equipment failures, and lightning or switching surges, except that the Company shall be responsible for repair of damage caused to the generating facility resulting solely from the negligence or willful misconduct on the part of the Company.
- 7. After initial installation, Company shall have the right to inspect and/or witness commissioning tests, as specified in the Level 1 or Level 2 Application and approval process. Following the initial testing and inspection of the generating facility and upon reasonable advance notice to customer, Company shall have access at reasonable times to the generating facility to perform reasonable on-site inspections to verify that the installation, maintenance and operation of the generating facility comply with the requirements of this tariff.
- 8. For Level 2 generating facilities, where required by the Company, an eligible customer shall furnish and install on customer's side of the point of common coupling a safety disconnect switch which shall be capable of fully disconnecting the customer's energy generating equipment from Company's electric service under the full rated conditions of the customer's generating facility. The external disconnect switch (EDS) shall be located adjacent to Company's meters or the location of the EDS shall be noted by placing a sticker on the meter, and shall be of the visible break type in a metal enclosure which can be secured by a padlock. If the EDS is not located directly adjacent to the meter, the customer shall be responsible for ensuring the location of the EDS is properly and legibly identified for so long as the generating facility is operational. The disconnect switch shall be accessible to Company personnel at all times. The Company may waive the requirement for an EDS for a generating facility at its sole discretion, and on a case-by-case basis, upon review of the generating facility operating parameters and if permitted under the Company's safety and operating protocols.

The Company shall establish a training protocol for line workers on the location and use of the EDS, and shall require that the EDS be used when appropriate, and that the switch be turned back on once the disconnection is no longer necessary.

9. Company shall have the right and authority at Company's sole discretion to isolate the generating facility or require the customer to discontinue operation of the generating facility if Company believes that: (a) continued interconnection and parallel operation of the generating facility with Company's electric system creates or contributes (or may create or contribute) to a system emergency on either Company's or customer's electric system; (b) the generating facility is not in compliance with the requirements of this tariff, and the noncompliance adversely affects the safety, reliability or power quality of Company's electric system; or (c) the generating facility interferes with the operation of Company's electric system. In non-emergency situations, Company shall give customer notice of noncompliance including a description of the specific noncompliance condition and allow customer a reasonable time to cure the noncompliance prior to isolating the generating facilities. In emergency situations, when the Company is unable to immediately isolate or cause the customer to isolate only the generating facility, the Company may isolate the customer's entire facility.

(Cont'd on Sheet No. 28-20)

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**KENTUCKY**PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

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# TARIFF N.M.S. II (Net Metering Service II)

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#### TERMS AND CONDITIONS FOR LEVEL 2, continued

- 10. Customer shall agree that, without the prior written permission from Company, no changes shall be made to the generating facility as initially approved. Increases in generating facility capacity will require a new "Application for Interconnection and Net Metering" which will be evaluated on the same basis as any other new application. Repair and replacement of existing generating facility components with like components not resulting in increases in generating facility capacity are allowed without approval.
- 11. To the extent permitted by law, the customer shall protect, indemnify, and hold harmless the Company and its directors, officers, employees, agents, representatives and contractors against and from all loss, claims, actions or suits, including costs and attorneys fees, for or on account of any injury or death of persons or damage to property caused by the customer or the customer's employees, agents, representatives and contractors in tampering with, repairing, maintaining or operating the customer's generating facility or any related equipment or any facilities owned by the Company except where such injury, death or damage was caused or contributed to by the fault or negligence of the Company or its employees, agents, representatives, or contractors.

The liability of the Company to the customer for injury to person and property shall be governed by the tariff(s) for the class of service under which the customer is taking service.

- 12. The customer shall maintain general liability insurance coverage (through a standard homeowner's, commercial, or other policy). Customer shall provide Company with proof of such insurance at the time that application is made for net metering.
- 13. By entering into an Interconnection Agreement, or by inspection, if any, or by non-rejection, or by approval, or in any other way, Company does not give any warranty, express or implied, as to the adequacy, safety, compliance with applicable codes or requirements, or as to any other characteristics, of the generating facility equipment, controls, and protective relays and equipment.
- 14. Customer's generating facility is transferable to other persons or service locations only after notification to the Company has been made and verification that the installation is in compliance with this tariff. Upon written notification that an approved generating facility is being transferred to another person, customer, or location, the Company will verify that the installation is in compliance with this tariff and provide written notification to the customer(s) within 20 business days. If the installation is no longer in compliance with this tariff, the Company will notify the customer in writing and list what must be done to place the facility in compliance.
- 15. The customer shall retain any and all Renewable Energy Credits (RECs) that may be generated by their generating facility.

(Cont'd on Sheet No. 28-21)

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PUBLIC SERVICE COMMISSION

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P.S.C. KY. NO. 12 ORIGINAL SHEET NO. 28-21  $\,^{\,}$  T CANCELLING P.S.C. KY. NO. XX \_\_\_\_\_ SHEET NO. 28-21  $\,^{\,}$  T

# TARIFF N.M.S. II (Net Metering Service II)

## **TERMS AND CONDITIONS FOR LEVEL 2, continued**

#### **Effective Term and Termination Rights**

This Agreement becomes effective when executed by both parties and shall continue in effect until terminated. This Agreement may be terminated as follows: (a) Customer may terminate this Agreement at any time by giving the Company at least sixty (60) days' written notice; (b) Company may terminate upon failure by the Customer to continue ongoing operation of the generating facility; (c) either party may terminate by giving the other party at least thirty (30) days prior written notice that the other party is in default of any of the terms and conditions of the Agreement or the Rules or any rate schedule, tariff, regulation, contract, or policy of the Company, so long as the notice specifies the basis for termination and there is opportunity to cure the default; (d) the Company may terminate by giving the Customer at least thirty (30) days notice in the event that there is a material change in an applicable law, regulation or statute affecting this Agreement or which renders the system out of compliance with the new law or statute.

IN WITNESS WHEREOF, the Parties have executed this Agreement, effective as of the date first above written.

Customer Signature:	Date:	=
Printed Name:	Title:	_
Company Signature:	Date:	_
Printed Name:	Title:	

(Cont'd on Sheet No. 28-22)

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CANCELLING P.S.C. KY. NO. XX \_\_\_\_\_ SHEET NO. 28-22 T

## TARIFF N.M.S. II (Net Metering Service II)

## Interconnection Agreement – Level 2 Exhibit A

- Exhibit A will contain additional detailed information about the Generating Facility such as a single line diagram, relay settings, and a description of operation.
- When construction of the Company's facilities is required, Exhibit A will also contain a description and associated cost.
- Exhibit A will also specify requirements for a Company inspection and witness test and when limited operation for testing or full operation may begin.

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Linda C. Bridwell Executive Director

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# TARIFF E.S. (Environmental Surcharge)

## APPLICABLE.

To Tariffs R.S., R.S.D.,R.S.-L.M.-T.O.D., R.S.-T.O.D., Experimental R.S.-T.O.D. 2, G.S., S.G.S.-T.O.D., M.G.S.-T.O.D., L.G.S., L.G.S.-T.O.D., I.G.S., C.S.-I.R.P., C.S. Coal, M.W., O.L., and S.L.

#### RATE.

The environmental surcharge shall provide for monthly adjustments based on a percent of revenues, equal to the difference between the environmental compliance costs in the base period as provided in Paragraph 2 below and in the current period as provided in Paragraph 3 below.

The retail share of the revenue requirement will be allocated between residential and non-residential retail customers based upon their respective total revenues during the previous calendar year. The Environmental Surcharge will be implemented as a percentage of total revenues for the residential class and as a percentage of non-fuel revenues for all other customers.

The revenues to which the residential Environmental Surcharge factor are applied is the sum of the customer's Service Charge, Energy Charge(s), Fuel Adjustment Clause, System Sales Clause, Demand-Side Management Adjustment Clause, Federal Tax Cut, Residential Energy Assistance, Capacity Charge, and Purchase Power Adjustment.

The revenues to which the all other customer Environmental Surcharge factor are applied is the sum of the customer's Service Charge, Demand Charge, Energy Charge(s) less Base Fuel, Minimum Charge, Reactive Charge, System Sales Clause, Demand-Side Management Adjustment Clause, Federal Tax Cut, Kentucky Economic Development Surcharge, Capacity Charge, and Purchase Power Adjustment.

1. Monthly Environmental Surcharge Gross Revenue Requirement, E(m)

Where: E(m) = CRR - BRR

CRR = Current Period Revenue Requirement for the Expense Month.

BRR = Base Period Revenue Requirement.

(Continued on Sheet 29-2)

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KENTUCKY
PUBLIC SERVICE COMMISSION

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## TARIFF E.S. (Cont'd) (Environmental Surcharge)

## RATE (Cont'd)

2. Base Period Revenue Requirement, BRR

BRR = The Following Monthly Amounts:

Billing Month	Base Net Environmental Costs	
January	\$ 3,503,207	R
February	3,961,295	1
March	3,695,547	1
April	4,652,708	1
May	4,476,891	I
June	3,896,996	1
July	4,132,198	1
August	3,932,695	1
September	3,687,618	1
October	3,775,108	R
November	3,816,807	1
December	\$ 3,814,390	R
	\$ 47,345,460	1

In accordance with the Stipulation and Settlement Agreement approved by the Commission by its Order dated October 7, 2013 in Case No. 2012-00578, the Mitchell FGD and all related associated costs are not included in base rates or the Base Revenue Requirement but will be included in the Current Period Revenue Requirement. The Mitchell FGD will be excluded from Base Rates at least until June 30, 2020.

3. Current Period Revenue Requirement, CRR

 $\mathsf{CRR} = [((\mathsf{RB}_{\mathsf{KP(c)}})(\mathsf{ROR}_{\mathsf{KP(c)}})/12) + \mathsf{OE}_{\mathsf{KP(c)}} + [((\mathsf{RB}_{\mathsf{IM(c)}})(\mathsf{ROR}_{\mathsf{IM(c)}})/12) + \mathsf{OE}_{\mathsf{IM(c)}}] \ (.15) - \mathsf{AS}]$ 

Where:

 $RB_{KP(C)}$  = Environmental Compliance Rate Base for Mitchell.

ROR<sub>KP(C)</sub> = Annual Rate of Return on Mitchell Environmental Compliance Rate Base;

Annual Rate divided by 12 to restate to a Monthly Rate of Return.

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## TARIFF E.S. (Cont'd) (Environmental Surcharge)

#### RATE (Cont'd)

OE<sub>KP(C)</sub> = Monthly Pollution Control Operating Expenses for Mitchell.

 $RB_{IM(C)}$  = Environmental Compliance Rate Base for Rockport.

ROR<sub>IM(C)</sub> = Annual Rate of Return on Rockport Rate Base;

Annual Rate divided by 12 to restate to a Monthly Rate of Return.

OE<sub>IM(C)</sub> = Monthly Pollution Control Operating Expenses for Rockport.

AS = Net proceeds from the sale of Title IV and CSAPR SO<sub>2</sub> emission allowances,

ERCs, and NOx emission allowances, reflected in the month

of receipt.

"KP(C)" identifies components from Mitchell Units – Current Period, and "IM(C)" identifies components from the Indiana Michigan Power Company's Rockport Units – Current Period.

The Environmental Compliance Rate Base for both Kentucky Power and Rockport reflects the current cost associated with the 1997 Plan, the 2003 Plan, the 2005 Plan, the 2007 Plan, the 2017 Plan, and the 2019 Plan. The Operating Expenses for both Kentucky Power and Rockport reflects the current operating expenses associated with the 1997 Plan, the 2003 Plan, the 2005 Plan, the 2007 Plan, the 2015 Plan, the 2017 Plan, and the 2019 Plan.

The Rate of Return for Kentucky Power is 9.10% rate of return on equity as authorized by the Commission in its Order Dated January 13, 2021, Case No. 2020-00174.

The Rate of Return for Rockport should reflect the requirements of the Rockport Unit Power Agreement.

Net Proceeds from the sale of emission allowances and ERCs that reflect net gains will be a reduction to the Current Period Revenue Requirement, while net losses will be an increase.

The Current Period Revenue Requirement will reflect the balances and expenses as of the Expense Month of the filing.

(Cont'd on Sheet No. 29-4)

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# TARIFF E.S. (Cont'd) (Environmental Surcharge)

#### RATE (Cont'd)

## 4. Revenue Allocation

Residential Allocation RA(m) = <u>KY Residential Revenue RR(b)</u> KY Retail Revenue R(b)

All Other Allocation OA(m) = <u>KY All Other Classes Retail Revenue OR(b)</u> KY Retail Revenue R(b)

Where:

(m) = the expense month

(b) = most recent calendar year revenues

## 5. Environmental Surcharge Factor

Residential Monthly Environmental Surcharge Factor = Net KY Retail E(m) \* RA(m)

KY RR(m)

All Other Monthly Environmental Surcharge Factor = Net KY Retail E(m) \* AO(m) KY OR(m)- KY OF(m)

Where:

Net KY Retail E(m) = Monthly E(m) allocated to Kentucky Retail Customers, net of Over/

(Under) Recovery Adjustment; Allocation based on Percentage of Kentucky Retail Revenues to Total Company Revenues in the Expense

Month.

(For purposes of this formula, Total Company Revenues do not include Non -Physical Revenues.)

RR(m) = Average Kentucky Residential Retail Revenues for the Preceding Twelve Month Period

OR(m) = Average Kentucky All Other Classes Retail Revenues for the Preceding Twelve Month Period

OF(m) = Average Kentucky All Other Classes Fuel Revenues for the Preceding Twelve Month Period.

(Cont'd on Sheet No. 29-5)

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## TARIFF E.S. (Cont'd) (Environmental Surcharge)

## RATE (Cont'd)

6. Environmental costs "E" shall be the Company's costs of compliance with the Clean Air Act and those environmental requirements that apply to coal combustion wastes and by-products, as follows:

## **Total Company:**

- return on Title IV and CSAPR SO<sub>2</sub> allowance inventory
- over/under recovery balances between the actual costs incurred less the amount collected through the environmental surcharge
- costs associated with any Commission's consultant approved by the Commission
- costs associated with the consumption of Title IV and CSAPR SO<sub>2</sub> allowances
- costs associated with the consumption of NO<sub>x</sub> allowances
- return on NO<sub>x</sub> allowance inventory
- costs associated with maintaining approved pollution control equipment including material and contract labor (excluding plant labor)
- costs associated with consumables used in conjunction with approved environmental projects.
- return on inventories of consumables used in conjunction with approved environmental projects.

(Cont'd on Sheet No. 29-6)

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## TARIFF E.S. (Cont'd) (Environmental Surcharge)

## RATE (Cont'd)

The Company's share of costs associated with the following environmental equipment at the Rockport Plant:

- Continuous Emissions Monitors
- Air Emission Fees
- Costs Associated with the Rockport Unit Power Agreement
- Activated Carbon Injection
- Mercury Monitoring
- Precipitator Modifications
- Dry Sorbent Injection
- Coal Combustion Waste Landfill
- Low NOx burners, over Fire Air Landfill
- Selective Catalytic Reduction Technology

The Company's share of costs associated with the following environmental equipment at the Mitchell Plant:

- Mitchell Unit Nos 1 and 2 Water Injection, Low NO<sub>x</sub> burners, Low NO<sub>x</sub> burner Modification, SCR, FGD, Landfill, Coal Blending Facilities and SO<sub>3</sub> Mitigation
- Mitchell Plant Common CEMS, Replace Burner Barrier Valves and Gypsum Material Handling Facilities
- Air Emission Fees
- Precipitator Modifications and Upgrades
- Coal Combustion Waste Landfill
- Bottom Ash and Fly Ash Handling
- Mercury Monitoring (MATS)
- Dry Fly Ash Handling Conversion

(Cont'd on Sheet No. 29-7)

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# TARIFF E.S. (Cont'd) (Environmental Surcharge)

## RATE (Cont'd)

7. The monthly environmental surcharge shall be filed with the Commission ten (10) days before it is scheduled to go into effect, along with all necessary supporting data to justify the amount of the adjustments which shall include data and information as may be required by the Commission.

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Linda C. Bridwell Executive Director

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# TARIFF C.C. (Capacity Charge)

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#### **AVAILABILITY OF SERVICE.**

To Tariffs R.S., R.S.D., R.S.-L.M.-T.O.D., R.S.-T.O.D., Experimental R.S.-T.O.D. 2, G.S., S.G.S.-T.O.D., M.G.S.-T.O.D., L.G.S., L.G.S.-T.O.D., I.G.S. C.S.-I.R.P., C.S. Coal, M.W., O.L., and S.L.

RATE.

Service Tariff

All Other

I.G.S.

Energy Charge per KWH per month

\$ 0.001561

\$ 0.000783

#### RATE CALCULATION.

- 1. Pursuant to the final order of the Kentucky Public Service Commission in Case No. 2004-00420 and the Settlement and Stipulation Agreement dated October 20, 2004 as filed and approved by the Commission, Kentucky Power Company is to recover from retail ratepayers the supplemental annual payments tied to the 18-year extension of the Rockport Unit Power Service Agreement (UPSA). Kentucky Power will apply surcharges designed to enable recovery from each tariff class of customers, an annual supplemental payment of \$5.1 million annually in Years 2005 through 2009, and then increases to \$6.2 million annually in Years 2010 through 2021, and then decreases to \$5,792,329 in Year 2022.
- Kentucky Power will be entitled to receive these annual supplemental payments in addition to the base retail rates established by the Commission. The costs associated with the underlying Rockport Unit 1 and 2 UPSA will continue to be included in base rates.
- 3. The increased annual revenues will be generated by two different KWH rates, one for I.G.S. tariff customers and one for All Other tariff customers.
- The allocation of the additional revenues to be collected from the I.G.S. tariff customers and All Other tariff customers will be based upon the total annual revenue of each of the two-customer classes. Once the additional revenues have been allocated between the two customer classes based upon total annual Kentucky retail revenue, the additional revenue will be collected within the two customer classes (I.G.S. and All Other tariffs) on a KWH basis. The KWH rate to be applied to each of these two customer class groups shall be sufficient to generate that portion of the total increase in annual revenues equal to the percentage of total annual revenues produced by each of the two customer class groups (I.G.S. and All Other tariffs).
- 5. The Stipulation and Settlement Agreement is made upon the express agreement by the Parties that the receipt by Kentucky Power of the additional revenues called for by Section III(1)(a) and III(1)(b) shall be accorded the ratemaking treatment set out in Section III. In any proceeding affecting the rates of Kentucky Power during the extension of the UPSA under this Stipulation and Settlement Agreement, the provisions of Section III are an express exception to Section VI(4) of the Stipulation and Settlement Agreement.
- 6. The Capacity Charge factors will be applied to bills monthly and will be shown on the Customer's bill as a separate line item.

(Cont'd on Sheet No. 30-2)

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CANCELLING P.S.C. KY. NO. XX SHEET NO. 30-2 T

# TARIFF C.C. (Capacity Charge) Cont'd

## RATE CALCULATION. (Cont'd)

7. The capacity charge will be adjusted annually to recover amounts authorized by the Commission.

The annual adjustment shall be determined as follows:

- A. Calculate the revenue over / under collection for the previous 12 month period, REVbilled REVsettlement = REVdiff
- B. Calculate the revenue requirement for the upcoming 12 month period, REVsettlement + REVdiff = REVauthorized
- C. Calculate Capacity Charge Rates for the upcoming 12 month period,

IGS Capacity Charge =	REVauthorized x (REVIGS / REVTotal)kWhIGS
All Other Capacity Charge =	REVauthorized x (REVAll Other / REVTotal)
o the supersity offering	kWhAll Other

Where:

"REVTotal" is the total revenue billed during the most recently available 12 month period.

"REVIGS" is the total IGS customer class revenue billed during the most recently available 12 month period.

"REVAll Other" is the revenue billed from all other customer classes during the most recently available 12 month period.

"kWhIGS" is the IGS customer class total kWh billed during the most recently available 12 month period.

"kWhAll Other" is the total kWh billed to all customer classes other than IGS during the most recently available 12 month period.

"REVbilled" is the total capacity charge revenue billed during the most recently available 12 month period.

"REVsettlement" is the \$6.2 million amount authorized to be billed during the 12 month period.

"REVdiff" is the difference between capacity charge revenues billed and what the Company is authorized to collect in a 12 month period.

"REVauthorized" is the capacity charge amount to be billed over the upcoming 12 month period.

8. The annual Capacity Charge Adjustment shall be filed with the Commission ten (10) days before it is scheduled to go into effect, along with all necessary supporting data to justify the amount of the adjustments, which shall include data and information as may be required by the Commission.

Copies of all documents required to be filed with the Commission shall be open and made available for public inspection at the office of the Public Service Commission pursuant to the provisions of KRS 61.870 to 61.884.

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# RIDER R.P.O. (Renewable Power Option Rider)

### **AVAILABILITY OF SERVICE.**

Available to customers taking metered service under the Company's R.S., R.S.D., R.S.-L.M.-T.O.D., R.S.-T.O.D., Experimental R.S.-T.O.D.2, G.S., S.G.S.-T.O.D., M.G.S.-T.O.D., L.G.S., L.G.S.-T.O.D., I.G.S., C.S.-I.R.P. C.S. Coal, and M.W. tariffs.

Participation in this program under Option A may be limited by the ability of the Company to procure renewable energy certificates (RECs) from Renewable Resources. If the total of all kWh under contract under this Rider equals or exceeds the Company's ability to procure RECs, the Company may suspend the availability of this Rider to new participants.

Customers who wish to directly purchase the electrical output and all associated environmental attributes from a renewable energy generator may contract bilaterally with the Company under Option B. Option B is available to customers taking metered service under the Company's I.G.S., and C.S.-I.R.P. tariffs, or multiple L.G.S. tariff accounts with common ownership under a single parent company that can aggregate multiple accounts to exceed 1000 kW of peak demand.

#### CONDITIONS OF SERVICE.

Customers who wish to support the development of electricity generated by Renewable Resources may under Option A contract to purchase each month a specific number of fixed kWh blocks, or choose to cover all of their monthly usage.

Renewable Resources shall be defined as Wind, Solar Photovoltaic, Biomass Co-Firing of Agricultural crops and all energy crops, Hydro (as certified by the Low Impact Hydro Institute), Incremental Improvements in Large Scale Hydro, Coal Mine Methane, Landfill Gas, Biogas Digesters, Biomass Co-Firing of All Woody Waste including mill residue, but excluding painted or treated lumber. All REC's purchased under Option A of this tariff shall be retained or retired by the Company on behalf of customers.

#### RATES.

### Option A:

In addition to the monthly charges determined according to the Company's tariff under which the customer takes metered service, the customer shall also pay the following rate for the REC option of their choosing. The charge will be applied to the customer's bill as a separate line item.

The Company will provide customers at least 30-days' advance notice of any change in the Rate. At such time, the customer may modify or cancel their automatic monthly purchase agreement. Any cancellation will be effective at the end of the current billing period when notice is provided.

#### A1. Solar RECs:

Block Purchase: Charge (\$ per 100 kWh block): \$ 1.00/month

All Usage Purchase: Charge: \$0.010/kWh consumed

(Cont'd on Sheet 31-2)

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TITLE: Vice President, Regulatory & Finance

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In Case No. 2020-00174 dated January 13, 2021; January 15, 2021; February 22, 2021, and March 17

KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

, 2021, and Warch 17, 2021

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## RIDER R.P.O. (Renewable Power Option Rider)

### RATES. (Cont'd)

### A2. Wind RECs:

Block Purchase: Charge (\$ per 100 kWh block): \$ 1.00/month

All Usage Purchase: Charge: \$0.010/kWh consumed

#### A3. Hydro & Other RECs:

Block Purchase: Charge (\$ per 100 kWh block): \$ 0.30/month

All Usage Purchase: Charge: \$0.003/kWh consumed

#### Option B:

Charges for service under option B of this Tariff will be set forth in the written agreement between the Company and the Customer and will reflect a combination of the firm service rates otherwise available to the Customer and the cost of the renewable energy resource being directly contracted for by the Customer.

#### TERM.

This is a voluntary program.

Under Option A Customers may participate through a one-time purchase, or establish an automatic monthly purchase agreement. Any payments under this program are nonrefundable. Customers participating under Option A may terminate service under this Rider by notifying the Company with at least thirty (30) days prior notice.

Under Option B, the term of the agreement will be determined in the written agreement between the Company and the Customer.

## SPECIAL TERMS AND CONDITIONS.

This Rider is subject to the Company's Terms and Conditions of Service and all provisions of the tariff under which the customer takes service, including all payment provisions. The Company may deny or terminate service under this Rider to customers who are delinquent in payment to the Company.

Funds collected under this Renewable Power Option Rider will be used solely to purchase RECs for the program.

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CANCELLING P.S.C. KY. NO. 11 ORIGINAL SHEET NO. 32-1

#### RIDER A.F.S.

## (Alternate Feed Service Rider)

#### **AVAILABILITY OF SERVICE.**

Standard Alternate Feed Service (AFS) is a premium service providing a redundant distribution service provided through a redundant distribution line and distribution station transformer, with automatic or manual switch-over and recovery, which provides increased reliability for distribution service. Rider AFS applies to those customers requesting new or upgraded AFS after the effective date of this rider. Rider AFS also applies to existing customers that desire to maintain redundant service when the Company must make expenditures in order to continue providing such service.

Rider AFS is available to customers who request a primary voltage alternate feed and who normally take service under Tariffs M.G.S.-TOD, L.G.S., L.G.S.-TOD, I.G.S., or M.W. for their basic service requirements, provided that the Company has adequate capacity in existing distribution facilities, as determined by the Company, or if changes can be made to make capacity available. AFS provided under this rider may not be available at all times, including emergency situations.

## SYSTEM IMPACT STUDY CHARGE.

The Company shall charge the customer for the actual cost incurred by the Company to conduct a system impact study for each site reviewed. The study will consist of, but is not limited to, the following: (1) identification of customer load requirements, (2) identification of the potential facilities needed to provide the AFS, (3) determination of the impact of AFS loading on all electrical facilities under review, (4) evaluation of the impact of the AFS on system protection and coordination issues including the review of the transfer switch, (5) evaluation of the impact of the AFS request on system reliability indices and power quality, (6) development of cost estimates for any required system improvements or enhancements required by the AFS, and (7) documentation of the results of the study. The Company will provide to the customer an estimate of charges for this study.

#### **EQUIPMENT AND INSTALLATION CHARGE.**

The customer shall pay, in advance of construction, a nonrefundable amount for all equipment and installation costs for all dedicated and/or local facilities provided by the Company required to furnish either a new or upgraded AFS. The payment shall be grossed-up for federal and state taxes, assessment fees and gross receipts taxes. The customer will not acquire any title in said facilities by reason of such payment. The equipment and installation charge shall be determined by the Company and shall include, but not be limited to, the following: (1) all costs associated with the AFS dedicated and/or local facilities provided by the Company and (2) any costs or modifications to the customer's basic service facilities.

The customer is responsible for all costs associated with providing and maintaining phone service for use with metering to notify the Company of a transfer of service to the AFS or return to basic service.

## TRANSFER SWITCH PROVISION.

In the event the customer receives basic service at primary voltage, the customer shall install, own, maintain, test, inspect, operate and replace the transfer switch. Customer-owned switches are required to be at primary voltage and must meet the Company's engineering, operational and maintenance specifications. The Company reserves the right to inspect the customer-owned switches periodically and to disconnect the AFS for adverse impacts on reliability or safety.

(Cont'd on Sheet No. 32-2)

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# RIDER A.F.S. (Cont'd) (Alternate Feed Service Rider)

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## TRANSFER SWITCH PROVISION (CONTINUED).

Existing AFS customers, who receive basic service at primary voltage and are served via a Company-owned transfer switch and control module, may elect for the Company to continue ownership of the transfer switch. When the Company-owned transfer switch and/or control module requires replacement, and the customer desires to continue the AFS, the customer shall pay the Company the total cost to replace such equipment which shall be grossed up for federal and state taxes, assessment fees and gross receipts taxes. In addition, the customer shall pay a monthly rate of \$15.75 for the Company to annually test the transfer switch / control module and the customer shall reimburse the Company for the actual costs involved in maintaining the Company-owned transfer switch and control module.

In the event a customer receives basic service at secondary voltage and requests AFS, the Company will provide the AFS at primary voltage. The Company will install, own, maintain, test, inspect and operate the transfer switch and control module. The customer shall pay the Company a nonrefundable amount for all costs associated with the transfer switch installation. The payment shall be grossed-up for federal and state taxes, assessment fees and gross receipts taxes. In addition, the customer is required to pay the monthly rate for testing and ongoing maintenance costs defined above. When the Company-owned transfer switch and/or control module requires replacement, and the customer desires to continue the AFS, customer shall pay the Company the total cost to replace such equipment which shall be grossed up for federal and state taxes, assessment fees and gross receipts taxes.

After a transfer of service to the AFS, a customer utilizing a manual or semi-automatic transfer switch shall return to the basic service within one (1) week or as mutually agreed to by the Company and customer. In the event system constraints require a transfer to be expedited, the Company will endeavor to provide as much advance notice as possible to the customer. However, the customer shall accomplish the transfer back to the basic service within ten minutes if notified by the Company of system constraints. In the event the customer fails to return to basic service within 12 hours, or as mutually agreed to by the Company and customer, or within ten minutes of notification of system constraints, the Company reserves the right to immediately disconnect the customer's load from the AFS source. If the customer does not return to the basic service as agreed to, or as requested by the Company, the Company may also provide 30 days' notice to terminate the AFS agreement with the customer.

The customer shall make a request to the Company for approval three days in advance for any planned switching.

### MONTHLY AFS CAPACITY RESERVATION DEMAND CHARGE.

Monthly AFS charges will be in addition to all monthly basic service charges paid by the customer under the applicable tariff.

The Monthly AFS Capacity Reservation Demand Charge for the reservation of distribution station and primary lines is \$6.38 per kW.

## AFS CAPACITY RESERVATION.

The customer shall reserve a specific amount of AFS capacity equal to, or less than, the customer's average maximum requirements, but

In no event shall the customer's AFS capacity reservation under this rider exceed the capacity reservation for the customer's basic service under the appropriate tariff. The Company shall not be required to supply AFS capacity in excess of that reserved except by mutual agreement.

(Cont'd on Sheet No. 32-3)

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## RIDER A.F.S. (Cont'd) (Alternate Feed Service Rider)

## **AFS CAPACITY RESERVATION (continued).**

If the customer plans to increase the AFS demand at anytime in the future, the customer shall promptly notify the Company of such additional demand requirements. The customer's AFS capacity reservation and billing will be adjusted accordingly. The customer will pay the Company the actual costs of any and all additional dedicated and/or local facilities required to provide AFS in advance of construction and pursuant to an AFS construction agreement. If customer exceeds the agreed upon AFS capacity reservation, the Company reserves the right to disconnect the AFS. If the customer's AFS metered demand exceeds the agreed upon AFS capacity reservation, which jeopardizes company facilities or the electrical service to other customers, the Company reserves the right to disconnect the AFS immediately. If the Company agrees to allow the customer to continue AFS, the customer will be required to sign a new AFS agreement reflecting the new AFS capacity reservation. In addition, the customer will promptly notify Kentucky Power regarding any reduction in the AFS capacity reservation.

The customer may reserve partial-load AFS capacity, which shall be less than the customer's full requirements for basic service subject to the conditions in this provision. Prior to the customer receiving partial-load AFS capacity, the customer shall be required to demonstrate or provide evidence to the Company that they have installed demand-controlling equipment that is capable of curtailing load when a switch has been made from the basic service to the AFS. The Company reserves the right to test and verify the customer's ability to curtail load to meet the agreed upon partial-load AFS capacity reservation.

### DETERMINATION OF BILLING DEMAND.

#### Full-Load Requirement:

For customers requesting AFS equal to their load requirement for basic service, the AFS billing demand shall be taken each month as the single-highest 15-minute integrated peak as registered during the month by a demand meter or indicator, but the monthly AFS billing demand so established shall in no event be less than the greater of (a) the customer's AFS capacity reservation, or (b) the customer's highest previously established monthly billing demand on the AFS during the past 11 months, or (c) the customer's basic service capacity reservation, or (d) the customer's highest previously established monthly billing demand on the basic service during the past 11 months.

## Partial-Load Requirement:

For customers requesting partial-load AFS capacity reservation that is less than the customer's full requirements for basic service, the AFS billing demand shall be taken each month as the single-highest 15-minute integrated peak on the AFS as registered during the month by a demand meter or indicator, but the monthly AFS billing demand so established shall in no event be less than the greater of (a) the customer's AFS capacity reservation, or (b) the customer's highest previously established monthly metered demand on the partial-load AFS during the past 11 months.

## **DELAYED PAYMENT CHARGE.**

This tariff is due and payable in full on or before the due date stated on the bill. On all accounts not so paid, an additional charge of 5% of the unpaid balance will be made.

(Cont'd on Sheet No. 32-4)

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PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

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# RIDER A.F.S. (Cont'd) (Alternate Feed Service Rider)

## TERMS OF CONTRACT.

The AFS agreement under this rider will be made for a period of not less than one year and shall remain in effect thereafter until either party shall give at least six months' written notice to the other of the intention to discontinue service under the terms of this rider.

Disconnection of AFS under this rider due to reliability or safety concerns associated with customer-owned transfer switches will not relieve the customer of payments required hereunder for the duration of the agreement term.

### SPECIAL TERMS AND CONDITIONS.

This rider is subject to the Company's Terms and Conditions of Service.

Upon receipt of a request from the customer for non-standard AFS (AFS which includes unique service characteristics different from standard AFS), the Company will provide the customer with a written estimate of all costs, including system impact study costs, and any applicable unique terms and conditions of service related to the provision of the non-standard AFS. An AFS agreement will be filed with the Commission under the 30-day filing procedures. The AFS agreement shall provide full disclosure of all rates, terms and conditions of service under this rider, and any and all agreements related thereto.

The Company will have sole responsibility for determining the basic service circuit and the AFS circuit.

The Company assumes no liability should the AFS circuit, transfer switch, or other equipment required to provide AFS fail to operate as designed, is unsatisfactory, or is not available for any reason.

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U.G.R.T. (Utility Gross Receipts Tax) (School Tax)

## APPLICABLE.

To all Tariff Schedules.

#### RATE.

This tariff schedule is applied as a rate increase pursuant to KRS 160.617 to all other tariff schedules for the recovery by the utility of the utility gross receipts license tax imposed by the applicable school district pursuant to KRS 160.613 with respect to the customer's bill. The current utility gross receipts license tax for school imposed by a school district may not exceed 3%. The utility gross receipts license tax shall appear on the customer's bill as a separate line item.

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CANCELLING P.S.C. KY. NO. 11 ORIGINAL SHEET NO. 34-1

K.S.T. (Kentucky Sales Tax)

## APPLICABLE.

To all Tariff Schedules.

### RATE.

This tariff schedule is applied as a rate increase to all other applicable tariff schedules for the recovery by the utility pursuant to KRS 139.210 of the Kentucky Sales Tax imposed by KRS 139.200 for all customers not exempted by KRS 139.470(8). For any other exempt customers, an exemption certification must be received and on file with the Company. The Kentucky Sales Tax rate is currently imposed by the Commonwealth of Kentucky at the rate of 6%. The Kentucky Sales Tax shall appear on the customer's bill as a separate line item.

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# TARIFF P.P.A. (Purchase Power Adjustment)

#### APPLICABLE.

To Tariffs R.S., R.S.D., R.S.-L.M.-T.O.D., R.S.-T.O.D., Experimental R.S.-T.O.D.2, G.S., S.G.S.-T.O.D., M.G.S.-T.O.D., L.G.S., L.G.S.-T.O.D., I.G.S., C.S. – I.R.P., C.S. Coal, M.W., O.L. and S.L.

#### RATE.

The annual purchase power adjustment factor will be computed using the following formula:

1. Annual Purchase Power Net Costs (PPANC)

PPANC = N+CSIRP+OATT+RKP+RP-BPP

Where:

BPP = The annual amount of purchase power costs included in base rates, \$98,165,699.

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a. N = The annual cost of power purchased by the Company through new Purchase Power Agreements and purchased power expense from avoided cost payments to net metering customers under tariff N.M.S.II. All new purchase power agreements shall be approved by the Commission to the extent required by KRS 278.300.

b. CSIRP = The net annual cost of any credits provided to customers under Tariff C.S.-I.R.P. and Tariff D.R.S. for interruptible service above or below the \$454,997 included in BPP.

- c. OATT = 100% The net annual PJM load-serving entity Open Access Transmission Tariff Charges above or below the \$96,896,495 included in BPP, less the transmission return difference pursuant to the Commission approved Settlement agreement in Case No. 2017-00179.
- d. RKP = Rockport related items includable in Tariff PPA pursuant to the Commission approved Settlement agreement in Case No. 2017-00179:
  - 1. Increase in Rockport collection resulting from reduction in base rate deferral;
  - 2. Rockport deferral amount to be recovered;
  - 3. Rockport fixed cost savings; and
  - 4. Rockport offset estimate and true-up.
  - 5. Final (over)/under recovery associated with tariff CC following its expiration
- e. RP = The cost of fuel related to substitute generation less the cost of fuel which would have been used in plants suffering forced generation or transmission outages above or below the \$814,208 included in BPP.

(Cont'd on Sheet No. 35-2)

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#### TARIFF P.P.A. (Cont'd) (Purchase Power Adjustment)

## RATES.

Tariff Class	\$/kWh	\$/kW
R.S., R.SL.MT.O.D., R.ST.O.D., and R.ST.O.D. 2, R.S.D.	\$0.00367	
S.G.ST.O.D.	\$0.00301	
M.G.ST.O.D.	\$0.00301	
G.S.	\$0.00301	
L.G.S., L.G.ST.O.D.	\$0.00008	\$0.81
L.G.SL.MT.O.D.	\$0.00262	
I.G.S. and C.SI.R.P.	\$0.00007	\$0.97
M.W.	\$0.00210	
O.L.	\$0.00007	
S.L.	\$0.00007	

The kWh factor as calculated above will be applied to all billing kilowatt-hours for those tariff classes listed above. The kW factor as calculated above will be applied to all on-peak and minimum billing demand kW for the LGS, LGS-T.O.D,IGS, and CS-I.R.P. tariff classes.

The Purchase Power Adjustment factors shall be modified annually using the following formula:

The Purchase Power Adjustment factors shall be determined as follows:

For all tariff classes without demand billing:

(Cont'd on Sheet No. 35-3)

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#### TARIFF P.P.A. (Cont'd) (Purchase Power Adjustment)

#### RATES. (Cont'd)

#### Where:

- 1. "PPA(D)" is the actual annual retail PPA demand-related costs, plus any prior review period (over)/under recovery.
- 2. "PPA(E) is the actual annual retail PPA energy-related costs, plus any prior review period (over)/under recovery.
- 3. "BE<sub>Class</sub>" is the historic annual retail jurisdictional billing kWh for each tariff class for the current year.
- 4. "BD<sub>class</sub>" is the historic annual retail jurisdictional billing kW for each applicable tariff class for the current year.
- 5. "CP<sub>Class</sub>" is the coincident peak demand for each tariff class estimated as follows:

Tariff Class	BE <sub>Class</sub>	CP/kWh Ratio	CP <sub>Class</sub>
R.S., R.SL.MT.O.D., R.ST.O.D., and R.ST.O.D. 2, R.S.D.		0.02428%	
S.G.ST.O.D.		0.01962%	
M.G.ST.O.D.		0.01962%	
G.S.		0.01962%	
L.G.S., L.G.ST.O.D		0.01798%	
L.G.SL.MT.O.D.		0.01798%	
I.G.S. and C.SI.R.P.		0.01232%	
M.W.		0.01326%	
O.L.		0.00263%	
S.L.		0.00262%	

- 6. "BE<sub>Total</sub>" is the sum of the BE<sub>Class</sub> for all tariff classes.
- 7. " $CP_{Total}$ " is the sum of the  $CP_{Class}$  for all tariff classes.
- 8. The factors as computed above are calculated to allow the recovery of Uncollectible Accounts Expense of 0.41% and the KPSC Maintenance Fee of 0.1956% and other similar revenue based taxes or assessments occasioned by the Purchase Power R Adjustment Rider revenues.
- 9. The annual PPA factors shall be filed with the Commission by August 15 of each year, with rates to begin with the October billing period, along with all necessary supporting data to justify the amount of the adjustments, which shall include data and information as may be required by the Commission.

Copies of all documents required to be filed with the Commission shall be open and made available for public inspection at the office of the Public Service Commission pursuant to the provisions of KRS 61.870 to 61.884.

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RIDER D.R.S.
(Demand Response Service)

**AVAILABILITY OF SERVICE** 

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Available for Demand Response Service ("DRS") to customers that take firm service from the Company under a standard demandmetered rate schedule and that have the ability to curtail load under the provisions of this Schedule. Each customer electing service under this Schedule shall contract, via a Contract Addendum, for a definite amount of firm and interruptible capacity agreed to by the Company and the customer. The interruptible capacity amount shall not exceed the Customer's average on-peak demand for the past 12 months. The Company reserves the right to limit the aggregate amount of interruptible capacity contracted for under this Schedule. The Company will take Customer DRS requests in the order received. Customers taking service under this Schedule shall not participate in any PJM demand response program for Capacity.

## **CONDITIONS OF SERVICE**

- 1. The Company, in its sole discretion, reserves the right to call for curtailments of the Customer's interruptible load at any time. Such interruptions shall be designated as "Discretionary Interruptions" and shall not exceed sixty (60) hours of interruption during any Interruption Year. The "Interruption Year" shall be defined as the consecutive twelve (12) month period commencing on June 1 and ending on May 31. Should this Schedule become effective on a date other than June 1, the period from the effective date of this Schedule until the next May 31 after such effective date shall be referred to as the "Initial Partial Interruption Year." In any Initial Partial Interruption Year, Discretionary Interruptions shall not exceed a number of hours equal to the product of the number of full calendar months during the Initial Partial Interruption Year and the annual interruption hours divided by 12.
- 2. The monthly Interruptible Demand Credit Rate shall be \$5.50/kW-month, credited to participating Customers' bills for standard tariff service.
- 3. The Company will endeavor to provide the Customer with as much advance notice as possible of a Discretionary Interruption. The Company shall provide notice at least 90 minutes prior to the commencement of a Discretionary Interruption. Such notice shall include both the start and end time of the Discretionary Interruption. For any Discretionary Interruption, the Customer shall be permitted to choose not to interrupt and to continue to operate during the event, provided that the Customer pays the DRS Event Failure Charge. Discretionary Interruptions shall begin and end on the clock hour.
- 4. Discretionary Interruption events shall be three (3) consecutive hours and there shall not be more than six (6) hours of Discretionary Interruption per day.
- 5. The Company will inform the Customer regarding the communication process for notices to curtail. The Customer is ultimately responsible for receiving and acting upon a curtailment notification from the Company.

(Cont'd On Sheet 36-2)

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RIDER D.R.S. (Cont'd)
(Demand Response Service)

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6. The minimum interruptible capacity contracted for under this Schedule will be 500 kW. Customers with multiple electric service accounts at a single location may aggregate those individual accounts to meet the 500 kW minimum interruptible capacity requirement under this Schedule; however, the interruptible capacity committed for each individual account shall not be less than 100 kW.

7. All Customer meter data required under this Schedule shall be determined from 15- or 30-minute integrated metering, as applicable based on the Customer's rate schedule, with remote interrogation capability and demand recording equipment. Such metering equipment shall be owned, installed, operated, and maintained by the Company.

8. NO RESPONSIBILITY OR LIABILITY OF ANY KIND SHALL ATTACH TO OR BE INCURRED BY THE COMPANY FOR, OR ON ACCOUNT OF, ANY LOSS, COST, EXPENSE, OR DAMAGE CAUSED BY OR RESULTING FROM, EITHER DIRECTLY OR INDIRECTLY, ANY CURTAILMENT OF SERVICE UNDER THE PROVISIONS OF THIS SCHEDULE.

#### INTERRUPTIBLE CAPACITY RESERVATION

The Customer shall have established a total Capacity Reservation under its Contract for Service under the applicable demand-metered rate schedule. In a Contract Addendum, the Customer shall designate a set amount of kW of that total Capacity Reservation as the Firm Service Capacity Reservation, which is not subject to interruption under this Schedule. The Interruptible Capacity Reservation shall be the Customer's average on-peak demand over the past 12 months in excess of the Firm Service Capacity Reservation.

The Interruptible Capacity Reservation is subject to annual review and adjustment by the Company and the Customer.

#### MONTHLY INTERRUPTIBLE DEMAND CREDIT

The monthly Interruptible Demand Credit shall be equal to the product of Demand Credit per kW-month and the Customer's Interruptible Capacity Reservation kW.

### INTERRUPTION EVENT COMPLIANCE

A Customer will be determined to have failed a DRS interruption event if the Customer has not achieved at least ninety (90) percent of their agreed upon interruptible capacity reservation during the duration of a DRS event.

(Cont'd On Sheet 36-3)

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TITLE: Vice President, Regulatory & Finance

By Authority of Orders of the Public Service Commission

In Case No. 2020-00174 dated January 13, 2021; January 15, 2021; February 22, 2021, and March 17, 2021

KENTUCKY
PUBLIC SERVICE COMMISSION

Linda C. Bridwell Executive Director

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March 17, 2021 **1/14/202** 

CANCELLING P.S.C. KY. NO. XX\_\_\_\_\_ SHEET NO. 36-3

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# RIDER D.R.S. (Cont'd) (Demand Response Service)

#### DRS EVENT FAILURE CHARGE

A Customer that fails one or more DRS interruption events shall repay a portion of the Customer's total annual DRS Interruptible Demand Credit per the following table:

Number of Failures	Penalty Payment %
Failure 1	5%
Failure 2	10%
Failure 3	10%
Failure 4	15%
Failure 5	15%
Failure 6	20%
Failure 7	25%
Totals	100%

The DRS Event Failure Charge equals the Customer's Interruptible Capacity Reservation kW, times the DRS Interruptible Demand Credit Rate, times 12, times the corresponding DRS Event Failure Charge Penalty Payment % set forth in the table above. Under no circumstance will a Customer be charged for DRS interruption event failures in an amount greater than the annual amount of DRS Interruptible Demand Credits the Customer would have or has received in an Interruption Year.

## **SETTLEMENT**

The net amount of the monthly Interruptible Demand Credit and any DRS Event Failure Charge will be included in the Customer's monthly bill for electric service under its demand-metered rate schedule.

## **TERM**

A Contract Addendum term under this Schedule shall be at least one (1) Interruption Year and shall continue for each subsequent Interruption Year until either party provides written notice no later than April 2 of its intention to discontinue service effective June 1 under the terms of this Schedule. Any participating Customer must participate for at least one full Interruption Year, therefore a Customer that begins service under this rider during the Initial Partial Interruption Year must then also participate in the subsequent full Interruption Year.

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# TARIFF E.D.R. (Economic Development Rider)

#### **AVAILABILITY OF SERVICE.**

To encourage economic development in the Company's service territory, limited-term reductions in billing demand charges described herein are offered to qualifying new and existing retail customers who make application for service under this Rider.

Service under this Economic Development Rider (EDR) is intended for specific types of commercial and industrial customers whose operations, by their nature, will promote sustained economic development based on plant and facilities investment and job creation. Availability is limited to customers on a first-come, first-served basis until such time as a total of 250 MW of new load has been added to Kentucky Power's system under the EDR. The EDR is available to commercial and industrial customers served under Tariffs L.G.S. and I.G.S. T who meet the following requirements:

- (1) A new customer must have at least a monthly maximum billing demand of 500 kW. An existing customer must increase its monthly maximum billing demand by at least 500 kW over the current Base Maximum Billing Demand in order to receive the Incremental Billing Demand Discount (IBDD).
- (2) A new customer, or the business expansion by an existing customer, will receive a Supplemental Billing Demand Discount (SBDD) for creating and sustaining at least 25 new permanent full time jobs over the contract term at the service location. The Company reserves the right to verify job counts. Failure to demonstrate the creation of new employment positions or to maintain the employment during the contract term will result in the termination of the supplemental discount.
- (3) The customer must demonstrate to the Company's satisfaction that, absent the availability of this EDR, the qualifying new or increased electrical demand would be located outside of the Company's service territory or would not be placed in service.

#### TERMS AND CONDITIONS.

- (1) The Company will offer the EDR to qualifying customers with new or increased load when the Company has sufficient generating capacity available. When sufficient generating capacity is not available, the Company will procure the additional capacity on the customer's behalf. The cost of capacity procured on behalf of the customer shall reduce on a dollar-for-dollar basis the customer's IBDD and SBDD. Such reduction shall be capped so that the customer's maximum demand charge shall be the non-discounted tariff demand charge. The reduction will be applied in reverse chronological order beginning with the most recent customer to receive discounted service under this tariff. The last customer to sign up for the EDR tariff would be the first customer T responsible for paying the cost of incremental capacity purchases. In any year during the discount period in which the customer pays the full tariff demand charge for all twelve months, the Company will reduce the term of the contract by one year.
- (2) The new or increased load cannot accelerate the Company's plans for additional generating capacity during the period for which the customer receives a demand discount. Customers receiving Temporary Service are not eligible for this EDR.
- (3) To receive service under this EDR, the customer shall make written application to the Company with sufficient information contained therein to determine the customer's eligibility for service. At a minimum, such information must include:

(Cont'd on Sheet 37-2)

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# TARIFF E.D.R. (Cont'd) (Economic Development Rider)

#### TERMS AND CONDITIONS-(Cont'd).

- a. A description and good faith estimate of the new or increased load to be served during each year of the contract,
- b. The number of new employees or jobs that will be added as a result of the new load,
- c. A description of the anticipated capital investment,
- d. A description of all other federal, state or local economic development tax incentives, grants, or any other incentives or assistance associated with the new or expanded project, and
- e. A statement that without the EDR discount, the customer would locate elsewhere or would choose not to expand within T Kentucky Power's service territory.
- (3) For new and existing customers, billing demands for which reductions will be applicable under this EDR shall be for service at a T new service location or expanded production at an existing facility and not merely the result of a change of ownership. Relocation of the delivery point of the Company's service, moving existing equipment from another Company-served location or load transfers T from another Company-served location do not qualify as a new service location. Relocating existing facilities from within the Company's service territory shall not disqualify the customer from the IBDD as long as the new relocated facility exceeds the Base Maximum Billing Demand of the previous facility by the minimum required amount.
- (4) For existing customers, billing demands for which deductions will be applicable under this EDR shall be the result of an increase in business activity and not merely the result of resumption of normal operations following a force majeure, strike, equipment failure, renovation or refurbishment, or other such abnormal operating condition. In the event that such an occurrence has taken place prior to the date of the application by the customer for service under this EDR, the monthly Base Maximum Billing Demand shall be adjusted as appropriate for this analysis to eliminate the effects of such occurrence.
- (5) Service under the EDR will be offered under the applicable Tariff L.G.S. or I.G.S. schedule. An EDR will be filed as a Special Contract T and must be approved by the Kentucky Public Service Commission before it can be implemented. The total contract period is equal to twice the number of years for which the customer receives a demand discount. The special contract term will be for two (2), four (4) six (6), eight (8), or ten (10) years only.
- (6) The IBDD and the SBDD, if applicable, begin when the customer's new or expanded operations are billed for service under this Rider. Temporary jobs created during the construction of new facilities or the expansion phase of existing operations are not eligible to be counted as permanent jobs for the purposes of this EDR.
- (7) If construction of new or expanded local distribution and/or transmission related facilities by the Company is required in order to provide the additional service, the customer may be required to make a contribution-in-aid of construction (CIAC) for the installed cost of such facilities pursuant to the provisions of the Company's Terms and Conditions of Service. The total cost of the CIAC, including gross-up by the effect of applicable taxes, will be recovered over the life of the EDR contract period, with no less than 80% recovered during the period for which the customer receives a demand discount. If the customer breaches the terms of the contract or ends the contract prematurely, any unpaid contribution-in-aid of construction must be paid to the Company, and any EDR discounts provided to the customer must be repaid to the Company. CIAC payment provided under this Rider supersedes other payment provisions only in the Company's Terms and Conditions Sheet 2-5 Section 9.

(Cont'd on Sheet 37-3)

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# TARIFF E.D.R. (Cont'd) (Economic Development Rider)

## TERMS AND CONDITIONS (Cont'd).

(8) The L.G.S., and I.G.S. tariffs each contain a monthly minimum billing demand charge provision. The minimum demand charge provision is waived for EDR customers for up to 36 months depending upon the length of the contract. The provision is waived for the first 36 months of a 10 year contract, the first 24 months of an 8 year contract and the first 12 months of a 6 year contract. If during the special contract discount period, the customer's monthly demand falls below the minimum billing demand level for four (4) consecutive months or six (6) months total in a contract year, then the EDR discount will not be applied and the appropriate tariff minimum billing demand charge provision will be in force until the customer achieves the minimum billing demand level. Applicable EDR discounts will be applied to the qualifying incremental maximum billing demand only and will appear as a separate line item on the customer's bill.

### DETERMINATION OF MONTHLY QUALIFYING INCREMENTAL BILLING DEMAND.

For the purposes of this Rider, the monthly qualifying incremental billing demand will be calculated in the following manner:

Where the new qualifying incremental demand resides in new facilities (or separate facilities for existing customers), those facilities may be metered on a separate meter according to Tariffs L.G.S., I.G.S., for the current billing period and the incremental billing demand will be calculated based upon that facility's meter readings.

Where the new qualifying incremental demand resides in a customer's existing facility with sufficient service and metering capability to accommodate the business expansion, the qualifying incremental billing demand is equal to demand in excess of the Base Maximum Billing Demand. The Base Maximum Billing Demand for each billing month will be calculated by the Company as the average of the previous three years, corresponding month maximum billing demands, subject to Terms and Conditions Items (3) and (4), and will be agreed to by the customer in advance.

## DETERMINATION OF INCREMENTAL BILLING DEMAND DISCOUNT.

Customers meeting all Availability of Service and Terms and Conditions above may contract for service for a period of up to ten (10) years, with a commensurate discount period of up to five (5) years. The qualifying incremental billing demand charge shall be reduced by 50%, 40%, 30%, 20%, 10% in the order of the Customer's choosing at the time of the contract filing. A sample illustration of an (IBDD) for a ten (10) year contract follows:

- (a) For the twelve consecutive monthly billings of the first contract year, the qualifying incremental billing demand charge shall be reduced by 50% from the applicable tariff L.G.S. or I.G.S., demand charge;
- (b) For the twelve consecutive monthly billings of the second contract year, the qualifying incremental billing demand charge shall be reduced by 40% from the applicable tariff L.G.S. or I.G.S., demand charge;
- (c) For the twelve consecutive monthly billings of the third contract year, the qualifying incremental billing demand charge shall be reduced by 30% from the applicable tariff L.G.S. or I.G.S., demand charge;

(Cont'd on Sheet 37-4)

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## TARIFF E.D.R. (Cont'd) (Economic Development Rider)

### DETERMINATION OF INCREMENTAL BILLING DEMAND DISCOUNT (Cont'd).

- (d) For the twelve consecutive monthly billings of the fourth contract year, the qualifying incremental billing demand charge shall be reduced by 20% from the applicable tariff L.G.S. or I.G.S., demand charge, but shall not be less than the applicable tariff rate schedule minimum billing demand;
- (e) For the twelve consecutive monthly billings of the fifth contract year, the qualifying incremental billing demand charge shall be reduced by 10% from the applicable tariff L.G.S. or I.G.S., demand charge, but shall not be less than the applicable tariff rate schedule T minimum billing demand; and
- (f) All subsequent monthly billings shall be at the full charges stated in the applicable tariff rate schedule for contract years six (6) through ten (10).

The starting point for the IBDD is dependent upon the length of contract: i.e., an eight (8) year contract will have four (4) years of discount and a maximum annual IBDD of 40% in one year. Similarly, a six (6) year contract will have three (3) years of discount and a maximum annual IBDD T of 30% in one year.

#### DETERMINATION OF SUPPLEMENTAL BLLING DEMAND DISCOUNT.

At the Company's discretion, a (SBDD) which is applicable to the monthly incremental billing demand charge is available to customers meeting all Availability of Service and Terms and Conditions above, and that create at least twenty five (25) new permanent job opportunities in the facility and that maintain those job opportunities in each discount year. The amount of additional discount is determined by the actual number of jobs maintained in each year. The order in which the SBDD is applied will follow the same order selected by the Customer for T the IBDD contract. A sample illustration of the SBDD for a ten (10) year contract follows

- (a) For the twelve consecutive monthly billings of the first contract year, the qualifying incremental billing demand charge shall be reduced an additional 5% for an increase of at least 50 jobs or 2.5% for an increase of at least 25 jobs;
- (b) For the twelve consecutive monthly billings of the second contract year, the qualifying incremental billing demand charge shall be reduced an additional 4.5% for an increase of at least 50 jobs or 2.0% for an increase of at least 25 jobs.
- (c) For the twelve consecutive monthly billings of the third contract year, the qualifying incremental billing demand charge shall be reduced an additional 4% for an increase of at least 50 jobs or 1.5% for an increase of at least 25 jobs;
- (d) For the twelve consecutive monthly billings of the fourth contract year, the qualifying incremental billing demand charge shall be reduced an additional 3.5% for an increase of at least 50 jobs or 1.0% for an increase of at least 25 jobs;

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# TARIFF E.D.R. (Cont'd) (Economic Development Rider)

## **DETERMINATION OF SUPPLEMENTAL BLLING DEMAND DISCOUNT (Cont'd).**

- (e) For the twelve consecutive monthly billings of the fifth contract year, the qualifying incremental billing demand charge shall be T reduced an additional 3% for an increase of at least 50 jobs or 0.5% for an increase of at least 25 jobs; and
- (f) All subsequent monthly billings shall be at the full charges stated in the applicable tariff rate schedule for contract years six (6) T through ten (10)

The length of the SBDD shall be identical to the length of the IBDD. The starting point for the discount will be commensurate with the contract length, i.e., an eight (8) year contract will have four (4) years of discount with a maximum SBDD of either 4.5% or 2.0% as appropriate during one year of the contract.

The appropriate discount(s) shall be applicable over a period of up to 60 consecutive billing months as selected by the Customer in 12-month increments at the time of the contract.

#### TERMS OF CONTRACT.

A contract or agreement addendum for service under this Rider, in addition to service under Tariffs L.G.S. or I.G.S., shall be executed by the T Customer and the Company for the time period which includes the start-up period and the multi-year period during which a Total Demand T Charge discount is in effect and an equal multi-year period during which the customer agrees to pay the full rates in the applicable Tariff rate schedule.

At a minimum, the contract or agreement addendum shall specify the Base Maximum Billing Demand, the anticipated annual total qualifying demand, the Adjustment Factor and related provisions to be applicable under this Rider, and the effective date for the contract addendum.

The customer may discontinue service under this Rider before the end of the contract or agreement addendum only by reimbursing the Company for any and all demand reductions received under this Rider when billed at the applicable tariff schedule rate.

#### SPECIAL TERMS AND CONDITIONS.

Except as otherwise provided in this Rider, written agreements shall remain subject to all of the provisions of the applicable tariffs. This Rider is subject to the Company's Terms and Conditions of Service.

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## DECOMMISSIONING RIDER (D.R.)

#### APPLICABLE.

To Tariffs R.S., R.S.D., R.S.-L.M.-T.O.D., R.S.-T.O.D., Experimental R.S.-T.O.D.2, G.S., S.G.S.-T.O.D., M.G.S.-T.O.D., L.G.S., L.G.S.-T.O.D., I.G.S., C.S.-I.R.P., C.S. Coal, M.W., O.L., and S.L..

#### RATE.

1. Pursuant to the final order of the Kentucky Public Service Commission in Case No. 2012-00578 and the Stipulation and Settlement Agreement dated July 2, 2013 as filed and approved by the Commission, Kentucky Power Company is to recover from retail ratepayers the coal-related retirement costs of Big Sandy Unit 1, the retirement costs of Big Sandy Unit 2 and other site-related retirement costs that will not continue in use on a levelized basis, including a weighted average cost of capital (WACC) as set in the Company's most recent Rate Case carrying cost over a 25 year period beginning with the date rates became effective in Case No. 2014-00396. The term "Retirement Costs" are defined as and shall include the net book value, materials and supplies that cannot be used economically at other plants owned by Kentucky Power, and removal costs and salvage credits, net of related ADIT. Related ADIT shall include the tax benefits from tax abandonment losses.

The applicable rates for service rendered on and after September 28, 2020 to be applied to the revenues described in paragraph 5 of this tariff are:

Residential Adjustment
Factor = \$\frac{\$11,232,715}{\$209,767,899} = 5.3548\%

All Other Classes
Adjustment Factor = \$\frac{\$13,856,916}{\$170,590,394} = 8.1229\%

2. The allocation of the actual revenue requirement (ARR) between residential and all other customers shall be based upon their respective contribution to total retail revenues for the most recent twelve month period, ending June 30 according to the following formula:

Residential Allocation RA(y) = ARR(y) x <u>KY Residential Retail Revenue RR(b)</u>

KY Retail Revenue R(b)

All Other Allocation OA(y) = ARR(y) x KY All Other Classes Retail Revenue OR(b)

KY Retail Revenue R(b)

Where:

(y) = the expense year;

(b) = Most recent available twelve month period ended June 30.

(Cont'd on Sheet No. 38-2)

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## **DECOMMISSIONING RIDER (CONT'D)**

#### RATE. (Cont'd)

3. The Residential D.R. Adjustment shall provide for annual adjustments based on a percent of total revenues, according to the following formula:

Residential D.R. Adjustment Factor = Net Annual Residential Allocation NRA(y)

Residential Retail Revenue RR(b)

Where:

Net Annual Residential Allocation NRA(b) = Annual Residential Allocation RA(y), net of Over/ (Under)

Recovery Adjustment;

Residential Retail Revenue RR(b) = Annual Retail Revenue for all KY residential classes for the

year (b).

4. The All Other Classes D.R. Adjustment shall provide for annual adjustments based on a percent of non-fuel revenues, according to the following formula:

All Other Classes D.R. Adjustment Factor = Net Annual All Other Allocation NOA (y)

All Other Classes Non-Fuel Retail Revenue ONR(b)

Where:

Net Annual All Other Allocation NOA(y) = Annual All Other Allocation OA(y), net of Over/ (Under)

Recovery Adjustment;

All Other Classes Non-Fuel Retail Revenue ONR(b) = Annual Non-Fuel Retail Revenue for all classes other than

residential for the year (b).

5. The Revenues to which the residential Decommissioning Rider factor are applied is the sum of the customer's Service Charge, Energy Charge(s), Fuel Adjustment Clause, System Sales Clause, Demand-Side Management Adjustment Clause, Federal Tax Cut, Residential Energy Assistance, Capacity Charge, Purchase Power Adjustment.

The Revenues to which the all other customer Decommissioning Rider factor are applied is the sum of the customer's Service Charge, Demand Charge, Energy Charge(s) less Base Fuel, Minimum Charge, Reactive Charge, System Sales Clause, Demand-Side Management Adjustment Clause, Federal Tax Cut, Kentucky Economic Development Surcharge, Capacity Charge, and Purchase Power Adjustment.

- 6. The annual Decommissioning Rider adjustments shall be filed with the Commission no later than August 15<sup>th</sup> of each year before it is scheduled to go into effect on Cycle 1 of the October billing cycle, along with all the necessary supporting data to justify the amount of the adjustments, which shall include data, and information as may be required by the Commission.
- 7. Copies of all documents required to be filed with the Commission shall be open and made available for public inspection at the office of the Public Service Commission pursuant to the provisions of KRS 61.870 to 61.884.

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## TARIFF U.D.C. (UNDERGROUND DIFFERENTIAL COST SCHEDULE)

# UNDERGROUND SERVICE PLAN FOR RESIDENTIAL SUBDIVISIONS AND RESIDENTIAL SERVICE LATERALS

## APPLICABLE.

To Tariffs R.S., R.S.-L.M.-T.O.D., R.S.-T.O.D., R.S.-T.O.D. 2, and R.S.D.

## RATE.

## PRIMARY AND SECONDARY DISTRIBUTION SYSTEM

Charge: \$\frac{\$ 61.39}{}\$ per foot of lot width (average x number of lots) when Company performs trenching, conduit installation, and backfilling to Company specifications.

Charge: \$\frac{\$ 31.67}{\text{trenching, conduit installation, and backfilling to Company specifications.}}

#### SERVICE LATERALS

## FROM OVERHEAD FACILITIES

Charge: **\$ 24.88** per foot of trench length from Overhead Facilities when Company performs trenching, conduit installation, and backfilling to Company specifications.

Charge: \$ 8.38 per foot of trench length from Overhead Facilities when Customer performs trenching, conduit installation, and backfilling to Company specifications.

## FROM UNDERGROUND FACILITIES

Charge: **\$ 20.42** per foot of trench length from Underground Facilities when Company performs trenching, conduit installation, and backfilling to Company specifications.

Charge: \$ 4.27 per foot of trench length from Underground Facilities when Customer performs trenching, conduit installation, and backfilling to Company specifications.

## REPLACEMENT OF USEFUL OVERHEAD SERVICE DROP

Charge: \$ 150.00 for each removal in addition to any underground differential specific KY

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